

**LMG/Côte d'Ivoire: Program Year 1, Quarter 2 PEPFAR Progress  
Report  
January 1– March 31, 2013**

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**Nom de l'Accord de Coopération :** Management Sciences for Health, Leadership, Management and Governance Project (LMG)

**Numéro de l'Accord de Coopération :** AID-OAA-A-11-00015

**Domaines programmatiques couverts par l'Accord de Coopération:**

- **Human Resources for Health**
- **Strategic Information**

**ANNEE FISCALE : 2013**

## **RAPPORT D'ACTIVITES**

**Début de la période : 1 janvier 2013**

**Fin de la période : 31 mars 2013**

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# **SOMMAIRE**

**I - RESUME SYNTHETIQUE DE LA PERIODE**

**II - NARRATIF DES RESULTATS ATTEINTS**

**III - DIFFICULTES ET/OU CONTRAINTES DE LA PERIODE**

**IV – BESOINS EN ASSISTANCE TECHNIQUE**

**V – PERSPECTIVES/Activités clés pour la prochaine période**

**VI – FICHE RECAPITULATIVE DES FORMATIONS DE LA PERIODE**

**VII - INDICATEURS A RENSEIGNER**

**VIII – PERFORMANCE MONITORING PLAN**

## **I - RESUME SYNTHETIQUE DE LA PERIODE**

The following is a summary of the activities carried out January 1 through March 31, 2013, by the Leadership, Management and Governance Project (LMG), funded by USAID/Côte d'Ivoire through PEPFAR field support. The goal of LMG/Côte d'Ivoire is to provide technical assistance to the Global Fund Country Coordinating Mechanism (CCM) and Principal Recipients (PRs) to build their capacity in the areas of leadership, management, and governance; monitoring and evaluation; supervision; and resource mobilization. Technical assistance is designed to help clarify the roles and responsibilities of the CCM and the PRs, with the goal of enabling these entities to fulfill their critical functions and be effective players in rallying all sectors to combat HIV and AIDS, malaria, and TB.

The continued expected outcome of the workplan developed for October 2012 through September 2013 is that by September 2013, the Côte d'Ivoire Global Fund CCM and sub-committees have strengthened capacity in the critical functions of a CCM and are using data for decision making and oversight and the Global Fund PRs have strengthened leadership and management skills to implement, monitor, and evaluate programs.

Expected results of this year's program include:

- The CCM understands the updated Global Fund directives and is following a plan to ensure compliance with Global Fund regulations; and internal CCM governance, operational processes, and orientation standards are strengthened to ensure transparent, streamlined, and sustainable implementation of CCM activities
- Monitoring and evaluation of grant performance is strengthened by utilizing effective tools
- The CCM harmonizes activities with key stakeholders and mobilizes additional resources to carry out basic CCM functions (harmonization of activities and donor function strengthened)
- CCM and PRs' leadership, management, and financial skills are strengthened as is their capacity to implement, monitor, and evaluate programs

During the quarter from January 1 to March 31, 2012, the following activities were completed:

- Orientation of alternate members to the new Global Fund directives, the policy on managing conflicts of interest, key revised documents, and the importance of gender in designing and implementing activities (rollover from PY1).
- Support to the CCM to develop an expanded budget for the 2013-2014 fiscal year for submission to the Global Fund.
- Technical assistance for HIV PRs, specifically the National HIV and AIDS Program (*Programme National de Prise en Charge Médicale des Personnes Vivant avec le VIH et le SIDA*, PNPEC), to increase their capacity to effectively negotiate and finalize acquisitions and sub-contracts and rapidly start up grants.

LMG/CI and USAID/PEPFAR began discussions during this reporting period for an additional activity under LMG PEPFAR-funded field support for a decentralization pilot project in two regions (to be identified). Discussions and preliminary design work are ongoing and will continue through the next quarter.

## **II - NARRATIF DES RESULTATS ATTEINTS**

### **Health System Strengthening / Strategic Information**

**Alternate CCM members oriented:** During this reporting period, LMG/CI consultants from the subcontractor Global Challenge Corporation (GCC) coordinated with the CCM to convene and conduct an orientation session for the 25 alternate members of the CCM. During the sessions held March 12-13, 2013, alternate members were introduced to the roles and responsibilities within the CCM, new Global Fund directives, CCM policies on managing conflicts of interest, and key revised documents including the CCM Procedures and Governance Manuals. The facilitators included a session on gender during this orientation to highlight the importance of integrating gender into the design and implementation of activities.

**CCM expanded budget developed for submission to the Global Fund:** In preparation for a Global Fund Portfolio Manager visit March 4-8, 2013, LMG/CI consultants provided technical assistance to the CCM to develop and revise an expanded two-year CCM budget. LMG/CI also provided inputs regarding the project's contributions to CCM objectives. Through this rapid support, the CCM was able to take advantage of the Global Fund Portfolio Manager's time in country to discuss the drafted budget and associated planned activities to determine necessary revisions and next steps.

**Technical assistance support to PNPEC:** Prior to the approval of the subcontracts, LMG/CI in collaboration with the USAID/PEPFAR and CCM teams identified technical assistance to PNPEC as an immediate priority activity. GCC consultants provided support to PNPEC to develop conventions with the three state organizations that support the grant: the Public Health Pharmacy (*Pharmacie de la Santé Publique de Côte d'Ivoire*, PSP), the National Program for Reproductive Health (*Programme National de la Santé de la Reproduction*, PNSR), and the Ministry of Health's General Directorate Against AIDS (*Direction Générale de Lutte contre le SIDA*, DGLS). LMG/CI consultants supported the negotiations of terms between PNPEC and each of the partners and drafted the conventions which were submitted to the Global Fund for non-objection February 14, 2013.

## **III - DIFFICULTES ET/OU CONTRAINTES DE LA PERIODE**

### **III.1 : Difficultés et/ou Contraintes**

Unforeseen delays through the first quarter in the issuance of the subcontracts for zeGO Group (zGG) and GCC postponed implementation of activities during the reporting period and necessitated a revision of the project implementation timeline in coordination with stakeholders and subcontractors. Additionally, the project and subcontractors prioritized certain urgent activities for immediate implementation during the reporting period, and other activities have been postponed for the coming months. The office of the CCM has indicated that additional activities are to be postponed—including the follow up with the CCM Oversight Committee and PRs on the implementation of the Dashboard tool—as the CCM will be voting to restructure the committees by disease (HIV and AIDS, malaria, and tuberculosis) rather than by CCM oversight technical area.

Additionally, during a meeting with the USAID/PEPFAR team, discussions began on potential shifts in project priorities corresponding to changes within the Ministry of Health and AIDS (MSLS). LMG/CI and USAID/PEPFAR will continue these discussions through the next reporting period to determine what activities and associated budgets may need to be reprogrammed to align with the current needs of the CCM and PRs. Any further planning for the MSLS budgeting process and tracking activity is currently on hold pending further discussions.

### **III.2 : Solutions apportés aux Difficultés et/ou Contraintes**

The project team is maintaining regular contact with local consultants, the USAID/PEPFAR team and the CCM as discussions on any changes to the CCM or the LMG/CI project are ongoing. LMG/CI has scheduled a conference call with the USAID/PEPFAR team in the first week of April to discuss project activities, and will subsequently coordinate with both subcontractors to discuss modifications to scopes of work or planned activities and timelines.

LMG/CI consultants from GCC continue to liaise regularly with the CCM. A CCM General Assembly is scheduled for early April 2013 to vote on potential changes to the CCM structure. LMG/CI consultants and staff developed a presentation on the options for reforming the structure of the CCM. This presentation was shared with the President and Permanent Secretary of the CCM in preparation for the upcoming General Assembly.

### **III.3 : Initial Environmental Examination (IEE)**

The Summary of Programmatic Initial Environmental Examination (PIEE) for the global Leadership, Management and Governance Project, PIEE Number 0042, recommends a “Negative Determination with Conditions” based on the premise that training activities could include “Training professional and paraprofessional health workers in methods that result in the generation and disposal of hazardous or highly hazardous medical waste...” At this time, such training has not been undertaken and is not anticipated under LMG/Côte d’Ivoire. Should such training or related activities be anticipated, LMG/Côte d’Ivoire will prepare a request for an amended environmental examination.

## **IV – BESOINS EN ASSISTANCE TECHNIQUE**

In the next reporting period, the LMG/Côte d’Ivoire team and consultants will begin an assessment of civil society organizations (CSOs) in country to identify their strengths and weaknesses and propose a strategy and action plan to strengthen their management systems and enhance their ability to fulfill their advocacy role vis-à-vis the government and donors. USAID/PEPFAR has played a key role in the present reporting period in the selection of CSOs to be assessed and continued support and collaboration through the assessment and capacity building efforts will ensure the success of this activity.

LMG/CI and USAID/PEPFAR have scheduled a meeting for the next quarter to discuss the technical support to the Phase 2 proposal process and potential changes to certain project activities including the MSLS budgeting work. Continued collaboration with USAID/PEPFAR remains essential to ensure that

the support to the CCM and coordination with implementing partners and other donors is ongoing to avoid any duplication of efforts.

## **V – PERSPECTIVES / Activités clés pour la prochaine période**

The following are activities planned for the period April 1 through June 30, 2013:

- Conduct an assessment of the health system in Côte d’Ivoire to finalize the project design for the new LMG Decentralization Pilot Project activity currently under discussion with USAID/PEPFAR.
- Facilitate training for CCM and stakeholders on the Phase 2 proposal process.
- Finalize technical assistance plan and provide support in coordination with the CCM, PEPFAR, and other stakeholders and partners for support to the CCM and HIV and AIDS PRs in the Phase 2 proposal process.
- Conduct an assessment to identify CSO strengths and weaknesses and propose a strategy and an action plan to strengthen CSOs’ management systems and enhance their ability to fulfill their advocacy role vis-à-vis the government and donors.
- Design and carry out a national-level workshop with governmental and other identified partners to test and validate the standard unit cost sheet for Global Fund proposals and the CCM expanded budget.
- Conduct follow-up training on the CCM Dashboard data analysis and presentation, and Dashboard maintenance.

## VI – FICHE RECAPITULATIVE DES FORMATIONS DE LA PERIODE

FICHE RECAPITULATIVE DES FORMATIONS DU TRIMESTRE : 1 <sup>er</sup> Octobre 2012 – 31 Décembre 2012										
N°	Titre de la formation	Domaines Programmatiques	Nombre de personnes formées sur la période			Date de début	Date de fin	Durée de la formation (en heure)	Lieu de la formation	Profession des personnes formées
			Anciens (déjà formés sur l'année fiscale)	Nouveaux (sur l'année fiscale)	Total					
1	CCM Alternate Member Orientation	Leadership & Program Management	0	17	17	March 12, 2013	March 13, 2013	12	Abidjan	Program management staff from civil society and public sector organizations serving as CCM alternate members, CCM Secretariat

- Liste des domaines programmatiques par axe d'intervention

PREVENTION	SOINS et SOUTIEN	TRAITEMENT	RENFORCEMENT DES SYSTEMES
			Leadership and Program Management

## VII - INDICATEURS A RENSEIGNER

### 2 – Health system strengthening / Human Resources for Health

Codes	Indicateurs	*Calcul	Targets	Réalizations					
				Q1 Oct-Dec 2012	Q2 Jan-Mar 2013	Q3 Avr-Juin 2013	Q4 Juil-Sept 2013	Total	Taux de réalisation global
<b>2</b>	<b>Human Resources for Health</b>	Add/ Cum	<b>Fiscal Year 2013</b>						
<b>H2.1.D</b>	<b>Number of new health care workers who graduated from a <b>Pre-service training institution</b></b>	Add							
H2.1.D1	<i>Doctors</i>	Add							
H2.1.D2	<i>Nurses</i>	Add							
H2.1.D3	<i>Midwives</i>	Add							
H2.1.D4	<i>Social Workers</i>	Add							
H2.1.D5	<i>Lab Technicians</i>	Add							
H2.1.D6	<i>Others (Autres diplômés à préciser)</i>	Add							
<b>H2.2.D</b>	<b>Number of community health and Para-social workers who successfully completed a <b>Pre-service training program</b> (concerne surtout les conseillers communautaires nouvellement recrutés formés pour la première fois sur une durée de quelques jours à au plus 6 mois pour commencer le travail)</b>	Add							
H2.2.D1	<i>Male</i>	Add							
H2.2.D2	<i>Female</i>	Add							
<b>H2.3.D</b>	<b>Number of health care workers who successfully completed an <b>in-service training program</b> (inclut toutes les formations in-service training des autres domaines techniques)</b>	Add	<b>TBD</b>	37	34			<b>71</b>	
<b>H2.3.D1</b>	<b>Pediatric Treatment (ARV) (subset of H2.3.D)</b>	Add							
<b>L41, L42 &amp; L51</b>	Number of individuals trained in <b>Prevention integrated module</b> (PTMTC, Testing & Counseling and STI) according to national in-service training program standards (subset of H2.3.D)	Add							
<b>L43</b>	Number of individuals trained to provide Preventive and/or Support services (including OVC) (subset of H2.3.D)	Add							
<b>L46</b>	Number of individuals trained to provide OVC services according to national and international	Add							

	standards (subset of L43)								
<b>L44, L45 &amp; L52</b>	Number of individuals trained in <b>Care and Treatment integrated module</b> (Clinical care, ART, PwP) according to national in-service training program standards (subset of H2.3.D)	Add							
<b>L47</b>	Number of individual trained to provide management of TB/HIV co-infection according to national and international standards (subset of H2.3.D)	Add							
<b>L48</b>	Number of individuals trained laboratory related activities ( <b>Lab technician</b> ) (subset of H2.3.D)	Add							
<b>L49</b>	Number of individual trained in Blood Safety (subset of H2.3.D)	Add							
<b>L50</b>	Number of individual trained in Injection Safety (subset of H2.3.D)	Add							
<b>L53</b>	Number of individuals trained to provide preventive interventions that are primarily focused on abstinence and/or being faithful, and are based on evidence and/or meet the minimum standards required (subset of H2.3.D)	Add							
<b>L54</b>	Number of individuals trained to provide preventive interventions that are primarily focused on Condoms and Other Methods of Prevention, and are based on evidence and/or meet the minimum standards required (subset of H2.3.D)	Add							
<b>L55</b>	Number of individuals trained in Leadership and Program Management (subset of H2.3.D)	Add	<b>TBD</b>	NA	17			<b>17</b>	
<b>L58</b>	Number of individuals trained in Strategic Information (includes M&E, Surveillance including Human Ethics, and/or HMIS) (subset of H2.3.D)	Add	<b>40</b>	37	NA			<b>37</b>	
<b>L59</b>	Number of individuals trained in Logistics and commodities management (subset of H2.3.D)	Add							
<b>L60</b>	Number of individuals trained in Gender (subset of H2.3.D)	Add	<b>TBD</b>	NA	17			<b>17</b>	

Liste des institutions de formation initiale (Résultats du Trimestre)									
N°	List of health care workers pre-service institution	Number of new health care workers who graduated from a Pre-service training institution				Localisation (Département/Commune/Quartier)	List of community health and Para-social workers pre-service institutions/Organizations	Number of community health and Para-social workers who successfully completed a Pre-service training program	Localisation (Département/Commune/Quartier)
		Doctors	Nurses	Midwives	Autres				
TOTAL									
1	N/A								

## 7- Health system strengthening / Strategic Information

Codes	Indicateurs	*Calcul	Targets	Réalizations					
				Q1 Oct-Dec 2012	Q2 Jan-Mar 2013	Q3 Avr-Juin 2013	Q4 Juil-Sept 2013	Total	Taux de réalisation global
<b>7</b>	<b>Strategic Information</b>	Add/Cum	<b>Fiscal Year 2013</b>						
<b>L58</b>	Number of individuals trained in Strategic Information (includes M&E, Surveillance, and/or HMIS)	Add	<b>40</b>	37	NA			<b>37</b>	
<b>L58A</b>	1) Monitoring & Evaluation	Add	<b>40</b>	37	NA			<b>37</b>	
<b>L58B</b>	2) Surveillance, Human Ethics	Add							
<b>L58C</b>	3) HMIS or Informatics or GIS	Add							
<b>L59</b>	Number of individuals trained in Logistics and commodities management	Add							

Liste des organisations ayant contribué aux résultats du Trimestre				
N°	Liste des organisations	Nombre de personnes formées par organisation	Domaine de la formation	Localisation (Département/Commune/Quartier)
TOTAL				
1	Global Fund Country Coordinating Mechanism	17	Leadership and Program Management	Abidjan

## VIII – PERFORMANCE MONITORING PLAN

This Performance Monitoring Plan (PMP) will be used to monitor and report on the overall performance of the Leadership, Management and Governance (LMG) Program field support program in Côte d’Ivoire in meeting its expected results and targets for PY2. The plan classifies performance indicators by the four expected objectives. Progress towards each will be measured using the indicators listed.

Performance Indicators and Descriptions	Sources of Data	Data collection method	Frequency of data collection	Q1 target	Q2 target	Results to date	Q3 target	Q4 target	End of project year target
<p><b>Outcome:</b> By September 2013, the Cote d’Ivoire Global Fund Country Coordinating Mechanism (CCM) and sub-committees have strengthened capacity in the critical functions of a CCM and are using data for decision making and oversight and the Global Fund Principal Recipients (PRs) have strengthened leadership and management skills to implement, monitor, and evaluate programs</p> <p><b>Indicators:</b>                      # of workshops conducted by content and type during the project period                      # of scheduled, periodic, oversight visits conducted by CCM</p>									
<p><b>Objective 1: The CCM understands the updated Global Fund directives and is following a plan to ensure compliance with Global Fund regulations and internal CCM governance, operational processes, and orientation standards are strengthened to ensure transparent, streamlined, and sustainable implementation of CCM activities</b></p>									
1.1, 1.2 # of CCM elected and alternate members (including the financial oversight committee) trained in Global Fund directives and key revised documents (including the newly revised CCM governance and procedures manual), managing conflict of interest, and gender integration (disaggregated by sector <sup>1</sup> , sex, and management level)	Workshop registration roster	Document review	At the time of workshop registration	25	30	17			55

<sup>1</sup> Sector could include public, private, civil society organization, network of people living with HIV, academic institution, multilateral organization, bilateral organization

1.3b Complete CCM governance manual containing meeting protocols, communication plan, and guide on oversight monitoring and resource mobilization available to CCM members	Document	Document review	Once		1	1			1
1.4 # of participants trained to support the Global Fund (disaggregated by affiliation <sup>2</sup> , sex, sector and management level)	Workshop registration roster	Document review	At the time of registration			N/A	15		15
<b>Objective 2 Monitoring and evaluation of grant performance is strengthened by utilizing effective tools</b>									
2.1b # of CCM members and PR liaisons trained in use of Executive Dashboard tool (disaggregated by sector, sex, and management level)	Workshop registration roster	Document review	At the time of workshop registration	7	7	37	6		20
2.1c Supervision sub-committee of CCM is reviewing the dashboard and documenting challenges and proposed actions)	Sub-committee meeting minutes	Document review	Monthly	3	3	Follow up training postponed per CCM request	3	3	12
2.1d General Assembly is reviewing the dashboard and documenting challenges and proposed actions	General Assembly meeting minutes	Document review	Quarterly	1	1	Follow up training postponed per CCM request	1	1	4

<sup>2</sup> Affiliation, here, includes: independent consultant, university staff members, etc.

2.3 # of sub-recipient representatives trained in the use of MESST (disaggregated by sector, sex, and management level)	Workshop registration roster	Document review	At the time of workshop registration		20	N/A			20
2.4 Number of individuals trained in Strategic Information (includes M&E, Surveillance including Human Ethics, and/or HMIS) (PEPFAR indicator L58; subset of H2.3.D)	Workshop registration rosters	Document review	At the time of workshop registration	7	27	37	6		77
<b>Objective 3: The CCM harmonizes activities with key stakeholders and mobilizes additional resources to carry out basic CCM functions (harmonization of activities and donor function strengthened)</b>									
3.1b CCM workplan and budget 2013-2014 developed and submitted to Global Fund and other donors	Document	Document review	Ongoing		1	1			1
3.2b National workshop held to validate the standard unit cost sheet for Global Fund proposals and CCM expanded budget	Document	Agenda; Workshop report	Once		1	Activity rescheduled for Q3 per CCM request			1
3.4 # of Principal Recipients in HIV and malaria continuing into Phase 2 grants trained in grants management.				TBD		N/A			TBD
<b>Objective 4: CCM and Principal Recipients' leadership, management, and financial skills strengthened and capacity to implement, monitor, and evaluate programs strengthened</b>									

4.2a # of CSO members participating in an assessment to evaluate the institutional capacity and functions of CSOs (disaggregated by sex, sector and management level)	Workshop or meeting registration roster	Document review	At the time of registration	TBD		Activity scheduled for Q3			TBD
4.2b # of CSO action plans developed to address gaps identified through assessment tool	Action plans	Document review	At end assessment		6	Activity scheduled for Q3			6
4.3, 4.4 Short-term, medium-term, and long-term action plans for FYs 13/14 developed by Principal Recipients and sub-recipients	Action plans	Document review	Ongoing		6	Activity scheduled for Q3			6
4.7 # of PRs oriented to new and revised Global Fund directives	Training report	Document review	Once		18	Activity scheduled for Q3			18
4.11 Number of individuals trained in Leadership and Program Management (PEPFAR indicator L55; subset of H2.3.D )	Workshop registration rosters	Document review	At the time of registration	TBD		17			TBD