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# TENURE AND GLOBAL CLIMATE CHANGE (TGCC)

PERFORMANCE MONITORING PLAN &  
MONITORING & EVALUATION PLANS

JULY 2013

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## **DISCLAIMER**

The author's views expressed in this publication do not necessarily reflect the views of the United States Agency for International Development or the United States Government.

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# ACRONYMS AND ABBREVIATIONS

|       |   |
|-------|---|
| COP   | Chief of Party  |
| CVL   | Certificates of Village Land  |
| DQA   | Data Quality Assessment   |
| FACTS | Foreign Assistance Coordination and Tracking System   |
| GCC   | Global Climate Change   |
| GUC   | Grants Under Contract   |
| IQC   | Indefinite Quantity Contract  |
| MIS   | Management Information System   |
| M&E   | Monitoring and Evaluation   |
| PES   | Payment for Environmental Services  |
| PIRS  | Performance Indicator Reference Sheets  |
| PMP   | Performance Management Plan   |
| REDD+ | Reduced Emissions from Deforestation and Forest Degradation plus carbon sequestration from forest enhancement |
| SO    | Strategic Objective   |
| STARR | Strengthening Tenure and Resource Rights  |
| TBD   | To Be Determined  |
| TGCC  | Tenure and Global Climate Change  |
| TO    | Task Order  |
| USAID | United States Agency for International Development  |
| USG   | United States Government  |

# PREFACE

The Tenure and Global Climate Change (TGCC) task order (TO) is an activity funded by the U.S. Agency for International Development (USAID) under the Strengthening Tenure and Resource Rights (STARR) Indefinite Quantity Contract (IQC). The aim of the task order is to identify and test models that strengthen the resource tenure governance and property rights as they relate to successful global climate change (GCC) mitigation and adaptation programming.

Climate change impacts and interventions in response to GCC could significantly affect resource tenure governance, the rights of communities and people, and their livelihoods. In turn, resource tenure and property rights issues may undermine successful implementation of GCC-related initiatives. Interventions that strengthen resource tenure and property rights governance can help reduce vulnerability and increase the resilience of people, places and livelihoods in the face of GCC impacts. They can also promote resource-use practices that achieve mitigation, adaptation, and development objectives.

The task order draws extensively from five programmatic emphases. These include: (a) the USAID Gender Equity and Female Empowerment and Evaluation policies; (b) the historical efforts of the Land Tenure and Property Rights Division to explore the role of property rights and Reduced Emissions from Deforestation and Forest Degradation (REDD+) development; (c) the devolution of forest governance, and carbon rights, benefit sharing and payment for environmental services (PES) incentive schemes; (d) the USAID Climate Change and Development Strategy; and, (e) the Voluntary Guidelines on the Responsible Governance of Tenure of Land, Fisheries, and Forests (VGs).

The task order consists of four tasks and contains a grants under contract (GUC) mechanism.

1. Pilot tenure interventions that strengthen land rights as an enabling condition for the promotion and adoption of climate smart land use practices.
2. Clarify the legal and regulatory rights to benefits derived from environmental service under REDD+ and other PES incentives.
3. Research studies on tenure, property rights and GCC mitigation and adaptation:
  - a. How does the devolution of ownership and governance of forests improve forest condition and help communities benefit from climate change mitigation programming?
  - b. How does devolution of marine resource tenure rights contribute to biodiversity conservation, sustainable fisheries management, and climate adaptation?
4. Strengthen women's property rights under REDD+.

Using a combination of literature reviews, participation in international communities of practice, workshops and conferences, field work and case studies, local partnerships, and intensive field project implementation, the task order is executed in concert with Tetra Tech's IQC partners. The duration of this task order is five years, commencing in March 2013, and its global focus is coordinated with and through USAID Missions and other international tenure and climate change bodies.

The task order is based in Rosslyn, Virginia, with five full-time staff. It is supervised by USAID's Land Tenure and Property Rights (LTPR) Division.

# SECTION I: PERFORMANCE MONITORING PLAN

## 1.0 INTRODUCTION AND BACKGROUND

In March 2013, Tetra Tech was awarded the TGCC task order under the STARR IQC. The objective of this TO is to assist the USAID Land Tenure and Property Rights Division to identify and test models that strengthen resource tenure governance and property rights as they relate to successful global climate change (GCC) mitigation and adaptation interventions. Tetra Tech has developed this Performance Monitoring Plan (PMP) consistent with USAID's Evaluation Policy (<http://transition.usaid.gov/evaluation/USAIDEvaluationPolicy.pdf>) to provide guidance and direction to track, verify, evaluate, analyze, and report on programmatic achievements towards this objective. The PMP consists of a Monitoring and Evaluation (M&E) Plan for each TGCC task, which outlines each task's Results, Indicators, and plan for data collection, management, analysis, and reporting as outlined in the Performance Indicator Reference Sheets (PIRS).

### 1.1 REVIEWING AND UPDATING THE PMP

The PMP will serve the TGCC management team as a tool to guide overall project performance. As such, it will be updated as necessary to reflect changes in TGCC's strategy and ongoing tasks. PMP implementation is therefore not a one-time occurrence, but rather an ongoing process of review, revision, and reimplementation. The PMP will be reviewed and revised annually. When reviewing the document, the following issues shall be taken into account:

- Are the performance indicators working as intended in the design process?
- Does the indicator stand up to scrutiny?
- Are the performance indicators providing the information needed to properly gauge TGCC inputs and outcomes in each of the major project areas?
- How can the PMP be improved?

Task Leaders under the under the guidance of the Chief of Party (COP) will document any major changes to the PMP regarding indicators or data sources, along with the rationale for these adjustments. If minor PMP elements change, such as indicator definition or responsible individual, the PMP will be updated to reflect these changes.

### 1.2 SUSTAINABLE LANDSCAPES AND BIODIVERSITY FUNDING

TGCC is funded by the Sustainable Landscapes and Biodiversity congressional earmarks. The goal of sustainable landscapes efforts is to assist countries to reduce greenhouse gas emissions from deforestation and land degradation and to enhance sequestration of carbon associated with sound land use and management. This contributes to Strategic Objective (SO) 1 of the USAID Climate Change and Development Results Framework, "accelerate transition to low emissions development," as well as Intermediate Results 1 (Establish foundation for low carbon energy systems) and Intermediate Result 2 (Invest in land use practices that stop, slow, and reverse emissions from deforestation and degradation of forest and other landscapes). As TGCC is funded by the biodiversity earmark, the project will follow all four key criteria of USAID's "Biodiversity Code," including:

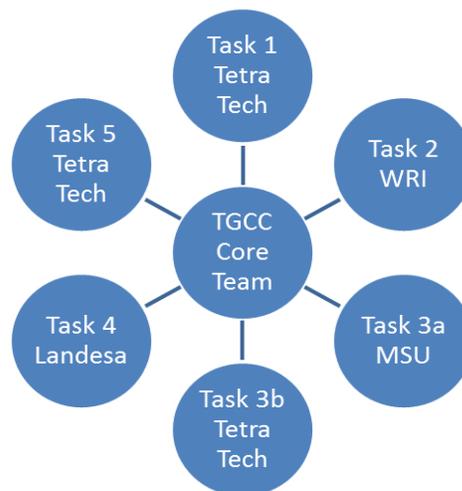
- The program must have an explicit biodiversity objective;
- Activities must be identified based on an analysis of threats to biodiversity;
- The program must monitor associated indicators for biodiversity conservation; and

- Site-based programs must have the intent to positively impact biodiversity in biologically significant areas.

### 1.3 M&E MANAGEMENT

Tetra Tech will be responsible for performance monitoring and reporting on all TGCC Tasks. Each TGCC task will be managed by a Task Team Leader, who will be responsible for the M&E components of that task, including data collection, reporting, and knowledge sharing. The Task Team Leaders are as follows:

- Task 1: Tetra Tech
- Task 2: WRI
- Task 3a: MSU
- Task 3b: Tetra Tech
- Task 4: Landesa
- Task 5: TGCC Core Team



**Figure 1 TGCC Management Structure**

TGCC Task Team Leaders will be supported by the TGCC core team, which includes the COP, Program Manager, Operations Manager, Resource Law Specialist, and Resource Tenure Specialist. Each Task Team Leader will have one regular liaison within the core team, who will provide technical assistance as well as oversight of M&E systems, including data management and data quality.

In addition to ongoing performance monitoring and reporting, impact evaluations that measure the development outcomes attributable to specific activities will be conducted for Tasks 1 and 4 by a third party evaluator. Tetra Tech will work closely with the third party implementer to ensure that each task is designed, implemented, and monitored to permit utilization of rigorous impact evaluation.

**TABLE 1 – TETRA TECH AND THIRD PARTY EVALUATOR ROLES AND RESPONSIBILITIES**

| Project/Pilot Design | Project/Pilot Implementation | Impact Evaluation Design | Baseline Data Collection | Performance Monitoring and Reporting | End Line Data Collection and Evaluation |
|----------------------|------------------------------|--------------------------|--------------------------|--------------------------------------|---|
| Tetra Tech           | Tetra Tech                   | Third party              | Third party              | Tetra Tech                           | Third party                             |

### 1.4 DATA QUALITY ASSESSMENT

TGCC’s M&E systems and processes will ensure high quality project data. Although the primary data collectors of the program are the Task Team Leaders (those most closely linked to beneficiaries and activities), TGCC’s core team will consult with Task Team Leaders and inspect records to ensure the collection of high quality data. Core team liaisons will conduct periodic verifications of data against hard copy documentation for each task, all of which will be archived for Data Quality Assessment (DQA) purposes. If a data problem is identified (either quantitative or qualitative), the core team liaison will issue a report that

requires the Task Team Leader to reconcile the discrepancy. This ongoing DQA process is complemented by an internal DQA that will be conducted by the core team for each performance indicator at an interval specified in each PIRS. Each TGCC indicator will undergo this internal DQA process at least twice during the life of the program. The internal DQA will follow the format outlined in Appendix A, Data Quality Worksheet. Within a month of the completion of the internal DQA, the program will issue a report to the COP and program staff (and USAID if necessary) detailing the findings. Based on these findings, the PMP may be modified to strengthen data quality. The internal DQA described here is an internal quality control mechanism and does not substitute for any formalized, USAID-initiated DQA of program data.

## 1.5 GENDER

The TGCC PMP is congruent with USAID’s Gender Equality and Female Empowerment Policy, and includes four FACTS Gender indicators:

- Number of laws, policies, or procedures drafted, proposed or adopted to promote gender equality at the regional, national or local level (GNDR-1);
- Percentage of female participants in programs designed to increase access to productive economic resources (GNDR-2);
- Proportion of females who report increased self-efficacy at the conclusion of USG-supported training/programming (GNDR-3); and
- Proportion of target population reporting increased agreement with the concept that males and females should have equal access to social, economic, and political opportunities (GNDR-4).

In addition to these gender-specific indicators, all people-level indicators will be disaggregated and reported by sex, allowing Tetra Tech to conduct task-level gender analyses to determine whether TGCC interventions have had differential impacts on men and women. An important component of this gender analyses is a review of “red flags” for adverse effects on women, such as loss of access to resources or assets, increased unpaid work or caregiver burden relative to men, restrictions on the participation of women in project activities, increases in gender-based violence, and the marginalization or exclusion of women in political and governance processes.

## 1.6 PERFORMANCE INDICATORS AND APPROACH TO DATA QUALITY

Performance indicators have been selected to measure project outputs, outcomes, and impacts for each intermediate result under each TGCC task. In selecting indicators, Tetra Tech considered several key criteria outlined in ADS 203, including:

- Indicators that would lead to performance monitoring data that meet the quality standards of validity, integrity, precision, and reliability as described in ADS 203.3.11.1. All indicators in the approved PMP will undergo an internal Data Quality Audit (DQA) against these criteria;
- Usefulness for decision-making;
- Appropriateness for measurement of TGCC results; and
- Resources required to collect and analyze data.

TGCC has selected both standard FACTS (including USAID standard GCC, Biodiversity, Land Tenure and Property Rights, and gender indicators) as well as custom indicators to ensure accountability and measure progress toward expected results.

A Performance Indicator Reference Sheet (PIRS) has been developed for each indicator included in the PMP. The PIRS are critical to the PMP as they outline the definition of the indicator, how it will be measured, with what methodology, by whom, when, where, and why-all important specifications for ensuring data consistency and quality. The PIRS also details data limitations, data storage, data acquisition, and individuals responsible for ensuring sound and rigorous data quality.

## **1.7 M&E AND GRANTS**

While the TGCC core team will take leadership of grants coordination and reporting (including number and value of grants distributed - Task 5), all Task Team Leaders will be responsible for monitoring the contributions of grantees to indicators under their respective tasks. Results achieved under grants will be monitored by task and by indicator. In addition, results achieved under grants not associated with a specific task will also be monitored and reported to USAID by the TGCC core team.

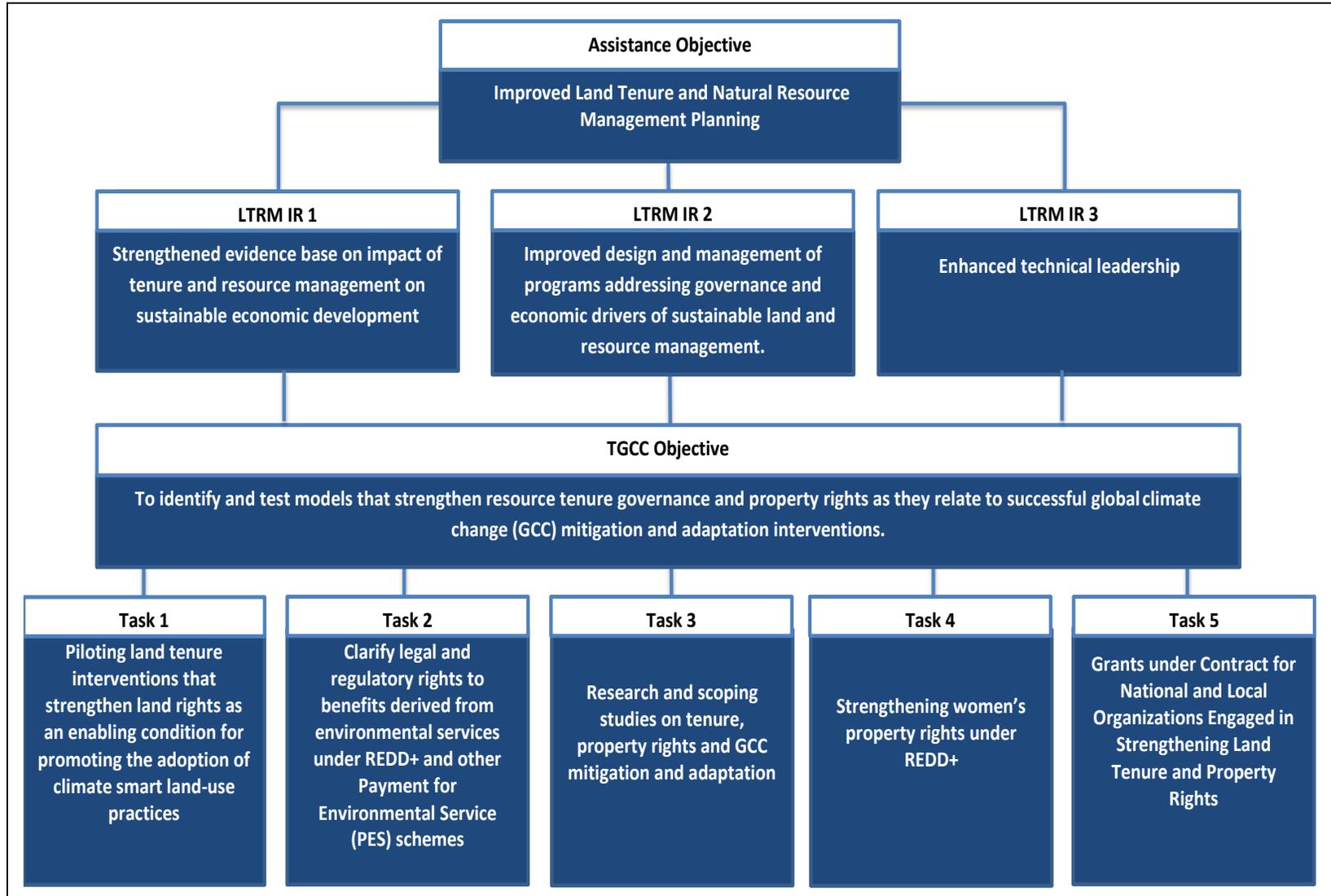
## 1.8 YEAR 1 IMPLEMENTATION MILESTONES

All Tasks Leads will monitor progress toward the following Year 1 milestones:

**TABLE 2 YEAR 1 MILESTONES**

| Task    | <u>Milestone 1:</u>   | <u>Milestone 2:</u>  | <u>Milestone 3:</u>  | <u>Milestone 4:</u>   | <u>Milestone 5:</u>   |
|---------|---|--|--|---|---|
|         | Task Leader reviews the state of knowledge/play regarding task-specific subject matter and more recent climate change literature. | Task Leader develops of an issues brief – abstract – that summarizes what the literature has to say, what the issues are, and what the gaps are in the world’s general understanding of the hypothesis | Task Leader generates discussion/review of the issues among a USAID working group; and guides a decision on development of an assessment methodology/set of interventions that will form the focus of the task over subsequent years | Task-specific issues and an assessment methodology will then help inform a discussion about which Countries, Missions, Projects and Partners are best placed to support the examination/testing of the methodology/interventions over the remaining time of the Task Order and funding available. | Finalizes the methodology and country/project selection which will help inform the development of a community of practice. The COP can be used to review the findings, refine its future use, and promote its application in USAID programming. |
| Task 1  | Expected:   | Expected:  | Expected:  | Expected:   | Expected:   |
|         | Completed:  | Completed:   | Completed:   | Completed:  | Completed:  |
| Task 2  | Expected:   | Expected:  | Expected:  | Expected:   | Expected:   |
|         | Completed:  | Completed:   | Completed:   | Completed:  | Completed:  |
| Task 3a | Expected:   | Expected:  | Expected:  | Expected:   | Expected:   |
|         | Completed:  | Completed:   | Completed:   | Completed:  | Completed:  |
| Task 3b | Expected:   | Expected:  | Expected:  | Expected:   | Expected:   |
|         | Completed:  | Completed:   | Completed:   | Completed:  | Completed:  |
| Task 4  | Expected:   | Expected:  | Expected:  | Expected:   | Expected:   |
|         | Completed:  | Completed:   | Completed:   | Completed:  | Completed:  |

# 2.0 TGCC RESULTS FRAMEWORK



# 3.0 TGCC INDICATOR TABLE

| Intermediate Results   | Activities  | Output Indicators   | Outcome/Impact Indicators  |
|--|---|---|--|
| TGCC Objective: To identify and test models that strengthen resource tenure governance and property rights as they relate to successful global climate change (GCC) mitigation and adaptation interventions. |   |   |  |
| <b>Task 1. Piloting land tenure interventions that strengthen land rights as an enabling condition for promoting the adoption of climate smart land-use practices</b>  |   |   |  |
| <p><b>IR 1:</b> Development hypotheses tested:</p>   | <p><i>With careful consideration of women's access and use rights to land and women's and men's rights within households:</i></p> <ul style="list-style-type: none"> <li>• Initial tenure assessment;</li> <li>• Village land-use plans completed in at least five (5) villages;</li> <li>• Certificates of Village Land (CVL) acquired in at least five (5) villages.</li> </ul> <p>Activities here are taken from the original contract, and may be changed upon confirmation of the Task 1 site.</p> | <p>4.7.4-9 Number of days of USG funded technical assistance on land tenure and property rights issues provided to counterparts or stakeholders</p> <p>4.8.2-27 Number of days of USG funded technical assistance in climate change provided to counterparts or stakeholders</p> <p>4.8.1-28 Number of days of USG funded technical assistance in natural resources management and/or biodiversity provided to counterparts or stakeholders</p> <p>GNDR-2 Proportion of female participants in USG-assisted programs designed to increase access to productive economic</p> | <p>4.7.4-5 Number of households who have obtained documented property rights as a result of USG assistance</p> <p>4.8.1-26 Number of hectares of biological significance and/or natural resources under improved natural resource management as a result of USG assistance</p> <p>GNDR-3 Proportion of females who report increased self-efficacy at the conclusion of USG supported training/programming</p> <p>GNDR-4 Proportion of target population reporting increased agreement with the concept that males and females should have equal access to social, economic, and political opportunities.</p> |

|   |  |  |   |
|---|--|--|---|
|   |  | <p>resources (assets, credit, income or employment)<br/>training/programming</p> <p>GNDR-1 Number of laws, policies, or procedures drafted, proposed or adopted to promote gender equality at the regional, national or local level</p> <p>Progress toward Year 1 Milestones (see Table 2)</p>   |   |
| <p><b>Task 2. Clarify legal and regulatory rights to benefits derived from environmental services (under REDD+ and other Payment for Environmental Service schemes)</b></p>   |  |  |   |
| <p><b>IR 2.1:</b> Methods and tools to assess the legal and institutional context defining rights to manage and benefit from carbon sequestration and other Payment for Environmental Service (PES) schemes tested.</p> <p><b>IR 2.2:</b> Laws, regulations, and institutions to recognize and protect the rights of local communities, investors, and other stakeholders who participate in the management of and receive benefits from REDD+ and similar initiatives aligned.</p> | <ul style="list-style-type: none"> <li>• Initial legal and stakeholder analysis;</li> <li>• Draft legislation, regulations, and/or draft amendments for incorporation in existing laws and regulations;</li> <li>• Public consultations and delivery of public awareness messages about proposed legal clarifications;</li> <li>• Recommendations for amending existing USAID LTPR benefit-sharing, carbon rights, and other tools.</li> </ul> | <p>4.7.4-8 Person hours of training completed by government officials, traditional authority, or individuals related to land tenure and property rights supported by USG assistance</p> <p>4.7.4-9 Number of days of USG funded technical assistance on land tenure and property rights issues provided to counterparts or stakeholders</p> <p>4.8.2-27 Number of days of USG funded technical assistance in climate change provided to counterparts or stakeholders</p> <p>4.8.2-28 Number of laws, policies, strategies, plans, agreements, or regulations</p> | <p>Number of constraints/issues successfully identified and dealt with that contribute to better defined benefit sharing for individuals and communities.</p> <p>Local capacity built to advance the legal and regulatory changes needed to advance REDD+ and PES benefit sharing with individuals and communities.</p> <p>USAID partnerships with multi-lateral institutions to advance REDD+ benefit sharing and PES schemes.</p> |

|   |  |   |   |
|---|--|---|---|
|   |  | <p>addressing climate change (mitigation or adaptation) and/or biodiversity conservation officially proposed, adopted, or implemented as a result of USG assistance.</p> <p>GNDR-1 Number of laws, policies, or procedures drafted, proposed or adopted to promote gender equality at the regional, national or local level</p> <p>Progress toward Year 1 Milestones (see Table 2)</p>  |   |
| Task 3. Research and scoping studies on tenure, property rights and GCC mitigation and adaptation   |  |   |   |
| Task 3a: What is the relationship between devolved ownership and governance rights of forests on forest condition?  |  |   |   |
| <p><b>IR 3.1:</b> Relationship between devolved ownership and governance rights of forests on forest condition evaluated.</p> <p>IR 3.1.1 Availability of improved evidence-base on the relationship between devolved ownership and governance rights of forests on forest condition increased.</p> | <ul style="list-style-type: none"> <li>Literature review on the relevance of tenure as a predictor of forest condition;</li> <li>Consultative forum on the literature review and research focus;</li> <li>Comparative study in up to three (3) countries using a combination of qualitative and quantitative methods to test the correlation between devolved forest governance and forest conditions;</li> <li>Presentation of research findings at a minimum of</li> </ul> | <p>4.7.4-9 Number of days of USG funded technical assistance on land tenure and property rights issues provided to counterparts or stakeholders</p> <p>4.8.2-27 Number of days of USG funded technical assistance in climate change provided to counterparts or stakeholders</p> <p>GNDR-1 Number of laws, policies, or procedures drafted, proposed or adopted to promote gender equality at the regional, national or local level</p> | <p>Number of mechanisms to disseminate research findings (issues briefs, publications, presentations) developed/implemented.</p> <p>Value and frequency of research methodology as picked up by national and international stakeholders and replicated.</p> |

|  |   |  |   |
|--|---|--|---|
|  | two forums as directed by USAID.  | Progress toward Year 1 Milestones (see Table 2)  |   |
| Task 3b: Examining the role of marine resource tenure rights in successful adaptation and biodiversity conservation.   |   |  |   |
| <p><b>IR 3.2:</b> Relationship between devolution of marine resource tenure rights and biodiversity conservation and adaptation evaluated.</p> <p>IR 3.2.1: Key stakeholders (USAID and other stakeholders) understanding of the state of knowledge of marine resource tenure and contribution to biodiversity conservation, sustainable fisheries management, and climate adaptation increased.</p> | <ul style="list-style-type: none"> <li>Case studies in selected countries on the devolution/evolution of resource rights to marine aquatic resources;</li> <li>Based on case study findings, development of a method for assessing governance of marine tenure;</li> <li>Development of a community of practice on marine resource tenure, including presentation of at least two webinars to interested USAID Missions.</li> <li>Presentation of research findings at a minimum of two forums as directed by USAID.</li> </ul> | <p>4.8.1-28 Number of days of USG funded technical assistance in natural resources management and/or biodiversity provided to counterparts or stakeholders</p> <p>Number of publications developed (issue briefs, case studies, Fact sheets, peer-reviewed journal publications)</p> <p>GNDR-1 Number of laws, policies, or procedures drafted, proposed or adopted to promote gender equality at the regional, national or local level</p> <p>Progress toward Year 1 Milestones (see Table 2)</p> | <p>Number of missions engaged in response to the assessment methodology, the Primer, and expressing interest in testing the assessment methodology.</p> <p>Number of grants issued to NGOs in participating missions to test the methodology.</p> |
| Task 4. Strengthening women's property rights under REDD+  |   |  |   |
| <p><b>IR 4.1:</b> Approaches to increase women's full participation in planning, decision-making, and management as community stakeholders designed and implemented.</p> <p><b>IR 4.2:</b> Impact of greater participation by women on community decisions related to group and household rights, including</p>  | <ul style="list-style-type: none"> <li>Gender analysis detailing factors affecting women's participation in REDD+ and forest management in a specific project and community;</li> <li>Report detailing the results of activities designed to broaden participation;</li> </ul>  | <p>4.7.4-8 Person hours of training completed by government officials, traditional authority, or individuals related to land tenure and property rights supported by USG assistance</p> <p>4.7.4-9 Number of days of USG funded technical assistance on land tenure</p>  | <p>GNDR-3 Proportion of females who report increased self-efficacy at the conclusion of USG supported training/programming</p>  |

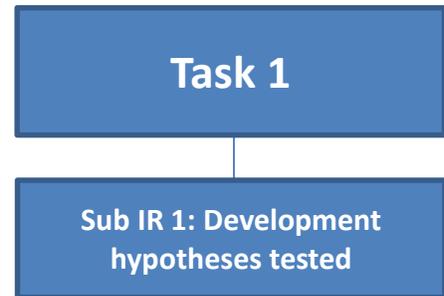
|   |  |   |  |
|---|--|---|--|
| <p>management, use, and entitlement to benefits evaluated.</p>  | <ul style="list-style-type: none"> <li>Development and implementation of training modules for all women in targeted communities.</li> </ul>  | <p>and property rights issues provided to counterparts or stakeholders</p> <p>GNDR-2 Proportion of female participants in USG-assisted programs designed to increase access to productive economic resources (assets, credit, income or employment)training/programming</p> <p>GNDR-1 Number of laws, policies, or procedures drafted, proposed or adopted to promote gender equality at the regional, national or local level</p> <p>Progress toward Year 1 Milestones (see Table 2)</p> |  |
| <p><b>Task 5. Grants under Contract for National and Local Organizations Engaged in Strengthening Land Tenure and Property Rights</b></p>   |  |   |  |
| <p><b>IR 5.1:</b> Local capacity of organizations to provide legal aid, training, advocacy, and information dissemination particularly as they relate to tenure issues and global climate change.</p> | <p>Through the use of Grants under Contract (GUC), support national and sub-national NGOs working on or involved in addressing resource tenure and property rights issues, particularly as they related to climate change.</p> | <p>Number of grants distributed</p> <p>Value of grants distributed</p>  | <p>Results achieved under grants will be monitored by task and by indicator.</p> |
| <p><b>Crosscutting</b></p>  |  |   |  |
| <p>Crosscutting item</p>  | <p>A knowledge management (KM) series will be conducted among the core team and task team leads at regular intervals during implementation of the task order. These meetings will be</p>                                       | <p>Number of people attending USG-assisted facilitated events that are geared toward strengthening understanding and awareness of property rights</p>   |  |

|  |  |  |  |
|--|--|--|--|
|  | <p>conducted in concert with similar USAID task orders that are being implemented with a climate change focus and are Washington D.C- based. Meetings can include the Forest, Carbon Markets, and Communities Project, and the African and Latin American Resilience to Climate Change Project – both task orders under the Prosperity, Livelihoods, and Conserving Ecosystems IQC. To the degree practicable and desirable, this KM series will be coordinated with the ERC contractor.</p> | <p>and resource governance-related issues</p> <p>Number of USG-assisted facilitated events that are geared toward strengthening understanding and awareness of property rights and resource governance-related issues.</p> |  |
|--|--|--|--|

# SECTION II: M&E PLANS

## 1.1 TASK 1 M&E PLAN

The primary activity under Task 1 is to pilot land tenure interventions that “strengthen land rights as an enabling condition for promoting the adoption of climate smart land-use practices.” The main objective of this pilot is to test development hypotheses. At the time of the submission of the year 1 PMP, a site has not yet been selected. Upon selection of the Task 1 site, the PMP will be updated to include the specific development hypothesis to be tested as well as new or revised indicators and targets as necessary.



### 1.1.1 Output Level Indicators

4.7.4-9 Number of days of USG funded technical assistance on land tenure and property rights issues provided to counterparts or stakeholders

4.8.2-27 Number of days of USG funded technical assistance in climate change provided to counterparts or stakeholders

4.8.1-28 Number of days of USG funded technical assistance in natural resources management and/or biodiversity provided to counterparts or stakeholders

GNDR-2 Proportion of female participants in USG-assisted programs designed to increase access to productive economic resources (assets, credit, income or employment) training/programming

GNDR-1 Number of laws, policies, or procedures drafted, proposed or adopted to promote gender equality at the regional, national or local level

Progress toward Year 1 Milestones (see Table 2)

### 1.1.2 Outcome Level Indicators

4.7.4-5 Number of households who have obtained documented property rights as a result of USG assistance

4.8.1-26 Number of hectares of biological significance and/or natural resources under improved natural resource management as a result of USG assistance

4.8.2-26 Number of stakeholders with increased capacity to adapt to the impacts of climate variability and change as a result of USG assistance

GNDR-4 Proportion of target population reporting increased agreement with the concept that males and females should have equal access to social, economic, and political opportunities.

## Performance Indicator Reference Sheet

INDICATOR TITLE: 4.7.4-9 Number of days of USG funded technical assistance on land tenure and property rights issues provided to counterparts or stakeholders

### DESCRIPTION

**Precise Definition(s):** The provision of goods or services to developing countries and other USAID recipients in direct support of a development objective-as opposed to the internal management of the foreign assistance program. Services could include the transfer of knowledge and/or expertise by way of staff, skills training, research work and financing to support quality of program implementation and impact, support administration, management, representation, publicity, policy development and capacity building. Technical assistance includes both human and institutional resources. Technical assistance does not include financial assistance.

Inclusive economic law and property rights is defined as ensuring that poor people, women, and other disadvantaged groups have equal legal rights and protection in economic matters.

**Unit of Measure:** Number of days of technical assistance provided in each reporting period. Rounded up or down into whole numbers.

**Disaggregated by:** N/A

**Justification & Management Utility:** To convey the coverage and capacity building contribution of USG programs.

**Baseline Value:** Baseline is the start year of the project. The baseline value will be zero to measure the incremental change in the number of people trained resulting from a project.

### PLAN FOR DATA ACQUISITION

**Data Collection Method:** Record keeping by Task Leads

**Method of Data Acquisition by the Project:** Reported to Core Team by Task Leads

**Data Source(s):** Task Leads; documented by project records/TA reports

**Frequency/Timing of Data Acquisition:** Quarterly

**Responsible Individual(s) at the Project:** Task Lead (Tetra Tech)

### DATA QUALITY ISSUES

**Date of Initial Data Quality Assessment:** June 2014

**Known Data Limitations and Significance (if any):**

Validity: This indicator addresses only one of the limitations – exposure to concepts and systems that offer solutions to development problems or institutional gaps -- that prevent people from taking appropriate actions.

Precision: Simply knowing the number of person days of technical assistance provided does not provide information about the quality and appropriateness of the technical advice provided.

**Actions Taken or Planned to Address Data Limitations:** Data should be supplemented by qualitative descriptions of TA provided

**Date of Future Data Quality Assessments:** TBD

**Procedures for Future Data Quality Assessments:** The project will employ a system of continuous adaptive management and therefore will audit data on a systematic basis before input into the project's MIS. For each data point report to USAID, supporting documentation will be identified and reviewed using the process outlined in Appendix A as a guide. The Task Lead will ensure that each data point is supported with documentation and that data are assessed against data integrity standards as outlined in the ADS 203.3.5.

### PLAN FOR DATA ANALYSIS, REVIEW, & REPORTING

**Data Analysis:** Actual numbers will be compared against targets to ensure timely progress toward project goals.

**Presentation of Data:** Quantitative.

**Review of Data:** The Core Team liaison will review data on a rolling basis as data comes in by the partners (Task Leads) and technical staff, and quarterly by the COP before submission on the Quarterly Report. Full audit of all data described above.

**Reporting of Data:** Quarterly Reports.

| OTHER NOTES                                      |        |        |       |
|--|--------|--------|-------|
| <b>Notes on Baselines/Targets:</b>               |        |        |       |
| <b>Other Notes:</b>                              |        |        |       |
| PERFORMANCE INDICATOR VALUES                     |        |        |       |
| Year   | Target | Actual | Notes |
| Year 1   | TBD    |        |       |
| Year 2   | TBD    |        |       |
| Year 3   | TBD    |        |       |
| Year 4   | TBD    |        |       |
| Year 5   | TBD    |        |       |
| LOP  | TBD    |        |       |
| <b>THIS SHEET LAST UPDATED ON:</b> June 19, 2013 |        |        |       |

| Performance Indicator Reference Sheet   |
|---|
| INDICATOR TITLE: 4.8.2-27 Number of days of USG funded technical assistance in climate change provided to counterparts or stakeholders  |
| DESCRIPTION   |
| <p><b>Precise Definition(s):</b> The provision of goods or services to developing countries and other USAID recipients in direct support of a development objective-as opposed to the internal management of the foreign assistance program. Services could include the transfer of knowledge and/or expertise by way of staff, skills training, research work and financing to support quality of program implementation and impact, support administration, management, representation, publicity, policy development and capacity building. Technical assistance includes both human and institutional resources. Technical assistance does not include financial assistance.</p> <p><b>Unit of Measure:</b> Number of days of technical assistance provided in each reporting period. Rounded up or down into whole numbers.</p> <p><b>Disaggregated by:</b> N/A</p> <p><b>Justification &amp; Management Utility:</b> To convey the coverage and capacity building contribution of USG programs.</p> <p><b>Baseline Value:</b> 0. Baseline is the start year of the project. The baseline value will be zero to measure the incremental change in the number of people trained resulting from a project.</p> |
| PLAN FOR DATA ACQUISITION   |
| <p><b>Data Collection Method:</b> Record keeping by Task Leads</p> <p><b>Method of Data Acquisition by the Project:</b> Reported to Core Team by Task Leads</p> <p><b>Data Source(s):</b> Task Leads; documented by project records/ TA reports</p> <p><b>Frequency/Timing of Data Acquisition:</b> Quarterly</p> <p><b>Responsible Individual(s) at the Project:</b> Task Lead (Tetra Tech)</p>  |
| DATA QUALITY ISSUES   |
| <p><b>Date of Initial Data Quality Assessment:</b> June 2014</p> <p><b>Known Data Limitations and Significance (if any):</b><br/>           Validity: This indicator addresses only one of the limitations, exposure to concepts and systems that offer solutions to development problems or institutional gaps that prevent people from taking appropriate actions.<br/>           Precision: Simply knowing the number of person days of technical assistance provided does not provide information about the quality and appropriateness of the technical advice provided.</p> <p><b>Actions Taken or Planned to Address Data Limitations:</b> Data should be supplemented by qualitative descriptions of TA provided</p> <p><b>Date of Future Data Quality Assessments:</b> TBD</p>   |

**Procedures for Future Data Quality Assessments:** The project will employ a system of continuous adaptive management and therefore will audit data on a systematic basis before input into the project's MIS. For each data point report to USAID, supporting documentation will be identified and reviewed using the process outlined in Appendix A as a guide. The Task Lead will ensure that each data point is supported with documentation and that data are assessed against data integrity standards as outlined in the ADS 203.3.5.

**PLAN FOR DATA ANALYSIS, REVIEW, & REPORTING**

**Data Analysis:** Actual numbers will be compared against targets to ensure timely progress toward project goals.

**Presentation of Data:** Quantitative.

**Review of Data:** The Core Team liaison will review data on a rolling basis as data comes in by the partners (Task Leads) and technical staff, and quarterly by the COP before submission on the Quarterly Report. Full audit of all data described above.

**Reporting of Data:** Quarterly Reports.

**OTHER NOTES**

**Notes on Baselines/Targets:**

**Other Notes:**

**PERFORMANCE INDICATOR VALUES**

| Year   | Target | Actual | Notes |
|--------|--------|--------|-------|
| Year 1 | TBD    |        |       |
| Year 2 | TBD    |        |       |
| Year 3 | TBD    |        |       |
| Year 4 | TBD    |        |       |
| Year 5 | TBD    |        |       |
| LOP    | TBD    |        |       |

**THIS SHEET LAST UPDATED ON:** June 19, 2013

**Performance Indicator Reference Sheet**

**INDICATOR TITLE:** 4.8.1-28 Number of days of USG funded technical assistance in natural resources management and/or biodiversity provided to counterparts or stakeholders

**DESCRIPTION**

**Precise Definition(s):** The provision of goods or services to developing countries and other USAID recipients in direct support of a development objective-as opposed to the internal management of the foreign assistance program. Services could include the transfer of knowledge and/or expertise by way of staff, skills training, research work and financing to support quality of program implementation and impact, support administration, management, representation, publicity, policy development and capacity building. Technical assistance includes both human and institutional resources. Technical assistance does not include financial assistance.

Natural resources and biodiversity is defined as conserving biodiversity and managing natural resources in ways that maintain their long-term viability and preserve their potential to meet the needs of present and future generations. Activities include combating illegal and corrupt exploitation of natural resources and the control of invasive species. Programs in this element should be integrated with the Agriculture Area under Economic Growth and Conflict Mitigation and Reconciliation Area under the Peace and Security Objective, when applicable and appropriate.

**Unit of Measure:** Number of days of technical assistance provided in each reporting period. Rounded up or down into whole numbers.

**Disaggregated by:** N/A

**Justification & Management Utility:** Technical assistance supports institutional capacity building, a key goal for long term sustainability.

**Baseline Value:** 0. Baseline is the start year of the project. The baseline value will be zero to measure the incremental change in the number of people trained resulting from a project.

| PLAN FOR DATA ACQUISITION  |        |        |       |
|--|--------|--------|-------|
| <b>Data Collection Method:</b> Record keeping by Task Leads  |        |        |       |
| <b>Method of Data Acquisition by the Project:</b> Reported to Core Team by Task Leads  |        |        |       |
| <b>Data Source(s):</b> Task Leads; documented by project records/TA reports  |        |        |       |
| <b>Frequency/Timing of Data Acquisition:</b> Quarterly   |        |        |       |
| <b>Responsible Individual(s) at the Project:</b> Task Lead (Tetra Tech)  |        |        |       |
| DATA QUALITY ISSUES  |        |        |       |
| <b>Date of Initial Data Quality Assessment:</b> June 2014  |        |        |       |
| <b>Known Data Limitations and Significance (if any):</b><br>Validity: This indicator addresses only one of the limitations, exposure to concepts and systems that offer solutions to development problems or institutional gaps that prevent people from taking appropriate actions.<br>Precision: Simply knowing the number of person days of technical assistance provided does not provide information about the quality and appropriateness of the technical advice provided.  |        |        |       |
| <b>Actions Taken or Planned to Address Data Limitations:</b> Data should be supplemented by qualitative descriptions of TA provided  |        |        |       |
| <b>Date of Future Data Quality Assessments:</b> TBD  |        |        |       |
| <b>Procedures for Future Data Quality Assessments:</b> The project will employ a system of continuous adaptive management and therefore will audit data on a systematic basis before input into the project's MIS. For each data point report to USAID, supporting documentation will be identified and reviewed using the process outlined in Appendix A as a guide. The Task Lead will ensure that each data point is supported with documentation and that data are assessed against data integrity standards as outlined in the ADS 203.3.5. |        |        |       |
| PLAN FOR DATA ANALYSIS, REVIEW, & REPORTING  |        |        |       |
| <b>Data Analysis:</b> Actual numbers will be compared against targets to ensure timely progress toward project goals.  |        |        |       |
| <b>Presentation of Data:</b> Quantitative.   |        |        |       |
| <b>Review of Data:</b> The Core Team liaison will review data on a rolling basis as data comes in by the partners (Task Leads) and technical staff, and quarterly by the COP before submission on the Quarterly Report. Full audit of all data described above.  |        |        |       |
| <b>Reporting of Data:</b> Quarterly Reports.   |        |        |       |
| OTHER NOTES  |        |        |       |
| <b>Notes on Baselines/Targets:</b>   |        |        |       |
| <b>Other Notes:</b>  |        |        |       |
| PERFORMANCE INDICATOR VALUES   |        |        |       |
| Year   | Target | Actual | Notes |
| Year 1   | TBD    |        |       |
| Year 2   | TBD    |        |       |
| Year 3   | TBD    |        |       |
| Year 4   | TBD    |        |       |
| Year 5   | TBD    |        |       |
| LOP  | TBD    |        |       |
| <b>THIS SHEET LAST UPDATED ON:</b> June 19, 2013   |        |        |       |

| Performance Indicator Reference Sheet   |  |
|---|--|
| INDICATOR TITLE: GNDR-2 Proportion of female participants in USG-assisted programs designed to increase access to productive economic resources (assets, credit, income or employment) training/programming |  |
| DESCRIPTION   |  |

**Precise Definition(s):** Productive economic resources include: assets - land, housing, businesses, livestock or financial assets such as savings; credit; wage or self-employment; and income. Programs include micro, small, and medium enterprise programs; workforce development programs that have job placement activities; programs that build assets (such as land redistribution or titling; housing titling; agricultural programs that provide assets such as livestock; programs designed to help adolescent females and young women set up savings accounts). This indicator does NOT track access to services – such as business development services or stand-alone employment training (e.g., that does not also include job placement following the training). Indicator narratives should specify type of assets.

**Unit of Measure:** The unit of measure will be a proportion, expressed in the format of X/Y, where X is the number of females from program participants and Y is the total number of male and female participants in the programs illustrated above (e.g., micro, small, and medium enterprise programs; workforce development programs that have job placement activities; programs that build assets (land redistribution or titling; housing titling; agricultural programs that provide assets such as livestock)

**Disaggregated by:** By age: 10-29; and 30 and over; Numerator, Denominator

**Justification & Management Utility:** The lack of access to resources is frequently cited as a major impediment to gender equality and women’s empowerment. Tracking the proportion of females among participants in USG funded interventions designed to increase access to economic resources can provide information on the scope of USG efforts to lift women out of poverty. This indicator would be used to measure women’s participation in USG supported programs that provide access to economic opportunity.

**Baseline Value:** 0.

**PLAN FOR DATA ACQUISITION**

**Data Collection Method:** Record keeping by Task Leads

**Method of Data Acquisition by the Project:** Reported to Core Team by Task Leads

**Data Source(s):** Task Leads; documented by training/event sign-in sheets; evaluation forms

**Frequency/Timing of Data Acquisition:** Quarterly

**Responsible Individual(s) at the Project:** Task Lead (Tetra Tech)

**DATA QUALITY ISSUES**

**Date of Initial Data Quality Assessment:** June 2014

**Known Data Limitations and Significance (if any):** The limitation of this indicator is that it does not track the quality of the program or actual increases or improvements in assets, income, or returns to an enterprise.

**Actions Taken or Planned to Address Data Limitations:** Third party evaluator may propose additional indicators to measure higher level outcomes of female participation

**Date of Future Data Quality Assessments:** TBD

**Procedures for Future Data Quality Assessments:** The project will employ a system of continuous adaptive management and therefore will audit data on a systematic basis before input into the project’s MIS. For each data point report to USAID, supporting documentation will be identified and reviewed using the process outlined in Appendix A as a guide. The Task Lead will ensure that each data point is supported with documentation and that data are assessed against data integrity standards as outlined in the ADS 203.3.5.

**PLAN FOR DATA ANALYSIS, REVIEW, & REPORTING**

**Data Analysis:** Actual numbers will be compared against targets to ensure timely progress toward project goals.

**Presentation of Data:** Quantitative.

**Review of Data:** The Core Team liaison will review data on a rolling basis as data comes in by the partners (Task Leads) and technical staff, and quarterly by the COP before submission on the Quarterly Report. Full audit of all data described above.

**Reporting of Data:** Quarterly Reports.

**OTHER NOTES**

**Notes on Baselines/Targets:**

**Other Notes:**

**PERFORMANCE INDICATOR VALUES**

| Year   | Target | Actual | Notes |
|--------|--------|--------|-------|
| Year 1 | TBD    |        |       |
| Year 2 | TBD    |        |       |
| Year 3 | TBD    |        |       |
| Year 4 | TBD    |        |       |
| Year 5 | TBD    |        |       |
| LOP    | TBD    |        |       |

**THIS SHEET LAST UPDATED ON:** June 19, 2013

**Performance Indicator Reference Sheet**

**INDICATOR TITLE:** GNDR-1 Number of laws, policies, or procedures drafted, proposed or adopted to promote gender equality at the regional, national or local level

**DESCRIPTION**

**Precise Definition(s):** Any law, policy or procedure designed to promote or strengthen gender equality at the regional, national or local level, which was developed or implemented with USG assistance. To be counted, the law, policy or procedure should have as its objective or intent one or more of the following” reducing an aspect of social, economic, or political inequality between women and men, girls and boys; ensuring that women and men, girls and boys, have equal opportunities to benefit from and contribute to social, political, economic, and cultural development; to realize their human rights; or to have access to/control over resources necessary to survive and thrive; or preventing gender-related discrimination or compensating for past gender-related discrimination or historical disadvantage. A law, policy or procedure may be designed to promote or strengthen gender equality at regional, national, sub-national, or community levels, and affect either formal or informal groups or institutions. Illustrative examples for this indicator include but are not limited to:

- Laws-USG assistance for civil society to draft and advocate for passage of a law eliminating a barrier to effective women’s participation.
- Policies-USG support for adoption of a comprehensive policy on sexual harassment by a local police force
- Procedures-USG assistance for host government agency implementation of procedures for gender-sensitive survey design and data collection.

Indicator narratives should include the name of the law, policy or procedure and should specify whether it was developed or implemented at the regional, national, sub-national, or community level. Items counted may include regulations, constitutional amendments or components, provisions to peace agreements, or other provisions designed to carry the force of law, official mandate, or authority.

A law, policy, or procedure may be counted only once in each stage of development or implementation; the same law, policy or procedure may not be reported across multiple reporting periods unless it has advanced to the next stage (law/policy/procedure drafted adopted; law/policy/procedure for which implementation has begun).

**Unit of Measure:** Number (count) of relevant laws, policies, or procedures developed or implemented with USG assistance during the reporting period.

**Disaggregated by:** Law/Policy/Procedure and stage of implementation

**Baseline Value:** 0.

**PLAN FOR DATA ACQUISITION**

**Data Collection Method:** Record keeping by Task Leads

**Method of Data Acquisition by the Project:** Reported to Core Team by Task Leads  
**Data Source(s):** Task Leads; documented by copies of laws, policies, or procedures  
**Frequency/Timing of Data Acquisition:** Quarterly  
**Responsible Individual(s) at the Project:** Task Lead

**DATA QUALITY ISSUES**

**Date of Initial Data Quality Assessment:** June 2014  
**Date of Future Data Quality Assessments:** TBD  
**Procedures for Future Data Quality Assessments:** The project will employ a system of continuous adaptive management and therefore will audit data on a systematic basis before input into the project's MIS. For each data point report to USAID, supporting documentation will be identified and reviewed using the process outlined in Appendix A as a guide. The Task Lead will ensure that each data point is supported with documentation and that data are assessed against data integrity standards as outlined in the ADS 203.3.5.

**PLAN FOR DATA ANALYSIS, REVIEW, & REPORTING**

**Data Analysis:** Actual numbers will be compared against targets to ensure timely progress toward project goals.  
**Presentation of Data:** Quantitative.  
**Review of Data:** The Core Team liaison will review data on a rolling basis as data comes in by the partners (Task Leads) and technical staff, and quarterly by the COP before submission on the Quarterly Report. Full audit of all data described above.  
**Reporting of Data:** Quarterly Reports.

**OTHER NOTES**

**Notes on Baselines/Targets:**

**Other Notes:**

**PERFORMANCE INDICATOR VALUES**

| Year   | Target | Actual | Notes |
|--------|--------|--------|-------|
| Year 1 | TBD    |        |       |
| Year 2 | TBD    |        |       |
| Year 3 | TBD    |        |       |
| Year 4 | TBD    |        |       |
| Year 5 | TBD    |        |       |
| LOP    | TBD    |        |       |

**THIS SHEET LAST UPDATED ON:** June 19, 2013

**Performance Indicator Reference Sheet**

**INDICATOR TITLE:** 4.7.4-5 Number of households who have obtained documented property rights as a result of USG assistance

**DESCRIPTION**

**Precise Definition(s):** Number of landholding households that receive documentation of property rights in the form of certificates, land titles, or other property documents as a result of USG programs that are designed to provide such documents

**Unit of Measure:** Number of households obtaining documents

**Disaggregated by:** Sex

**Justification & Management Utility:** Insecure property rights and overlapping claims to property inhibit agricultural investment and productivity and spur conflict. Documentation of property rights is an important step in clarifying ownership in a way that leads to greater tenure security and hence investment and reduced conflict.

**Baseline Value:** 0.

| PLAN FOR DATA ACQUISITION  |        |        |       |
|--|--------|--------|-------|
| <b>Data Collection Method:</b> Monitoring by Task Lead   |        |        |       |
| <b>Data Source(s):</b> Task Lead (Tetra Tech) records and copies of documentation (certificates, etc.)   |        |        |       |
| <b>Frequency/Timing of Data Acquisition:</b> Quarterly   |        |        |       |
| <b>Responsible Individual(s) at the Project:</b> Task Leads (Tetra Tech)   |        |        |       |
| DATA QUALITY ISSUES  |        |        |       |
| <b>Date of Initial Data Quality Assessment:</b> June 2014  |        |        |       |
| <b>Known Data Limitations and Significance (if any):</b> While documentation is one aspect of a more secure property rights system, it is important to bear in mind that in many cases documentation is only effective if accompanied by broader institutional reform, which could be a source of misunderstanding.  |        |        |       |
| <b>Actions Taken or Planned to Address Data Limitations:</b> Therefore, it will be important to communicate to stakeholders and others that this indicator is intended to measure progress along one dimension of the property rights system, rather than progress along the property rights system as a whole.  |        |        |       |
| <b>Date of Future Data Quality Assessments:</b> TBD  |        |        |       |
| <b>Procedures for Future Data Quality Assessments:</b> The project will employ a system of continuous adaptive management and therefore will audit data on a systematic basis before input into the project's MIS. For each data point report to USAID, supporting documentation will be identified and reviewed using the process outlined in Appendix A as a guide. The Task Lead will ensure that each data point is supported with documentation and that data are assessed against data integrity standards as outlined in the ADS 203.3.5. |        |        |       |
| PLAN FOR DATA ANALYSIS, REVIEW, & REPORTING  |        |        |       |
| <b>Data Analysis:</b> Actual numbers will be compared against targets to ensure timely progress toward project goals.  |        |        |       |
| <b>Presentation of Data:</b> Quantitative.   |        |        |       |
| <b>Review of Data:</b> The Core Team liaison will review data on a rolling basis as data comes in by the partners (Task Leads) and technical staff, and quarterly by the COP before submission on the Quarterly Report. Full audit of all data described above.  |        |        |       |
| <b>Reporting of Data:</b> Quarterly Reports.   |        |        |       |
| OTHER NOTES  |        |        |       |
| <b>Notes on Baselines/Targets:</b>   |        |        |       |
| <b>Other Notes:</b>  |        |        |       |
| PERFORMANCE INDICATOR VALUES   |        |        |       |
| Year   | Target | Actual | Notes |
| Year 1   | TBD    |        |       |
| Year 2   | TBD    |        |       |
| Year 3   | TBD    |        |       |
| Year 4   | TBD    |        |       |
| Year 5   | TBD    |        |       |
| LOP  | TBD    |        |       |
| <b>THIS SHEET LAST UPDATED ON:</b> June 19, 2013   |        |        |       |

| Performance Indicator Reference Sheet   |
|---|
| INDICATOR TITLE: 4.8.1-26 Number of hectares of biological significance and/or natural resources under improved natural resource management as a result of USG assistance |
| DESCRIPTION   |

**Precise Definition(s):** “Improved natural resource management” includes activities that promote enhanced management of natural resources for one or more objectives, such as conserving biodiversity, sustaining soil or water resources, mitigating climate change, and/or promoting sustainable agriculture. Management should be guided by a stakeholder-endorsed process following principles of sustainable NRM and conservation, improved human and institutional capacity for sustainable NRM and conservation, access to better information for decision-making, and/or adoption of sustainable NRM and conservation practices. An area is considered under “improved management” when any one of the following occurs: a change in legal status favors conservation or sustainable NRM; a local site assessment is completed which informs management planning; management actions are designed with appropriate participation; human and institutional capacity is developed; management actions are implemented; ongoing monitoring and evaluation is established; adaptive management is demonstrated; or on-the-ground management impacts are demonstrated (eg. illegal roads closed, snares removed, no-fishing zones demarcated). Reported as total number of hectares improved during the fiscal year in question, which can include maintained improvement in previously reported hectares and/or new, additional hectares. A subset of this indicator may also be reported as “Number of hectares of natural resources showing improved biophysical conditions as a result of USG assistance” if the latter indicator is used; double counting IS allowed.

Reported as total number of hectares improved during the fiscal year in question, which can include maintained improvement in previously reported hectares and/or new, additional hectares. Improved management should be reported for activities where the USAID supported program was plausibly linked to the improvements observed. Partners should articulate clearly the benchmarks that are being used within the program to gauge success, and provide a short narrative to describe the benchmarks that have been reached in the past year.

**Unit of Measure:** Hectares

**Disaggregated by:** N/A

**Justification & Management Utility:** A spatial indicator is an appropriate measure of the scale of impact of biodiversity conservation and/or NRM interventions. Good management of natural resources is a prerequisite for achieving improved biophysical condition of natural resources. Measures of this indicator demonstrate progress towards sustainable natural resources governance and institutions, and can inform adaptive management of programs. This indicator is a reliable annual measure that demonstrates the magnitude of USG investments in biodiversity conservation and other natural resource sectors.

**Baseline Value:** 0.

**PLAN FOR DATA ACQUISITION**

**Data Collection Method/Source:** Implementing partner(s) report the number of hectares under improved natural resources management annually based on the spatial impact of management improvements which were designed, adopted or implemented, including monitoring and adaptive management practices.

**Frequency/Timing of Data Acquisition:** Quarterly

**Responsible Individual(s) at the Project:** Third Party Evaluator

**DATA QUALITY ISSUES**

**Date of Initial Data Quality Assessment:** June 2014

**Known Data Limitations and Significance (if any):**

Validity, integrity and reliability of data are high but regular data quality analysis is necessary. Precision is low: “improved management” is a relative term, and narrative is required to explain the quality of this management improved. Equal weight is given to unequal improvements along a continuum: eg. creating, adopting and implementing management plans may each be an improvement over a baseline. Likewise, a small management improvement across a large area may be as important as a large improvement across a small area.

**Date of Future Data Quality Assessments:** TBD

**Procedures for Future Data Quality Assessments:** Date of Future Data Quality Assessments: TBD

Procedures for Future Data Quality Assessments: The project will employ a system of continuous adaptive management and therefore will audit data on a systematic basis before input into the project’s MIS. For each data point report to USAID, supporting documentation will be identified and reviewed using the process outlined in Appendix A as a guide. The Task Lead will ensure that each data point is supported with documentation and that data are assessed against data integrity standards as outlined in the ADS 203.3.5.

**PLAN FOR DATA ANALYSIS, REVIEW, & REPORTING**

**Data Analysis:** Actual numbers will be compared against targets to ensure timely progress toward project goals.

**Presentation of Data:** Quantitative.

**Review of Data:** The Core Team liaison will review data on a rolling basis as data comes in by the partners (Task Leads) and technical staff, and quarterly by the COP before submission on the Quarterly Report. Full audit of all data described above.

**Reporting of Data:** Quarterly Reports.

**OTHER NOTES**

**Notes on Baselines/Targets:**

**Other Notes:**

**PERFORMANCE INDICATOR VALUES**

| Year   | Target | Actual | Notes |
|--------|--------|--------|-------|
| Year 1 | TBD    |        |       |
| Year 2 | TBD    |        |       |
| Year 3 | TBD    |        |       |
| Year 4 | TBD    |        |       |
| Year 5 | TBD    |        |       |
| LOP    | TBD    |        |       |

**THIS SHEET LAST UPDATED ON:** June 19, 2013

**Performance Indicator Reference Sheet**

**INDICATOR TITLE:** GNDR-3 Proportion of females who report increased self-efficacy at the conclusion of USG supported training/programming

**DESCRIPTION**

**Precise Definition(s):** Self-efficacy is a widely used and frequently assessed psychological concept first developed by Albert Bandura in 1977. Fundamentally, feelings of self-efficacy refer to people's beliefs in their capacity to produce actions that are necessary for achieving desired outcomes/attainments. As a concept, it is similar to having a sense of personal agency. Self-efficacy has been shown to have a crucial impact on goal-setting, perseverance in the face of difficulties, and action-oriented behaviors. Feelings of self-efficacy can be assessed in specific contexts or as a more general, cross-situational belief that one has the capacity to mobilize the motivation, cognitive resources, and courses of action needed to exercise general control over events in one's life. For the purposes of this indicator, only trainings of at least a full day duration or longer should be counted. Trainings or programs in any sector that have women's empowerment as a goal (even if not the only goal) should utilize this indicator. This would include programs/training in the following areas among others: leadership skills, youth development, civil society advocacy skills, conflict resolution or mediation skills, entrepreneurship, development of women's business associations or other forms of networking, etc.

**Unit of Measure:** The unit of measure will be a proportion, expressed in the format of X/Y, where X is the number of women whose scores have improved over time and Y is the total number of women who participated in the relevant training/programming.

**Disaggregated by:** By age: 10-29; and 30 and over; Numerator, Denominator

**Justification & Management Utility:** This indicator will be used to gauge the effectiveness of efforts to empower women through USAID programming across a wide variety of sectors. Trainings that do not result in improved feelings of self-efficacy may need to be adjusted.

**Baseline Value:** 0.

**PLAN FOR DATA ACQUISITION**

**Data Collection Method:** Data for this indicator will be collected by survey, once at the start of relevant USG-funded training/programming and a second time at the end of the training/programming. The survey may be read to program beneficiaries who are illiterate.

The measure that will be used is the Generalized Self-Efficacy or GSE (Judge, Locke, Durham, & Kluger, 1998\*), which includes the following items:

- I am strong enough to overcome life's struggles.
- At root, I am a weak person. (r)
- I can handle the situations that life brings.
- I usually feel that I am an unsuccessful person. (r)
- I often feel that there is nothing that I can do well. (r)
- I feel competent to deal effectively with the real world.
- I often feel like a failure. (r)
- I usually feel I can handle the typical problems that come up in life.

Respondents will be asked to indicate the extent of their agreement with each item, using the following scale:

- 2 = Strongly Disagree
- 1 = Disagree
- 0 = Neither Agree nor Disagree
- +1 = Agree
- +2 = Strongly Agree

Items with an "r" are to be reverse-scored. In other words, those items followed by an "r" that have a score of -2 should be recoded as a score of +2, -1 should be recoded as +1, +1 as -1 and +2 as -2. For example, for item 2 ("At root, I am a weak person"), a response of 'strongly agree' would be re-coded as "- 2" and a response of 'strongly disagree' would be re-coded as "+2." Responses on each item should be added to yield a score between -16 and +16. A higher score indicates more positive feelings of self-efficacy. The proportion of participants whose score increased across time should be reported as a fraction with the number of trained participants overall provided as the denominator.

**Responsible Individual(s) at the Project:** Third Party Evaluator

#### **DATA QUALITY ISSUES**

**Date of Initial Data Quality Assessment:** June 2014

**Known Data Limitations and Significance (if any):** This scale has been widely used in the psychology literature and has been shown to have good validity and reliability. Both the concept of general self-efficacy and scales designed to measure it (including the GSE) have been validated across scores of countries including Turkey, China, Japan, Iran, South Africa, Chile, Korea, Australia, and many others.

**Date of Future Data Quality Assessments:** TBD

**Procedures for Future Data Quality Assessments:** The project will employ a system of continuous adaptive management and therefore will audit data on a systematic basis before input into the project's MIS. For each data point report to USAID, supporting documentation will be identified and reviewed using the process outlined in Appendix A as a guide. The Task Lead will ensure that each data point is supported with documentation and that data are assessed against data integrity standards as outlined in the ADS 203.3.5.

#### **PLAN FOR DATA ANALYSIS, REVIEW, & REPORTING**

**Data Analysis:** Baseline data from the start of the training/programming will be compared to data from a second survey at the end of the training/programming.

**Presentation of Data:** Quantitative.

**Review of Data:** The Core Team liaison will review data on a rolling basis as data comes in by the partners (Task Leads) and technical staff, and quarterly by the COP before submission on the Quarterly Report. Full audit of all data described above.

**Reporting of Data:** Quarterly Reports.

**OTHER NOTES**

**Notes on Baselines/Targets:**

**Other Notes:**

**PERFORMANCE INDICATOR VALUES**

| Year   | Target | Actual | Notes |
|--------|--------|--------|-------|
| Year 1 | TBD    |        |       |
| Year 2 | TBD    |        |       |
| Year 3 | TBD    |        |       |
| Year 4 | TBD    |        |       |
| Year 5 | TBD    |        |       |
| LOP    | TBD    |        |       |

**THIS SHEET LAST UPDATED ON:** June 19, 2013

## 2.1 TASK 2 M&E PLAN

The objective of Task 2 is to clarify legal and regulatory rights to benefits derived from environmental services under REDD+ and other Payment for Environmental Service schemes. Results under this task include:

IR 2.1: Methods and tools to assess the legal and institutional context defining rights to manage and benefit from carbon sequestration and other Payment for Environmental Service (PES) schemes tested.

IR 2.2: Laws, regulations, and institutions to recognize and protect the rights of local communities, investors, and other stakeholders who participate in the management of and receive benefits from REDD+ and similar initiatives aligned.

### 2.2.1 Output Indicators

4.7.4-8 Person hours of training completed by government officials, traditional authority, or individuals related to land tenure and property rights supported by USG assistance

4.7.4-9 Number of days of USG funded technical assistance on land tenure and property rights issues provided to counterparts or stakeholders

4.8.2-27 Number of days of USG funded technical assistance in climate change provided to counterparts or stakeholders

4.8.2-28 Number of laws, policies, strategies, plans, agreements, or regulations addressing climate change (mitigation or adaptation) and/or biodiversity conservation officially proposed, adopted, or implemented as a result of USG assistance.

GNDR-1 Number of laws, policies, or procedures drafted, proposed or adopted to promote gender equality at the regional, national or local level

Progress toward Year 1 Milestones (see Table 2)

### 2.2.2 Outcome Indicators

Number of countries participating in the piloting of the legal and institutional assessments.

Number of approaches to achieve REDD+ assessed

Number of options for addressing the challenges of REDD+ implementation identified

Number of stakeholder groups participating in the assessment process.

Number of civil society actors that have reviewed their country's assessment methodology

## Task 2

**Sub IR 2.1: Methods and tools to assess the legal and institutional context defining rights to manage and benefit from carbon sequestration and other Payment for Environmental Service (PES) schemes tested.**

**Sub IR 2.2: Laws, regulations, and institutions to recognize and protect the rights of local communities, investors, and other stakeholders who participate in the management of and receive benefits from REDD+ and similar initiatives aligned.**

## Performance Indicator Reference Sheet

INDICATOR TITLE: 4.7.4-8 Person hours of training completed by government officials, traditional authority, or individuals related to land tenure and property rights supported by USG assistance

### DESCRIPTION

**Precise Definition(s):** This indicator uses the following equation to express the number of USG-supported training hours that were completed by training participants:

Hours of USG supported training course x Number of people completing that training course

**Support from the USG:** This indicator counts training hours that were delivered in full or in part as a result of USG assistance. This could include provision of funds to pay teachers, providing hosting facilities, or other key contributions necessary to ensure training was delivered. This indicator does not automatically count any course for which the USG helped develop the curriculum, but rather focuses on delivery of courses that was made possible through full or partial funding from the USG.

**People:** Only people who complete the entire training course are counted for this indicator.

**Training:** Training is defined as sessions in which participants are educated according to a defined curriculum and set learning objectives. Sessions that could be informative or educational, such as meetings, but do not have a defined curriculum or learning objectives are not counted as training.

Inclusive economic law and property rights is defined as ensuring that poor people, women, and other disadvantaged groups have equal legal rights and protection in economic matters.

**Unit of Measure:** Number (of person hours)

**Disaggregated by:** Sex

**Justification & Management Utility:** Training indicators account for the expenditure of USG funds to build country capacity.

**Baseline Value:** 0.

### PLAN FOR DATA ACQUISITION

**Data Collection Method:** Attendance records of implementing partners that conduct training (sign in sheets; evaluation forms). Data are reported to the mission on a quarterly/annual basis

**Responsible Individual(s) at the Project:** Task Lead (WRI)

### DATA QUALITY ISSUES

**Date of Initial Data Quality Assessment:** July 2014

**Known Data Limitations and Significance (if any):** Attendance records may be incomplete or inaccurate, especially in the case of determining whether a participant completed an entire course. The universe of countries providing this type of training can vary from year to year; thus, trends should not be interpreted from aggregate data.

**Date of Future Data Quality Assessments:** TBD

**Procedures for Future Data Quality Assessments:** The project will employ a system of continuous adaptive management and therefore will audit data on a systematic basis before input into the project's MIS. For each data point report to USAID, supporting documentation will be identified and reviewed using the process outlined in Appendix A as a guide. The Task Lead will ensure that each data point is supported with documentation and that data are assessed against data integrity standards as outlined in the ADS 203.3.5.

### PLAN FOR DATA ANALYSIS, REVIEW, & REPORTING

**Data Analysis:** Actual numbers will be compared against targets to ensure timely progress toward project goals.

**Presentation of Data:** Quantitative.

**Review of Data:** The Core Team liaison will review data on a rolling basis as data comes in by the partners (Task Leads) and technical staff, and quarterly by the COP before submission on the Quarterly Report. Full audit of all data described above.

**Reporting of Data:** Quarterly Reports.

| OTHER NOTES                                      |        |        |       |
|--|--------|--------|-------|
| <b>Notes on Baselines/Targets:</b>               |        |        |       |
| <b>Other Notes:</b>                              |        |        |       |
| PERFORMANCE INDICATOR VALUES                     |        |        |       |
| Year   | Target | Actual | Notes |
| 2013   | 0      |        |       |
| 2014   | TBD    |        |       |
| 2015   | TBD    |        |       |
| 2016   | TBD    |        |       |
| 2017   | TBD    |        |       |
| LOP  | TBD    |        |       |
| <b>THIS SHEET LAST UPDATED ON:</b> June 19, 2013 |        |        |       |

| Performance Indicator Reference Sheet   |
|---|
| INDICATOR TITLE: 4.7.4-9 Number of days of USG funded technical assistance on land tenure and property rights issues provided to counterparts or stakeholders   |
| DESCRIPTION   |
| <p><b>Precise Definition(s):</b> The provision of goods or services to developing countries and other USAID recipients in direct support of a development objective-as opposed to the internal management of the foreign assistance program. Services could include the transfer of knowledge and/or expertise by way of staff, skills training, research work and financing to support quality of program implementation and impact, support administration, management, representation, publicity, policy development and capacity building. Technical assistance includes both human and institutional resources. Technical assistance does not include financial assistance.</p> <p>Inclusive economic law and property rights is defined as ensuring that poor people, women, and other disadvantaged groups have equal legal rights and protection in economic matters.</p> <p><b>Unit of Measure:</b> Number of days of technical assistance provided in each reporting period. Rounded up or down into whole numbers.</p> <p><b>Disaggregated by:</b> N/A</p> <p><b>Justification &amp; Management Utility:</b> To convey the coverage and capacity building contribution of USG programs.</p> <p><b>Baseline Value:</b> Baseline is the start year of the project. The baseline value will be zero to measure the incremental change in the number of people trained resulting from a project.</p> |
| PLAN FOR DATA ACQUISITION   |
| <p><b>Data Collection Method:</b> Record keeping by Task Leads</p> <p><b>Method of Data Acquisition by the Project:</b> Reported to Core Team by Task Leads; documented by Task Lead records/TA reports</p> <p><b>Data Source(s):</b> Task Leads</p> <p><b>Frequency/Timing of Data Acquisition:</b> Quarterly</p> <p><b>Responsible Individual(s) at the Project:</b> Task Lead (WRI)</p>  |
| DATA QUALITY ISSUES   |
| <p><b>Date of Initial Data Quality Assessment:</b> June 2014</p> <p><b>Known Data Limitations and Significance (if any):</b></p> <p>Validity: This indicator addresses only one of the limitations – exposure to concepts and systems that offer solutions to development problems or institutional gaps -- that prevent people from taking appropriate actions.</p> <p>Precision: Simply knowing the number of person days of technical assistance provided does not provide information about the quality and appropriateness of the technical advice provided.</p>   |

**Actions Taken or Planned to Address Data Limitations:** Data should be supplemented by qualitative descriptions of TA provided

**Date of Future Data Quality Assessments:** TBD

**Procedures for Future Data Quality Assessments:** The project will employ a system of continuous adaptive management and therefore will audit data on a systematic basis before input into the project's MIS. For each data point report to USAID, supporting documentation will be identified and reviewed using the process outlined in Appendix A as a guide. The Task Lead will ensure that each data point is supported with documentation and that data are assessed against data integrity standards as outlined in the ADS 203.3.5.

**PLAN FOR DATA ANALYSIS, REVIEW, & REPORTING**

**Data Analysis:** Actual numbers will be compared against targets to ensure timely progress toward project goals.

**Presentation of Data:** Quantitative.

**Review of Data:** The Core Team liaison will review data on a rolling basis as data comes in by the partners (Task Leads) and technical staff, and quarterly by the COP before submission on the Quarterly Report. Full audit of all data described above.

**Reporting of Data:** Quarterly Reports.

**OTHER NOTES**

**Notes on Baselines/Targets:**

**Other Notes:**

**PERFORMANCE INDICATOR VALUES**

| Year   | Target | Actual | Notes |
|--------|--------|--------|-------|
| Year 1 | 4      |        |       |
| Year 2 | TBD    |        |       |
| Year 3 | TBD    |        |       |
| Year 4 | TBD    |        |       |
| Year 5 | TBD    |        |       |
| LOP    | TBD    |        |       |

**THIS SHEET LAST UPDATED ON:** June 19, 2013

**Performance Indicator Reference Sheet**

**INDICATOR TITLE:** 4.8.2-27 Number of days of USG funded technical assistance in climate change provided to counterparts or stakeholders

**DESCRIPTION**

**Precise Definition(s):** The provision of goods or services to developing countries and other USAID recipients in direct support of a development objective-as opposed to the internal management of the foreign assistance program. Services could include the transfer of knowledge and/or expertise by way of staff, skills training, research work and financing to support quality of program implementation and impact, support administration, management, representation, publicity, policy development and capacity building. Technical assistance includes both human and institutional resources. Technical assistance does not include financial assistance.

**Unit of Measure:** Number of days of technical assistance provided in each reporting period. Rounded up or down into whole numbers.

**Disaggregated by:** N/A

**Justification & Management Utility:** To convey the coverage and capacity building contribution of USG programs.

**Baseline Value:** 0. Baseline is the start year of the project. The baseline value will be zero to measure the incremental change in the number of people trained resulting from a project.

**PLAN FOR DATA ACQUISITION**

**Data Collection Method:** Record keeping by Task Leads

**Method of Data Acquisition by the Project:** Reported to Core Team by Task Leads

**Data Source(s):** Documented by Task Lead records/TA reports

**Frequency/Timing of Data Acquisition:** Quarterly  
**Responsible Individual(s) at the Project:** Task Lead (WRI)

**DATA QUALITY ISSUES**

**Date of Initial Data Quality Assessment:** June 2014  
**Known Data Limitations and Significance (if any):**  
 Validity: This indicator addresses only one of the limitations, exposure to concepts and systems that offer solutions to development problems or institutional gaps that prevent people from taking appropriate actions.  
 Precision: Simply knowing the number of person days of technical assistance provided does not provide information about the quality and appropriateness of the technical advice provided.  
**Actions Taken or Planned to Address Data Limitations:** Data should be supplemented by qualitative descriptions of TA provided  
**Date of Future Data Quality Assessments:** TBD  
**Procedures for Future Data Quality Assessments:** The project will employ a system of continuous adaptive management and therefore will audit data on a systematic basis before input into the project's MIS. For each data point report to USAID, supporting documentation will be identified and reviewed using the process outlined in Appendix A as a guide. The Task Lead will ensure that each data point is supported with documentation and that data are assessed against data integrity standards as outlined in the ADS 203.3.5.

**PLAN FOR DATA ANALYSIS, REVIEW, & REPORTING**

**Data Analysis:** Actual numbers will be compared against targets to ensure timely progress toward project goals.  
**Presentation of Data:** Quantitative.  
**Review of Data:** The Core Team liaison will review data on a rolling basis as data comes in by the partners (Task Leads) and technical staff, and quarterly by the COP before submission on the Quarterly Report. Full audit of all data described above.  
**Reporting of Data:** Quarterly Reports.

**OTHER NOTES**

**Notes on Baselines/Targets:**  
**Other Notes:**

**PERFORMANCE INDICATOR VALUES**

| Year   | Target | Actual | Notes |
|--------|--------|--------|-------|
| Year 1 | 5      |        |       |
| Year 2 | TBD    |        |       |
| Year 3 | TBD    |        |       |
| Year 4 | TBD    |        |       |
| Year 5 | TBD    |        |       |
| LOP    | TBD    |        |       |

**THIS SHEET LAST UPDATED ON:** June 19, 2013

**Performance Indicator Reference Sheet**

**INDICATOR TITLE:** 4.8.2-28 Number of laws, policies, strategies, plans, agreements, or regulations addressing climate change (mitigation or adaptation) and/or biodiversity conservation officially proposed, adopted, or implemented as a result of USG assistance.

**DESCRIPTION**

**Precise Definition(s):** Policies, laws, strategies, plans, agreements and regulations include those developed and formally endorsed by governmental, non-governmental, civil society, and/or private sector stakeholders to address climate change and/or biodiversity conservation issues. However, if a measure is not yet adopted, it must at least be formally proposed within an official government process to be reported.

Legal, regulatory and policy reform has a role to play by incentivizing investment in clean energy or energy efficiency, or encouraging lower risk behavior. Depending on the context, regulatory and policy reform might include: zoning regulations to prevent development in flood-prone areas, standards for improved infrastructure, policies to conserve or allocate energy or water more effectively, regulations to encourage the development of renewable energy sources, or trans-boundary agreements related to the use of shared resources, among many others. For example, an officially proposed or adopted low-emission development strategy (LEDS) is one type of strategy that should be counted.

Policies, laws, strategies, plans, agreements and regulations that address climate change and/or biodiversity conservation may be integrated in scope (e.g., at a certain spatial scale or political boundary such as municipal, state, or national), or may address certain climate-relevant sectors like water, marine resources, forests, land use and agriculture, energy, and urban development. For policies that may affect climate or biodiversity indirectly, it is essential that the indicator narrative explains the connection.

For interpretation of this indicator, a qualitative description should be provided to explain what the number represents, particularly:

- What is the title of the measure?
- At what stage is it? (e.g., officially proposed, adopted, or implemented?)
- How does the measure contribute to climate change mitigation or adaptation or biodiversity conservation?
- What is/are the institution(s) that will be implementing and/or enforcing the measure, and at what scale (e.g., national, state, municipal, community)?

**Unit of Measure:** Number of laws, policies, strategies, plans, agreements or regulations

**Disaggregated by:**

- Clean energy related measure,
- Adaptation related measure,
- Sustainable Landscapes related measure,
- Cross-cutting measure related to multiple climate change areas or other sectors.

**Justification & Management Utility:**

**Baseline Value:** 0.

#### PLAN FOR DATA ACQUISITION

**Data Collection Method:** Record keeping by Task Leads

**Method of Data Acquisition by the Project:** Reported to Core Team by Task Leads

**Data Source(s):** Task Leads; documented by copies of laws, policies, strategies, plans, agreements, or regulations

**Frequency/Timing of Data Acquisition:** Quarterly

**Responsible Individual(s) at the Project:** Task Lead (WRI)

#### DATA QUALITY ISSUES

**Date of Initial Data Quality Assessment:** June 2014

**Known Data Limitations and Significance (if any):**

**Validity:** If the intended result is an improved enabling environment, then the numbers of policies, laws, regulations, and procedures provides only a partial measure of success, given that effective implementation and enforcement are also critical. Laws, policies, and plans might also not be well-designed or effective. Narrative is critical for interpreting this indicator.

**Timeliness:** Preparatory studies may be required prior to proposal, adoption, or implementation of the measure

**Precision:** This indicator does not capture progress made along the way in terms of convening stakeholders, drafting, approving, and implementing/enforcing laws, policies and plans. Narrative is critical for interpreting this indicator.

**Date of Future Data Quality Assessments:** TBD

**Procedures for Future Data Quality Assessments:** The project will employ a system of continuous adaptive management and therefore will audit data on a systematic basis before input into the project's MIS. For each data point report to USAID, supporting documentation will be identified and reviewed using the process outlined in Appendix A as a guide. The Task Lead will ensure that each data point is supported with documentation and that data are assessed against data integrity standards as outlined in the ADS 203.3.5.

#### PLAN FOR DATA ANALYSIS, REVIEW, & REPORTING

**Data Analysis:** Actual numbers will be compared against targets to ensure timely progress toward project goals.

**Presentation of Data:** Quantitative.

**Review of Data:** The Core Team liaison will review data on a rolling basis as data comes in by the partners (Task Leads) and technical staff, and quarterly by the COP before submission on the Quarterly Report. Full audit of all data described above.

**Reporting of Data:** Quarterly Reports.

| OTHER NOTES                                      |        |        |       |
|--|--------|--------|-------|
| <b>Notes on Baselines/Targets:</b>               |        |        |       |
| <b>Other Notes:</b>                              |        |        |       |
| PERFORMANCE INDICATOR VALUES                     |        |        |       |
| Year   | Target | Actual | Notes |
| Year 1   | 0      |        |       |
| Year 2   | TBD    |        |       |
| Year 3   | TBD    |        |       |
| Year 4   | TBD    |        |       |
| Year 5   | TBD    |        |       |
| LOP  | TBD    |        |       |
| <b>THIS SHEET LAST UPDATED ON:</b> June 19, 2013 |        |        |       |

| Performance Indicator Reference Sheet   |  |
|---|--|
| INDICATOR TITLE: GNDR-1      Number of laws, policies, or procedures drafted, proposed or adopted to promote gender equality at the regional, national or local level |  |
| DESCRIPTION   |  |

**Precise Definition(s):** Any law, policy or procedure designed to promote or strengthen gender equality at the regional, national or local level, which was developed or implemented with USG assistance. To be counted, the law, policy or procedure should have as its objective or intent one or more of the following” reducing an aspect of social, economic, or political inequality between women and men, girls and boys; ensuring that women and men, girls and boys, have equal opportunities to benefit from and contribute to social, political, economic, and cultural development; to realize their human rights; or to have access to/control over resources necessary to survive and thrive; or preventing gender-related discrimination or compensating for past gender-related discrimination or historical disadvantage. A law, policy or procedure may be designed to promote or strengthen gender equality at regional, national, sub-national, or community levels, and affect either formal or informal groups or institutions. Illustrative examples for this indicator include but are not limited to:

- Laws-USG assistance for civil society to draft and advocate for passage of a law eliminating a barrier to effective women’s participation.
- Policies-USG support for adoption of a comprehensive policy on sexual harassment by a local police force
- Procedures-USG assistance for host government agency implementation of procedures for gender-sensitive survey design and data collection.

Indicator narratives should include the name of the law, policy or procedure and should specify whether it was developed or implemented at the regional, national, sub-national, or community level. Items counted may include regulations, constitutional amendments or components, provisions to peace agreements, or other provisions designed to carry the force of law, official mandate, or authority.

A law, policy, or procedure may be counted only once in each stage of development or implementation; the same law, policy or procedure may not be reported across multiple reporting periods unless it has advanced to the next stage (law/policy/procedure drafted adopted; law/policy/procedure for which implementation has begun).

**Unit of Measure:** Number (count) of relevant laws, policies, or procedures developed or implemented with USG assistance during the reporting period.

**Disaggregated by:** Law/Policy/Procedure and stage of implementation

**Baseline Value:** 0.

#### PLAN FOR DATA ACQUISITION

**Data Collection Method:** Record keeping by Task Leads

**Method of Data Acquisition by the Project:** Reported to Core Team by Task Leads

**Data Source(s):** Task Leads; documented by copies of laws, policies, or procedures

**Frequency/Timing of Data Acquisition:** Quarterly

**Responsible Individual(s) at the Project:** Task Lead (WRI)

#### DATA QUALITY ISSUES

**Date of Initial Data Quality Assessment:** June 2014

**Date of Future Data Quality Assessments:** TBD

**Procedures for Future Data Quality Assessments:** The project will employ a system of continuous adaptive management and therefore will audit data on a systematic basis before input into the project’s MIS. For each data point report to USAID, supporting documentation will be identified and reviewed using the process outlined in Appendix A as a guide. The Task Lead will ensure that each data point is supported with documentation and that data are assessed against data integrity standards as outlined in the ADS 203.3.5.

#### PLAN FOR DATA ANALYSIS, REVIEW, & REPORTING

**Data Analysis:** Actual numbers will be compared against targets to ensure timely progress toward project goals.

**Presentation of Data:** Quantitative.

**Review of Data:** The Core Team liaison will review data on a rolling basis as data comes in by the partners (Task Leads) and technical staff, and quarterly by the COP before submission on the Quarterly Report. Full audit of all data described above.

**Reporting of Data:** Quarterly Reports.

**OTHER NOTES**

**Notes on Baselines/Targets:**

**Other Notes:**

**PERFORMANCE INDICATOR VALUES**

| Year   | Target | Actual | Notes |
|--------|--------|--------|-------|
| Year 1 | 0      |        |       |
| Year 2 | TBD    |        |       |
| Year 3 | TBD    |        |       |
| Year 4 | TBD    |        |       |
| Year 5 | TBD    |        |       |
| LOP    | TBD    |        |       |

**THIS SHEET LAST UPDATED ON:** June 19, 2013

**Performance Indicator Reference Sheet**

**INDICATOR TITLE:** Number of countries participating in the piloting of the legal and institutional assessments.

**DESCRIPTION**

**Precise Definition(s):** Two to three countries will be selected to participate in the initial piloting of the legal and institutional assessments depending on a number of criteria defined in the activities section below. Further piloting, or application of the methodology, may be undertaken depending on country interests and funding.

**Unit of Measure:** Number (countries)

**Disaggregated by:** Country

**Justification & Management Utility:** Measures support of assessment methodology and findings; milestone toward dissemination and application of assessment findings.

**Baseline Value:** 0.

**PLAN FOR DATA ACQUISITION**

**Method of Data Acquisition by the Project:** Reported to Core Team by Task Leads

**Data Source(s):** Task Leads; documented by copies of review/acceptance forms

**Frequency/Timing of Data Acquisition:** Quarterly

**Responsible Individual(s) at the Project:** Task Lead (WRI)

**DATA QUALITY ISSUES**

**Date of Initial Data Quality Assessment:** June 2014

**Date of Future Data Quality Assessments:** TBD

**Procedures for Future Data Quality Assessments:** The project will employ a system of continuous adaptive management and therefore will audit data on a systematic basis before input into the project's MIS. For each data point report to USAID, supporting documentation will be identified and reviewed using the process outlined in Appendix A as a guide. The Task Lead will ensure that each data point is supported with documentation and that data are assessed against data integrity standards as outlined in the ADS 203.3.5.

**PLAN FOR DATA ANALYSIS, REVIEW, & REPORTING**

**Data Analysis:** Actual numbers will be compared against targets to ensure timely progress toward project goals.

**Presentation of Data:** Quantitative.

**Review of Data:** The Core Team liaison will review data on a rolling basis as data comes in by the partners (Task Leads) and technical staff, and quarterly by the COP before submission on the Quarterly Report. Full audit of all data described above.

**Reporting of Data:** Quarterly Reports.

| OTHER NOTES                                      |        |        |       |
|--|--------|--------|-------|
| <b>Notes on Baselines/Targets:</b>               |        |        |       |
| <b>Other Notes:</b>                              |        |        |       |
| PERFORMANCE INDICATOR VALUES                     |        |        |       |
| Year   | Target | Actual | Notes |
| Year 1   | 2      |        |       |
| Year 2   | TBD    |        |       |
| Year 3   | TBD    |        |       |
| Year 4   | TBD    |        |       |
| Year 5   | TBD    |        |       |
| LOP  | TBD    |        |       |
| <b>THIS SHEET LAST UPDATED ON:</b> June 19, 2013 |        |        |       |

| <b>Performance Indicator Reference Sheet</b>   |
|--|
| <b>INDICATOR TITLE:</b> Number of approaches to achieve REDD+ assessed   |
| <b>DESCRIPTION</b>   |
| <p><b>Precise Definition(s):</b> Recognizing that there are many different approaches to achieve REDD+ being developed, the first phase will focus on selecting REDD+ approaches where a) the implications for the legal and policy frameworks managing tenure and benefit sharing approaches will likely be quite different; b) local communities will be clearly involved; c) represent discrete and concrete REDD+ programs (e.g., the Indonesia Land Reform process which is in their REDD+ strategy would not be a candidate).</p> <p><b>Unit of Measure:</b> Number (approaches)</p> <p><b>Disaggregated by:</b> Country</p> <p><b>Justification &amp; Management Utility:</b> Measures support of assessment methodology and findings; milestone toward dissemination and application of assessment findings</p> <p><b>Baseline Value:</b> 0.</p> |
| <b>PLAN FOR DATA ACQUISITION</b>   |
| <p><b>Method of Data Acquisition by the Project:</b> Reported to Core Team by Task Leads</p> <p><b>Data Source(s):</b> Task Leads; documented by copies of correspondence with countries indicating interest in applying the assessment methodology</p> <p><b>Frequency/Timing of Data Acquisition:</b> Quarterly</p> <p><b>Responsible Individual(s) at the Project:</b> Task Lead (WRI)</p>  |
| <b>DATA QUALITY ISSUES</b>   |
| <p><b>Date of Initial Data Quality Assessment:</b> June 2014</p> <p><b>Date of Future Data Quality Assessments:</b> TBD</p> <p><b>Procedures for Future Data Quality Assessments:</b> The project will employ a system of continuous adaptive management and therefore will audit data on a systematic basis before input into the project's MIS. For each data point report to USAID, supporting documentation will be identified and reviewed using the process outlined in Appendix A as a guide. The Task Lead will ensure that each data point is supported with documentation and that data are assessed against data integrity standards as outlined in the ADS 203.3.5.</p>  |
| <b>PLAN FOR DATA ANALYSIS, REVIEW, &amp; REPORTING</b>   |
| <p><b>Data Analysis:</b> Actual numbers will be compared against targets to ensure timely progress toward project goals.</p> <p><b>Presentation of Data:</b> Quantitative.</p> <p><b>Review of Data:</b> The Core Team liaison will review data on a rolling basis as data comes in by the partners (Task Leads) and technical staff, and quarterly by the COP before submission on the Quarterly Report. Full audit of all data described above.</p>  |

**Reporting of Data:** Quarterly Reports.

| OTHER NOTES                                      |        |        |       |
|--|--------|--------|-------|
| <b>Notes on Baselines/Targets:</b>               |        |        |       |
| <b>Other Notes:</b>                              |        |        |       |
| PERFORMANCE INDICATOR VALUES                     |        |        |       |
| Year   | Target | Actual | Notes |
| Year 1   | 2      |        |       |
| Year 2   | TBD    |        |       |
| Year 3   | TBD    |        |       |
| Year 4   | TBD    |        |       |
| Year 5   | TBD    |        |       |
| LOP  | TBD    |        |       |
| <b>THIS SHEET LAST UPDATED ON:</b> June 19, 2013 |        |        |       |

| Performance Indicator Reference Sheet   |
|---|
| INDICATOR TITLE: Number of options for addressing the challenges of REDD+ implementation identified   |
| DESCRIPTION   |
| <p><b>Precise Definition(s):</b> This may include the selection of REDD+ approaches that result in very different types of benefits for local communities, or are focused on actors where different aspects of property rights (e.g., access vs. withdrawal rights) may be especially tenuous or complicated. In some countries it may make sense to look at more than one strategy in order to assess the difference in how governance and property rights and benefit sharing questions might be addressed depending on the type of strategy.</p> <p><b>Unit of Measure:</b> Number (options)</p> <p><b>Disaggregated by:</b> Country</p> <p><b>Justification &amp; Management Utility:</b> Measures support of assessment methodology and findings; milestone toward dissemination and application of assessment findings</p> <p><b>Baseline Value:</b> 0.</p> |
| PLAN FOR DATA ACQUISITION   |
| <p><b>Method of Data Acquisition by the Project:</b> Reported to Core Team by Task Leads</p> <p><b>Data Source(s):</b> Task Leads; documented by copies of correspondence with countries indicating interest in applying the assessment methodology</p> <p><b>Frequency/Timing of Data Acquisition:</b> Quarterly</p> <p><b>Responsible Individual(s) at the Project:</b> Task Lead (WRI)</p>   |
| DATA QUALITY ISSUES   |
| <p><b>Date of Initial Data Quality Assessment:</b> June 2014</p> <p><b>Date of Future Data Quality Assessments:</b> TBD</p> <p><b>Procedures for Future Data Quality Assessments:</b> The project will employ a system of continuous adaptive management and therefore will audit data on a systematic basis before input into the project's MIS. For each data point report to USAID, supporting documentation will be identified and reviewed using the process outlined in Appendix A as a guide. The Task Lead will ensure that each data point is supported with documentation and that data are assessed against data integrity standards as outlined in the ADS 203.3.5.</p>   |
| PLAN FOR DATA ANALYSIS, REVIEW, & REPORTING   |
| <p><b>Data Analysis:</b> Actual numbers will be compared against targets to ensure timely progress toward project goals.</p> <p><b>Presentation of Data:</b> Quantitative.</p> <p><b>Review of Data:</b> The Core Team liaison will review data on a rolling basis as data comes in by the partners (Task Leads) and technical staff, and quarterly by the COP before submission on the Quarterly Report. Full audit of all data described above.</p>   |

**Reporting of Data:** Quarterly Reports.

**OTHER NOTES**

**Notes on Baselines/Targets:**

**Other Notes:**

**PERFORMANCE INDICATOR VALUES**

| Year   | Target | Actual | Notes |
|--------|--------|--------|-------|
| Year 1 | 6      |        |       |
| Year 2 | TBD    |        |       |
| Year 3 | TBD    |        |       |
| Year 4 | TBD    |        |       |
| Year 5 | TBD    |        |       |
| LOP    | TBD    |        |       |

**THIS SHEET LAST UPDATED ON:** June 19, 2013

**Performance Indicator Reference Sheet**

**INDICATOR TITLE:** Number of stakeholder groups participating in the assessment process.

**DESCRIPTION**

**Precise Definition(s):** Non-government stakeholder groups (civil society, academic groups) who participate in in the assessment process will be counted under this indicator

**Unit of Measure:** Number (stakeholder groups)

**Disaggregated by:** Group type (CSO, academic)

**Justification & Management Utility:** Measures support of assessment methodology and findings; milestone toward dissemination and application of assessment findings

**Baseline Value:** 0.

**PLAN FOR DATA ACQUISITION**

**Method of Data Acquisition by the Project:** Reported to Core Team by Task Leads

**Data Source(s):** Task Leads; documented by formal review/acceptance documents

**Frequency/Timing of Data Acquisition:** Quarterly

**Responsible Individual(s) at the Project:** Task Lead (WRI)

**DATA QUALITY ISSUES**

**Date of Initial Data Quality Assessment:** June 2013

**Date of Future Data Quality Assessments:** TBD

**Procedures for Future Data Quality Assessments:** The project will employ a system of continuous adaptive management and therefore will audit data on a systematic basis before input into the project's MIS. For each data point report to USAID, supporting documentation will be identified and reviewed using the process outlined in Appendix A as a guide. The Task Lead will ensure that each data point is supported with documentation and that data are assessed against data integrity standards as outlined in the ADS 203.3.5.

**PLAN FOR DATA ANALYSIS, REVIEW, & REPORTING**

**Data Analysis:** Actual numbers will be compared against targets to ensure timely progress toward project goals.

**Presentation of Data:** Quantitative.

**Review of Data:** The Core Team liaison will review data on a rolling basis as data comes in by the partners (Task Leads) and technical staff, and quarterly by the COP before submission on the Quarterly Report. Full audit of all data described above.

**Reporting of Data:** Quarterly Reports.

**OTHER NOTES**

**Notes on Baselines/Targets:**

**Other Notes:**

**PERFORMANCE INDICATOR VALUES**

| Year   | Target | Actual | Notes |
|--------|--------|--------|-------|
| Year 1 | 8      |        |       |
| Year 2 | TBD    |        |       |
| Year 3 | TBD    |        |       |
| Year 4 | TBD    |        |       |
| Year 5 | TBD    |        |       |
| LOP    | TBD    |        |       |

**THIS SHEET LAST UPDATED ON:** June 19, 2013

**Performance Indicator Reference Sheet**

**INDICATOR TITLE:** Number of civil society actors that have reviewed their country's assessment methodology

**DESCRIPTION**

**Precise Definition(s):** Non-government stakeholder groups (civil society, academic groups) who participate in feedback sessions and formally review the assessment methodology/findings will be counted under this indicator.

**Unit of Measure:** Number (CSOs)

**Disaggregated by:** Group type

**Justification & Management Utility:** Measures support of assessment methodology and findings; milestone toward dissemination and application of assessment findings

**Baseline Value:** 0.

**PLAN FOR DATA ACQUISITION**

**Method of Data Acquisition by the Project:** Reported to Core Team by Task Leads

**Data Source(s):** Task Leads; documented by formal review/acceptance documents

**Frequency/Timing of Data Acquisition:** Quarterly

**Responsible Individual(s) at the Project:** Task Lead (WRI)

**DATA QUALITY ISSUES**

**Date of Initial Data Quality Assessment:** June 2013

**Date of Future Data Quality Assessments:** TBD

**Procedures for Future Data Quality Assessments:** The project will employ a system of continuous adaptive management and therefore will audit data on a systematic basis before input into the project's MIS. For each data point report to USAID, supporting documentation will be identified and reviewed using the process outlined in Appendix A as a guide. The Task Lead will ensure that each data point is supported with documentation and that data are assessed against data integrity standards as outlined in the ADS 203.3.5.

**PLAN FOR DATA ANALYSIS, REVIEW, & REPORTING**

**Data Analysis:** Actual numbers will be compared against targets to ensure timely progress toward project goals.

**Presentation of Data:** Quantitative.

**Review of Data:** The Core Team liaison will review data on a rolling basis as data comes in by the partners (Task Leads) and technical staff, and quarterly by the COP before submission on the Quarterly Report. Full audit of all data described above.

**Reporting of Data:** Quarterly Reports.

**OTHER NOTES**

**Notes on Baselines/Targets:**

**Other Notes:**

**PERFORMANCE INDICATOR VALUES**

| Year   | Target | Actual | Notes |
|--------|--------|--------|-------|
| Year 1 | 6      |        |       |
| Year 2 | TBD    |        |       |
| Year 3 | TBD    |        |       |
| Year 4 | TBD    |        |       |
| Year 5 | TBD    |        |       |
| LOP    | TBD    |        |       |

**THIS SHEET LAST UPDATED ON:** June 19, 2013

### 3.1 TASK 3A M&E PLAN

The objective of Task 3 is to conduct research and scoping studies on tenure, property rights and GCC mitigation and adaptation. Task 3 is divided into two sub-tasks. Sub-Task 3a is specifically focused on evaluating the relationship between devolved ownership and governance rights of forests on forest condition.

Results under this task include:

IR 3.1: Relationship between devolved ownership and governance rights of forests on forest condition evaluated.

IR 3.1.1 Availability of improved evidence-base on the relationship between devolved ownership and governance rights of forests on forest condition increased.

#### 3.1.1 Output Indicators

4.7.4-9 Number of days of USG funded technical assistance on land tenure and property rights issues provided to counterparts or stakeholders

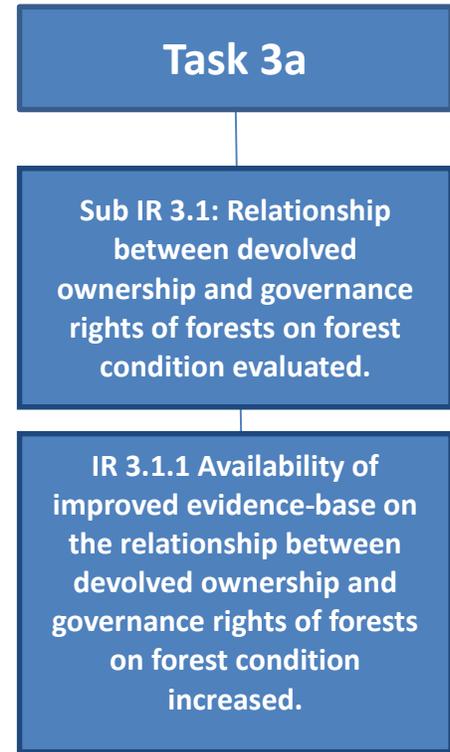
4.8.2-27 Number of days of USG funded technical assistance in climate change provided to counterparts or stakeholders

GNDR-1 Number of laws, policies, or procedures drafted, proposed or adopted to promote gender equality at the regional, national or local level

Progress toward Year 1 Milestones (see Table 2)

#### 3.1.2 Outcome Indicators

Number of mechanisms to disseminate research findings (issues briefs, publications, presentations) developed/implemented.



## Performance Indicator Reference Sheet

INDICATOR TITLE: 4.7.4-9 Number of days of USG funded technical assistance on land tenure and property rights issues provided to counterparts or stakeholders

### DESCRIPTION

**Precise Definition(s):** The provision of goods or services to developing countries and other USAID recipients in direct support of a development objective-as opposed to the internal management of the foreign assistance program. Services could include the transfer of knowledge and/or expertise by way of staff, skills training, research work and financing to support quality of program implementation and impact, support administration, management, representation, publicity, policy development and capacity building. Technical assistance includes both human and institutional resources. Technical assistance does not include financial assistance.

Inclusive economic law and property rights is defined as ensuring that poor people, women, and other disadvantaged groups have equal legal rights and protection in economic matters.

**Unit of Measure:** Number of days of technical assistance provided in each reporting period. Rounded up or down into whole numbers.

**Disaggregated by:** N/A

**Justification & Management Utility:** To convey the coverage and capacity building contribution of USG programs.

**Baseline Value:** Baseline is the start year of the project. The baseline value will be zero to measure the incremental change in the number of people trained resulting from a project.

### PLAN FOR DATA ACQUISITION

**Data Collection Method:** Record keeping by Task Leads

**Method of Data Acquisition by the Project:** Reported to Core Team by Task Leads

**Data Source(s):** Task Leads; documented by project records/TA reports

**Frequency/Timing of Data Acquisition:** Quarterly

**Responsible Individual(s) at the Project:** Task Lead (Tetra Tech)

### DATA QUALITY ISSUES

**Date of Initial Data Quality Assessment:** June 2014

**Known Data Limitations and Significance (if any):**

Validity: This indicator addresses only one of the limitations – exposure to concepts and systems that offer solutions to development problems or institutional gaps -- that prevent people from taking appropriate actions.

Precision: Simply knowing the number of person days of technical assistance provided does not provide information about the quality and appropriateness of the technical advice provided.

**Actions Taken or Planned to Address Data Limitations:** Data should be supplemented by qualitative descriptions of TA provided

**Date of Future Data Quality Assessments:** TBD

**Procedures for Future Data Quality Assessments:** The project will employ a system of continuous adaptive management and therefore will audit data on a systematic basis before input into the project's MIS. For each data point report to USAID, supporting documentation will be identified and reviewed using the process outlined in Appendix A as a guide. The Task Lead will ensure that each data point is supported with documentation and that data are assessed against data integrity standards as outlined in the ADS 203.3.5.

### PLAN FOR DATA ANALYSIS, REVIEW, & REPORTING

**Data Analysis:** Actual numbers will be compared against targets to ensure timely progress toward project goals.

**Presentation of Data:** Quantitative.

**Review of Data:** The Core Team liaison will review data on a rolling basis as data comes in by the partners (Task Leads) and technical staff, and quarterly by the COP before submission on the Quarterly Report. Full audit of all data described above.

**Reporting of Data:** Quarterly Reports.

| OTHER NOTES                                      |        |        |       |
|--|--------|--------|-------|
| <b>Notes on Baselines/Targets:</b>               |        |        |       |
| <b>Other Notes:</b>                              |        |        |       |
| PERFORMANCE INDICATOR VALUES                     |        |        |       |
| Year   | Target | Actual | Notes |
| Year 1   | 4      |        |       |
| Year 2   | TBD    |        |       |
| Year 3   | TBD    |        |       |
| Year 4   | TBD    |        |       |
| Year 5   | TBD    |        |       |
| LOP  | TBD    |        |       |
| <b>THIS SHEET LAST UPDATED ON:</b> June 19, 2013 |        |        |       |

| Performance Indicator Reference Sheet  |
|--|
| <p>INDICATOR TITLE: GNDR-1      Number of laws, policies, or procedures drafted, proposed or adopted to promote gender equality at the regional, national or local level</p>   |
| DESCRIPTION  |
| <p><b>Precise Definition(s):</b> Any law, policy or procedure designed to promote or strengthen gender equality at the regional, national or local level, which was developed or implemented with USG assistance. To be counted, the law, policy or procedure should have as its objective or intent one or more of the following” reducing an aspect of social, economic, or political inequality between women and men, girls and boys; ensuring that women and men, girls and boys, have equal opportunities to benefit from and contribute to social, political, economic, and cultural development; to realize their human rights; or to have access to/control over resources necessary to survive and thrive; or preventing gender-related discrimination or compensating for past gender-related discrimination or historical disadvantage. A law, policy or procedure may be designed to promote or strengthen gender equality at regional, national, sub-national, or community levels, and affect either formal or informal groups or institutions. Illustrative examples for this indicator include but are not limited to:</p> <ul style="list-style-type: none"> <li>• Laws-USG assistance for civil society to draft and advocate for passage of a law eliminating a barrier to effective women’s participation.</li> <li>• Policies-USG support for adoption of a comprehensive policy on sexual harassment by a local police force</li> <li>• Procedures-USG assistance for host government agency implementation of procedures for gender-sensitive survey design and data collection.</li> </ul> <p>Indicator narratives should include the name of the law, policy or procedure and should specify whether it was developed or implemented at the regional, national, sub-national, or community level. Items counted may include regulations, constitutional amendments or components, provisions to peace agreements, or other provisions designed to carry the force of law, official mandate, or authority.</p> <p>A law, policy, or procedure may be counted only once in each stage of development or implementation; the same law, policy or procedure may not be reported across multiple reporting periods unless it has advanced to the next stage (law/policy/procedure drafted adopted; law/policy/procedure for which implementation has begun).</p> <p><b>Unit of Measure:</b> Number (count) of relevant laws, policies, or procedures developed or implemented with USG assistance during the reporting period.</p> <p><b>Disaggregated by:</b> Law/Policy/Procedure and stage of implementation</p> |

| <b>Baseline Value:</b> 0.  |        |        |       |
|--|--------|--------|-------|
| <b>PLAN FOR DATA ACQUISITION</b>   |        |        |       |
| <b>Data Collection Method:</b> Record keeping by Task Leads  |        |        |       |
| <b>Method of Data Acquisition by the Project:</b> Reported to Core Team by Task Leads  |        |        |       |
| <b>Data Source(s):</b> Task Leads; documented by copies of laws, policies, or procedures   |        |        |       |
| <b>Frequency/Timing of Data Acquisition:</b> Quarterly   |        |        |       |
| <b>Responsible Individual(s) at the Project:</b> Task Lead   |        |        |       |
| <b>DATA QUALITY ISSUES</b>   |        |        |       |
| <b>Date of Initial Data Quality Assessment:</b> June 2014  |        |        |       |
| <b>Date of Future Data Quality Assessments:</b> TBD  |        |        |       |
| <b>Procedures for Future Data Quality Assessments:</b> The project will employ a system of continuous adaptive management and therefore will audit data on a systematic basis before input into the project's MIS. For each data point report to USAID, supporting documentation will be identified and reviewed using the process outlined in Appendix A as a guide. The Task Lead will ensure that each data point is supported with documentation and that data are assessed against data integrity standards as outlined in the ADS 203.3.5. |        |        |       |
| <b>PLAN FOR DATA ANALYSIS, REVIEW, &amp; REPORTING</b>   |        |        |       |
| <b>Data Analysis:</b> Actual numbers will be compared against targets to ensure timely progress toward project goals.  |        |        |       |
| <b>Presentation of Data:</b> Quantitative.   |        |        |       |
| <b>Review of Data:</b> The Core Team liaison will review data on a rolling basis as data comes in by the partners (Task Leads) and technical staff, and quarterly by the COP before submission on the Quarterly Report. Full audit of all data described above.  |        |        |       |
| <b>Reporting of Data:</b> Quarterly Reports.   |        |        |       |
| <b>OTHER NOTES</b>   |        |        |       |
| <b>Notes on Baselines/Targets:</b>   |        |        |       |
| <b>Other Notes:</b>  |        |        |       |
| <b>PERFORMANCE INDICATOR VALUES</b>  |        |        |       |
| Year   | Target | Actual | Notes |
| Year 1   | 0      |        |       |
| Year 2   | TBD    |        |       |
| Year 3   | TBD    |        |       |
| Year 4   | TBD    |        |       |
| Year 5   | TBD    |        |       |
| LOP  | TBD    |        |       |
| <b>THIS SHEET LAST UPDATED ON:</b> June 19, 2013   |        |        |       |

| <b>Performance Indicator Reference Sheet</b>   |  |
|--|--|
| INDICATOR TITLE: Number of mechanisms to disseminate research findings (issues briefs, publications, presentations) developed/implemented. |  |
| <b>DESCRIPTION</b>   |  |
| <b>Unit of Measure:</b> Number of mechanisms (issues briefs, publications, presentations)  |  |
| <b>Disaggregated by:</b> developed/implemented   |  |
| <b>Justification &amp; Management Utility:</b> Measures progress toward dissemination of research findings                                 |  |
| <b>Baseline Value:</b> 0.  |  |
| <b>PLAN FOR DATA ACQUISITION</b>   |  |
| <b>Data Collection Method:</b> Record keeping by Task Leads  |  |

**Method of Data Acquisition by the Project:** Reported to Core Team by Task Leads  
**Data Source(s):** Task Leads; documented by copies of issues briefs, publications, presentations, etc.  
**Frequency/Timing of Data Acquisition:** Quarterly  
**Responsible Individual(s) at the Project:** Task Lead (Tetra Tech)

**DATA QUALITY ISSUES**

**Date of Initial Data Quality Assessment:** June 2014  
**Date of Future Data Quality Assessments:** TBD  
**Procedures for Future Data Quality Assessments:** The project will employ a system of continuous adaptive management and therefore will audit data on a systematic basis before input into the project’s MIS. For each data point report to USAID, supporting documentation will be identified and reviewed using the process outlined in Appendix A as a guide. The Task Lead will ensure that each data point is supported with documentation and that data are assessed against data integrity standards as outlined in the ADS 203.3.5.

**PLAN FOR DATA ANALYSIS, REVIEW, & REPORTING**

**Data Analysis:** Actual numbers will be compared against targets to ensure timely progress toward project goals.  
**Presentation of Data:** Quantitative.  
**Review of Data:** The Core Team liaison will review data on a rolling basis as data comes in by the partners (Task Leads) and technical staff, and quarterly by the COP before submission on the Quarterly Report. Full audit of all data described above.  
**Reporting of Data:** Quarterly Reports.

**OTHER NOTES**

**Notes on Baselines/Targets:**

**Other Notes:**

**PERFORMANCE INDICATOR VALUES**

| Year   | Target | Actual | Notes  |
|--------|--------|--------|--|
| Year 1 | 8      |        | Issue Briefs/Publications=4; Presentations=4 |
| Year 2 | TBD    |        |  |
| Year 3 | TBD    |        |  |
| Year 4 | TBD    |        |  |
| Year 5 | TBD    |        |  |
| LOP    | TBD    |        |  |

**THIS SHEET LAST UPDATED ON:** June 19, 2013

## 3.2 TASK 3B M&E PLAN

The objective of Task 3b is to examine the role of marine resource tenure rights in successful adaptation and biodiversity conservation.

Results under this task include:

IR 3.2: Relationship between devolution of marine resource tenure rights and biodiversity conservation and adaption evaluated.

IR 3.2.1: Key stakeholders (USAID and other stakeholders) understanding of the state of knowledge on marine resource tenure and contribution to biodiversity conservation, sustainable fisheries management, and climate adaptation increased.

### 3.2.1 Output Indicators

4.8.1-28 Number of days of USG funded technical assistance in natural resources management and/or biodiversity provided to counterparts or stakeholders

Number of publications developed (issue briefs, case studies, fact sheets, peer-reviewed journal publications)

GNDR-1 Number of laws, policies, or procedures drafted, proposed or adopted to promote gender equality at the regional, national or local level

Progress toward Year 1 Milestones (see Table 2)

### 3.2.2 Outcome Indicators

Number of missions expressing interest in testing the assessment methodology.

Number of grants issued to NGOs in participating missions to test the methodology.

## Task 3

**IR 3.2.1: Key stakeholders (USAID and other stakeholders) understanding of the state of knowledge on marine resource tenure and contribution to biodiversity conservation, sustainable fisheries management, and climate adaptation increased.**

**Performance Indicator Reference Sheet**

INDICATOR TITLE: 4.8.1-28 Number of days of USG funded technical assistance in natural resources management and/or biodiversity provided to counterparts or stakeholders

**DESCRIPTION**

**Precise Definition(s):** The provision of goods or services to developing countries and other USAID recipients in direct support of a development objective-as opposed to the internal management of the foreign assistance program. Services could include the transfer of knowledge and/or expertise by way of staff, skills training, research work and financing to support quality of program implementation and impact, support administration, management, representation, publicity, policy development and capacity building. Technical assistance includes both human and institutional resources. Technical assistance does not include financial assistance.

Natural resources and biodiversity is defined as conserving biodiversity and managing natural resources in ways that maintain their long-term viability and preserve their potential to meet the needs of present and future generations. Activities include combating illegal and corrupt exploitation of natural resources and the control of invasive species. Programs in this element should be integrated with the Agriculture Area under Economic Growth and Conflict Mitigation and Reconciliation Area under the Peace and Security Objective, when applicable and appropriate.

**Unit of Measure:** Number of days of technical assistance provided in each reporting period. Rounded up or down into whole numbers.

**Disaggregated by:** N/A

**Justification & Management Utility:** Technical assistance supports institutional capacity building, a key goal for long term sustainability.

**Baseline Value:** 0. Baseline is the start year of the project. The baseline value will be zero to measure the incremental change in the number of people trained resulting from a project.

**PLAN FOR DATA ACQUISITION**

**Data Collection Method:** Record keeping by Task Leads

**Method of Data Acquisition by the Project:** Reported to Core Team by Task Leads

**Data Source(s):** Task Leads; documented by project records/TA reports

**Frequency/Timing of Data Acquisition:** Quarterly

**Responsible Individual(s) at the Project:** Task Lead (Tetra Tech)

**DATA QUALITY ISSUES**

**Date of Initial Data Quality Assessment:** June 2014

**Known Data Limitations and Significance (if any):**

Validity: This indicator addresses only one of the limitations, exposure to concepts and systems that offer solutions to development problems or institutional gaps that prevent people from taking appropriate actions.

Precision: Simply knowing the number of person days of technical assistance provided does not provide information about the quality and appropriateness of the technical advice provided.

**Actions Taken or Planned to Address Data Limitations:** Data should be supplemented by qualitative descriptions of TA provided

**Date of Future Data Quality Assessments:** TBD

**Procedures for Future Data Quality Assessments:** The project will employ a system of continuous adaptive management and therefore will audit data on a systematic basis before input into the project's MIS. For each data point report to USAID, supporting documentation will be identified and reviewed using the process outlined in Appendix A as a guide. The Task Lead will ensure that each data point is supported with documentation and that data are assessed against data integrity standards as outlined in the ADS 203.3.5.

**PLAN FOR DATA ANALYSIS, REVIEW, & REPORTING**

**Data Analysis:** Actual numbers will be compared against targets to ensure timely progress toward project goals.

**Presentation of Data:** Quantitative.

**Review of Data:** The Core Team liaison will review data on a rolling basis as data comes in by the partners (Task Leads) and technical staff, and quarterly by the COP before submission on the Quarterly Report. Full audit of all data described above.

**Reporting of Data:** Quarterly Reports.

| OTHER NOTES                                      |        |        |       |
|--|--------|--------|-------|
| <b>Notes on Baselines/Targets:</b>               |        |        |       |
| <b>Other Notes:</b>                              |        |        |       |
| PERFORMANCE INDICATOR VALUES                     |        |        |       |
| Year   | Target | Actual | Notes |
| Year 1   | 100    |        |       |
| Year 2   | TBD    |        |       |
| Year 3   | TBD    |        |       |
| Year 4   | TBD    |        |       |
| Year 5   | TBD    |        |       |
| LOP  | TBD    |        |       |
| <b>THIS SHEET LAST UPDATED ON:</b> June 19, 2013 |        |        |       |

| Performance Indicator Reference Sheet  |
|--|
| INDICATOR TITLE: Number of publications developed (issue briefs, case studies, FACT sheets, peer-reviewed journal publications)  |
| DESCRIPTION  |
| <b>Unit of Measure:</b> Number (publications)  |
| <b>Disaggregated by:</b> Publication type (issue briefs, case studies, FACT sheets, peer-reviewed journal publications)  |
| <b>Justification &amp; Management Utility:</b> Measures dissemination of research findings   |
| <b>Baseline Value:</b> 0.  |
| PLAN FOR DATA ACQUISITION  |
| <b>Data Collection Method:</b> Record keeping by Task Leads  |
| <b>Method of Data Acquisition by the Project:</b> Reported to Core Team by Task Leads  |
| <b>Data Source(s):</b> Task Leads; documented by copies of publications  |
| <b>Frequency/Timing of Data Acquisition:</b> Quarterly   |
| <b>Responsible Individual(s) at the Project:</b> Task Lead (Tetra Tech)  |
| DATA QUALITY ISSUES  |
| <b>Date of Initial Data Quality Assessment:</b> June 2014  |
| <b>Date of Future Data Quality Assessments:</b> TBD  |
| <b>Procedures for Future Data Quality Assessments:</b> The project will employ a system of continuous adaptive management and therefore will audit data on a systematic basis before input into the project's MIS. For each data point report to USAID, supporting documentation will be identified and reviewed using the process outlined in Appendix A as a guide. The Task Lead will ensure that each data point is supported with documentation and that data are assessed against data integrity standards as outlined in the ADS 203.3.5. |
| PLAN FOR DATA ANALYSIS, REVIEW, & REPORTING  |
| <b>Data Analysis:</b> Actual numbers will be compared against targets to ensure timely progress toward project goals.  |
| <b>Presentation of Data:</b> Quantitative.   |
| <b>Review of Data:</b> The Core Team liaison will review data on a rolling basis as data comes in by the partners (Task Leads) and technical staff, and quarterly by the COP before submission on the Quarterly Report. Full audit of all data described above.  |

**Reporting of Data:** Quarterly Reports.

**OTHER NOTES**

**Notes on Baselines/Targets:**

**Other Notes:**

**PERFORMANCE INDICATOR VALUES**

| Year   | Target | Actual | Notes |
|--------|--------|--------|-------|
| Year 1 | 3      |        |       |
| Year 2 | TBD    |        |       |
| Year 3 | TBD    |        |       |
| Year 4 | TBD    |        |       |
| Year 5 | TBD    |        |       |
| LOP    | TBD    |        |       |

**THIS SHEET LAST UPDATED ON:** June 19, 2013

**Performance Indicator Reference Sheet**

**INDICATOR TITLE:** GNDR-1 Number of laws, policies, or procedures drafted, proposed or adopted to promote gender equality at the regional, national or local level

**DESCRIPTION**

**Precise Definition(s):** Any law, policy or procedure designed to promote or strengthen gender equality at the regional, national or local level, which was developed or implemented with USG assistance. To be counted, the law, policy or procedure should have as its objective or intent one or more of the following” reducing an aspect of social, economic, or political inequality between women and men, girls and boys; ensuring that women and men, girls and boys, have equal opportunities to benefit from and contribute to social, political, economic, and cultural development; to realize their human rights; or to have access to/control over resources necessary to survive and thrive; or preventing gender-related discrimination or compensating for past gender-related discrimination or historical disadvantage. A law, policy or procedure may be designed to promote or strengthen gender equality at regional, national, sub-national, or community levels, and affect either formal or informal groups or institutions. Illustrative examples for this indicator include but are not limited to:

- Laws-USG assistance for civil society to draft and advocate for passage of a law eliminating a barrier to effective women’s participation.
- Policies-USG support for adoption of a comprehensive policy on sexual harassment by a local police force
- Procedures-USG assistance for host government agency implementation of procedures for gender-sensitive survey design and data collection.

Indicator narratives should include the name of the law, policy or procedure and should specify whether it was developed or implemented at the regional, national, sub-national, or community level. Items counted may include regulations, constitutional amendments or components, provisions to peace agreements, or other provisions designed to carry the force of law, official mandate, or authority.

A law, policy, or procedure may be counted only once in each stage of development or implementation; the same law, policy or procedure may not be reported across multiple reporting periods unless it has advanced to the next stage (law/policy/procedure drafted adopted; law/policy/procedure for which implementation has begun).

**Unit of Measure:** Number (count) of relevant laws, policies, or procedures developed or implemented with USG assistance during the reporting period.

**Disaggregated by:** Law/Policy/Procedure and stage of implementation

**Baseline Value:** 0.

| PLAN FOR DATA ACQUISITION  |        |        |       |
|--|--------|--------|-------|
| <b>Data Collection Method:</b> Record keeping by Task Leads  |        |        |       |
| <b>Method of Data Acquisition by the Project:</b> Reported to Core Team by Task Leads  |        |        |       |
| <b>Data Source(s):</b> Task Leads; documented by copies of laws, policies, or procedures   |        |        |       |
| <b>Frequency/Timing of Data Acquisition:</b> Quarterly   |        |        |       |
| <b>Responsible Individual(s) at the Project:</b> Task Lead   |        |        |       |
| DATA QUALITY ISSUES  |        |        |       |
| <b>Date of Initial Data Quality Assessment:</b> June 2014  |        |        |       |
| <b>Date of Future Data Quality Assessments:</b> TBD  |        |        |       |
| <b>Procedures for Future Data Quality Assessments:</b> The project will employ a system of continuous adaptive management and therefore will audit data on a systematic basis before input into the project's MIS. For each data point report to USAID, supporting documentation will be identified and reviewed using the process outlined in Appendix A as a guide. The Task Lead will ensure that each data point is supported with documentation and that data are assessed against data integrity standards as outlined in the ADS 203.3.5. |        |        |       |
| PLAN FOR DATA ANALYSIS, REVIEW, & REPORTING  |        |        |       |
| <b>Data Analysis:</b> Actual numbers will be compared against targets to ensure timely progress toward project goals.  |        |        |       |
| <b>Presentation of Data:</b> Quantitative.   |        |        |       |
| <b>Review of Data:</b> The Core Team liaison will review data on a rolling basis as data comes in by the partners (Task Leads) and technical staff, and quarterly by the COP before submission on the Quarterly Report. Full audit of all data described above.  |        |        |       |
| <b>Reporting of Data:</b> Quarterly Reports.   |        |        |       |
| OTHER NOTES  |        |        |       |
| <b>Notes on Baselines/Targets:</b>   |        |        |       |
| <b>Other Notes:</b>  |        |        |       |
| PERFORMANCE INDICATOR VALUES   |        |        |       |
| Year   | Target | Actual | Notes |
| Year 1   | TBD    |        |       |
| Year 2   | TBD    |        |       |
| Year 3   | TBD    |        |       |
| Year 4   | TBD    |        |       |
| Year 5   | TBD    |        |       |
| LOP  | TBD    |        |       |
| <b>THIS SHEET LAST UPDATED ON:</b> June 19, 2013   |        |        |       |

| Performance Indicator Reference Sheet   |
|---|
| INDICATOR TITLE: Number of Missions expressing interest in the assessment methodology.  |
| DESCRIPTION   |
| <b>Precise Definition(s):</b> Indicator will count Missions who contact the project to express interest in applying the assessment methodology. |
| <b>Unit of Measure:</b> Number (missions)   |
| <b>Disaggregated by:</b> N/A  |
| <b>Justification &amp; Management Utility:</b> Measures support for the methodology and increased awareness of key stakeholders (USAID)         |
| <b>Baseline Value:</b> 0.   |
| PLAN FOR DATA ACQUISITION   |
| <b>Data Collection Method:</b> Documentation of requests from Missions  |

**Method of Data Acquisition by the Project:** Reported to Core Team by Task Leads  
**Data Source(s):** Task Leads; documented by copies of pre and post tests  
**Frequency/Timing of Data Acquisition:** Quarterly  
**Responsible Individual(s) at the Project:** Task Lead (Tetra Tech)

**DATA QUALITY ISSUES**

**Date of Initial Data Quality Assessment:** June 2014  
**Date of Future Data Quality Assessments:** TBD  
**Procedures for Future Data Quality Assessments:** The project will employ a system of continuous adaptive management and therefore will audit data on a systematic basis before input into the project's MIS. For each data point report to USAID, supporting documentation will be identified and reviewed using the process outlined in Appendix A as a guide. The Task Lead will ensure that each data point is supported with documentation and that data are assessed against data integrity standards as outlined in the ADS 203.3.5.

**PLAN FOR DATA ANALYSIS, REVIEW, & REPORTING**

**Data Analysis:** Actual numbers will be compared against targets to ensure timely progress toward project goals.  
**Presentation of Data:** Quantitative.  
**Review of Data:** The Core Team liaison will review data on a rolling basis as data comes in by the partners (Task Leads) and technical staff, and quarterly by the COP before submission on the Quarterly Report. Full audit of all data described above.  
**Reporting of Data:** Quarterly Reports.

**OTHER NOTES**

**Notes on Baselines/Targets:**

**Other Notes:**

**PERFORMANCE INDICATOR VALUES**

| Year   | Target | Actual | Notes |
|--------|--------|--------|-------|
| Year 1 | 10     |        |       |
| Year 2 | TBD    |        |       |
| Year 3 | TBD    |        |       |
| Year 4 | TBD    |        |       |
| Year 5 | TBD    |        |       |
| LOP    | TBD    |        |       |

**THIS SHEET LAST UPDATED ON:** June 19, 2013

**Performance Indicator Reference Sheet**

**INDICATOR TITLE:** Number of grants issued to NGOs in participating Missions to test the assessment methodology.

**DESCRIPTION**

**Unit of Measure:** Number (grants issued)  
**Disaggregated by:** N/A  
**Justification & Management Utility:** Indicator measures level of interest and support for the methodology  
**Baseline Value:** 0.

**PLAN FOR DATA ACQUISITION**

**Data Collection Method:** Documentation of grants  
**Method of Data Acquisition by the Project:** Reported to Core Team by Task Leads  
**Data Source(s):** Task Leads; documented by grants records

**Frequency/Timing of Data Acquisition:** Quarterly  
**Responsible Individual(s) at the Project:** Task Lead (Tetra Tech)

**DATA QUALITY ISSUES**

**Date of Initial Data Quality Assessment:** June 2014  
**Date of Future Data Quality Assessments:** TBD  
**Procedures for Future Data Quality Assessments:** The project will employ a system of continuous adaptive management and therefore will audit data on a systematic basis before input into the project’s MIS. For each data point report to USAID, supporting documentation will be identified and reviewed using the process outlined in Appendix A as a guide. The Task Lead will ensure that each data point is supported with documentation and that data are assessed against data integrity standards as outlined in the ADS 203.3.5.

**PLAN FOR DATA ANALYSIS, REVIEW, & REPORTING**

**Data Analysis:** Actual numbers will be compared against targets to ensure timely progress toward project goals.  
**Presentation of Data:** Quantitative.  
**Review of Data:** The Core Team liaison will review data on a rolling basis as data comes in by the partners (Task Leads) and technical staff, and quarterly by the COP before submission on the Quarterly Report. Full audit of all data described above.  
**Reporting of Data:** Quarterly Reports.

**OTHER NOTES**

**Notes on Baselines/Targets:**  
**Other Notes:**

**PERFORMANCE INDICATOR VALUES**

| Year   | Target | Actual | Notes |
|--------|--------|--------|-------|
| Year 1 | 10     |        |       |
| Year 2 | TBD    |        |       |
| Year 3 | TBD    |        |       |
| Year 4 | TBD    |        |       |
| Year 5 | TBD    |        |       |
| LOP    | TBD    |        |       |

**THIS SHEET LAST UPDATED ON:** June 19, 2013

## 4.1 TASK 4 M&E PLAN

The objective of Task 4 is to strengthen women’s property rights under REDD+. Intermediate Results under this task include:

IR 4.1: Approaches to increase women’s full participation in planning, decision-making, and management as community stakeholders designed and implemented.

IR 4.2: Impact of greater participation by women on community decisions related to group and household rights, including management, use, and entitlement to benefits evaluated.

### 4.1.1 Output Indicators

4.7.4-8 Person hours of training completed by government officials, traditional authority, or individuals related to land tenure and property rights supported by USG assistance

4.7.4-9 Number of days of USG funded technical assistance on land tenure and property rights issues provided to counterparts or stakeholders

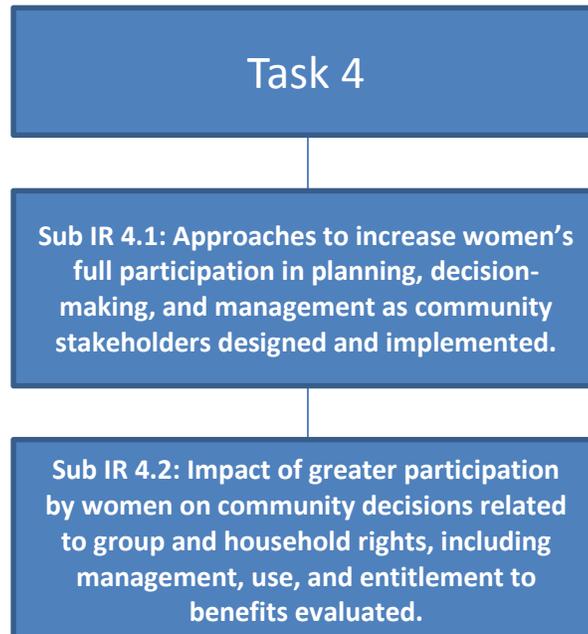
GNDR-2 Proportion of female participants in USG-assisted programs designed to increase access to productive economic resources (assets, credit, income or employment) training/programming

GNDR-1 Number of laws, policies, or procedures drafted, proposed or adopted to promote gender equality at the regional, national or local level

Progress toward Year 1 Milestones (see Table 2)

### 4.1.2 Outcome Indicators

GNDR-3 Proportion of females who report increased self-efficacy at the conclusion of USG supported training/programming



## Performance Indicator Reference Sheet

INDICATOR TITLE: 4.7.4-8 Person hours of training completed by government officials, traditional authority, or individuals related to land tenure and property rights supported by USG assistance

### DESCRIPTION

**Precise Definition(s):** This indicator uses the following equation to express the number of USG-supported training hours that were completed by training participants:

Hours of USG supported training course x Number of people completing that training course

Support from the USG: This indicator counts training hours that were delivered in full or in part as a result of USG assistance. This could include provision of funds to pay teachers, providing hosting facilities, or other key contributions necessary to ensure training was delivered. This indicator does not automatically count any course for which the USG helped develop the curriculum, but rather focuses on delivery of courses that was made possible through full or partial funding from the USG.

People: Only people who complete the entire training course are counted for this indicator.

Training: Training is defined as sessions in which participants are educated according to a defined curriculum and set learning objectives. Sessions that could be informative or educational, such as meetings, but do not have a defined curriculum or learning objectives are not counted as training.

Inclusive economic law and property rights is defined as ensuring that poor people, women, and other disadvantaged groups have equal legal rights and protection in economic matters.

**Unit of Measure:** Number (of person hours)

**Disaggregated by:** Sex

**Justification & Management Utility:** Training indicators account for the expenditure of USG funds to build country capacity.

**Baseline Value:** 0.

### PLAN FOR DATA ACQUISITION

**Data Collection Method:** Attendance records of implementing partners that conduct training. Data are reported to the mission on a quarterly/annual basis

**Responsible Individual(s) at the Project:** Task Lead (Landesa)

### DATA QUALITY ISSUES

**Date of Initial Data Quality Assessment:** July 2014

**Known Data Limitations and Significance (if any):** Attendance records may be incomplete or inaccurate, especially in the case of determining whether a participant completed an entire course. The universe of countries providing this type of training can vary from year to year; thus, trends should not be interpreted from aggregate data.

**Date of Future Data Quality Assessments:** TBD

**Procedures for Future Data Quality Assessments:** Date of Future Data Quality Assessments: TBD

Procedures for Future Data Quality Assessments: The project will employ a system of continuous adaptive management and therefore will audit data on a systematic basis before input into the project's MIS. For each data point report to USAID, supporting documentation will be identified and reviewed using the process outlined in Appendix A as a guide. The Task Lead will ensure that each data point is supported with documentation and that data are assessed against data integrity standards as outlined in the ADS 203.3.5.

### PLAN FOR DATA ANALYSIS, REVIEW, & REPORTING

**Data Analysis:** Actual numbers will be compared against targets to ensure timely progress toward project goals.

**Presentation of Data:** Quantitative.

**Review of Data:** The Core Team liaison will review data on a rolling basis as data comes in by the partners (Task Leads) and technical staff, and quarterly by the COP before submission on the Quarterly Report. Full audit of all data described above.

**Reporting of Data:** Quarterly Reports.

| OTHER NOTES                                      |        |        |       |
|--|--------|--------|-------|
| <b>Notes on Baselines/Targets:</b>               |        |        |       |
| <b>Other Notes:</b>                              |        |        |       |
| PERFORMANCE INDICATOR VALUES                     |        |        |       |
| Year   | Target | Actual | Notes |
| Year 1   | 96     |        |       |
| Year 2   | TBD    |        |       |
| Year 3   | TBD    |        |       |
| Year 4   | TBD    |        |       |
| Year 5   | TBD    |        |       |
| LOP  | TBD    |        |       |
| <b>THIS SHEET LAST UPDATED ON:</b> June 19, 2013 |        |        |       |

| Performance Indicator Reference Sheet   |
|---|
| INDICATOR TITLE: 4.7.4-9 Number of days of USG funded technical assistance on land tenure and property rights issues provided to counterparts or stakeholders   |
| DESCRIPTION   |
| <p><b>Precise Definition(s):</b> The provision of goods or services to developing countries and other USAID recipients in direct support of a development objective-as opposed to the internal management of the foreign assistance program. Services could include the transfer of knowledge and/or expertise by way of staff, skills training, research work and financing to support quality of program implementation and impact, support administration, management, representation, publicity, policy development and capacity building. Technical assistance includes both human and institutional resources. Technical assistance does not include financial assistance.</p> <p>Inclusive economic law and property rights is defined as ensuring that poor people, women, and other disadvantaged groups have equal legal rights and protection in economic matters.</p> <p><b>Unit of Measure:</b> Number of days of technical assistance provided in each reporting period. Rounded up or down into whole numbers.</p> <p><b>Disaggregated by:</b> N/A</p> <p><b>Justification &amp; Management Utility:</b> To convey the coverage and capacity building contribution of USG programs.</p> <p><b>Baseline Value:</b> Baseline is the start year of the project. The baseline value will be zero to measure the incremental change in the number of people trained resulting from a project.</p> |
| PLAN FOR DATA ACQUISITION   |
| <p><b>Data Collection Method:</b> Record keeping by Task Leads</p> <p><b>Method of Data Acquisition by the Project:</b> Reported to Core Team by Task Leads</p> <p><b>Data Source(s):</b> Task Leads; documented by project records/TA reports</p> <p><b>Frequency/Timing of Data Acquisition:</b> Quarterly</p> <p><b>Responsible Individual(s) at the Project:</b> Task Lead (Landesa)</p>  |
| DATA QUALITY ISSUES   |
| <p><b>Date of Initial Data Quality Assessment:</b> June 2014</p> <p><b>Known Data Limitations and Significance (if any):</b></p> <p>Validity: This indicator addresses only one of the limitations – exposure to concepts and systems that offer solutions to development problems or institutional gaps -- that prevent people from taking appropriate actions.</p> <p>Precision: Simply knowing the number of person days of technical assistance provided does not provide information about the quality and appropriateness of the technical advice provided.</p> <p><b>Actions Taken or Planned to Address Data Limitations:</b> Data should be supplemented by qualitative descriptions of TA provided</p>  |

**Date of Future Data Quality Assessments:** TBD

**Procedures for Future Data Quality Assessments:** The project will employ a system of continuous adaptive management and therefore will audit data on a systematic basis before input into the project's MIS. For each data point report to USAID, supporting documentation will be identified and reviewed using the process outlined in Appendix A as a guide. The Task Lead will ensure that each data point is supported with documentation and that data are assessed against data integrity standards as outlined in the ADS 203.3.5.

**PLAN FOR DATA ANALYSIS, REVIEW, & REPORTING**

**Data Analysis:** Actual numbers will be compared against targets to ensure timely progress toward project goals.

**Presentation of Data:** Quantitative.

**Review of Data:** The Core Team liaison will review data on a rolling basis as data comes in by the partners (Task Leads) and technical staff, and quarterly by the COP before submission on the Quarterly Report. Full audit of all data described above.

**Reporting of Data:** Quarterly Reports.

**OTHER NOTES**

**Notes on Baselines/Targets:**

**Other Notes:**

**PERFORMANCE INDICATOR VALUES**

| Year   | Target | Actual | Notes |
|--------|--------|--------|-------|
| Year 1 | 2      |        |       |
| Year 2 | TBD    |        |       |
| Year 3 | TBD    |        |       |
| Year 4 | TBD    |        |       |
| Year 5 | TBD    |        |       |
| LOP    | TBD    |        |       |

**THIS SHEET LAST UPDATED ON:** June 19, 2013

**Performance Indicator Reference Sheet**

**INDICATOR TITLE:** GNDR-2 Proportion of female participants in USG-assisted programs designed to increase access to productive economic resources (assets, credit, income or employment) training/programming

**DESCRIPTION**

**Precise Definition(s):** Productive economic resources include: assets - land, housing, businesses, livestock or financial assets such as savings; credit; wage or self-employment; and income. Programs include micro, small, and medium enterprise programs; workforce development programs that have job placement activities; programs that build assets (such as land redistribution or titling; housing titling; agricultural programs that provide assets such as livestock; programs designed to help adolescent females and young women set up savings accounts). This indicator does NOT track access to services – such as business development services or stand-alone employment training (e.g., that does not also include job placement following the training). Indicator narratives should specify type of assets.

**Unit of Measure:** The unit of measure will be a proportion, expressed in the format of X/Y, where X is the number of females from program participants and Y is the total number of male and female participants in the programs illustrated above (e.g., micro, small, and medium enterprise programs; workforce development programs that have job placement activities; programs that build assets (land redistribution or titling; housing titling; agricultural programs that provide assets such as livestock)

**Disaggregated by:** By age: 10-29; and 30 and over; Numerator, Denominator

**Justification & Management Utility:** The lack of access to resources is frequently cited as a major impediment to gender equality and women's empowerment. Tracking the proportion of females among participants in USG funded interventions designed to increase access to economic resources can provide information on the scope of USG efforts to lift women out of poverty. This indicator would be used to measure women's participation in USG supported programs that provide access to economic opportunity.

Baseline Value: 0.

**PLAN FOR DATA ACQUISITION**

**Data Collection Method:** Record keeping by Task Leads

**Method of Data Acquisition by the Project:** Reported to Core Team by Task Leads

**Data Source(s):** Task Leads; documented by training/event sign-in sheets; evaluation forms

**Frequency/Timing of Data Acquisition:** Quarterly

**Responsible Individual(s) at the Project:** Task Lead (Landesa)

**DATA QUALITY ISSUES**

**Date of Initial Data Quality Assessment:** June 2014

**Known Data Limitations and Significance (if any):** The limitation of this indicator is that it does not track the quality of the program or actual increases or improvements in assets, income, or returns to an enterprise.

**Actions Taken or Planned to Address Data Limitations:** Third party evaluator may propose additional indicators to measure higher level outcomes of female participation

**Date of Future Data Quality Assessments:** TBD

**Procedures for Future Data Quality Assessments:** The project will employ a system of continuous adaptive management and therefore will audit data on a systematic basis before input into the project's MIS. For each data point report to USAID, supporting documentation will be identified and reviewed using the process outlined in Appendix A as a guide. The Task Lead will ensure that each data point is supported with documentation and that data are assessed against data integrity standards as outlined in the ADS 203.3.5.

**PLAN FOR DATA ANALYSIS, REVIEW, & REPORTING**

**Data Analysis:** Actual numbers will be compared against targets to ensure timely progress toward project goals.

**Presentation of Data:** Quantitative.

**Review of Data:** The Core Team liaison will review data on a rolling basis as data comes in by the partners (Task Leads) and technical staff, and quarterly by the COP before submission on the Quarterly Report. Full audit of all data described above.

**Reporting of Data:** Quarterly Reports.

**OTHER NOTES**

**Notes on Baselines/Targets:**

**Other Notes:**

**PERFORMANCE INDICATOR VALUES**

| Year   | Target | Actual | Notes |
|--------|--------|--------|-------|
| Year 1 | 0      |        |       |
| Year 2 | TBD    |        |       |
| Year 3 | TBD    |        |       |
| Year 4 | TBD    |        |       |
| Year 5 | TBD    |        |       |
| LOP    | TBD    |        |       |

**THIS SHEET LAST UPDATED ON:** June 19, 2013

**Performance Indicator Reference Sheet**

INDICATOR TITLE: GNDR-1 Number of laws, policies, or procedures drafted, proposed or adopted to promote gender equality at the regional, national or local level

**DESCRIPTION**

**Precise Definition(s):** Any law, policy or procedure designed to promote or strengthen gender equality at the regional, national or local level, which was developed or implemented with USG assistance. To be counted, the law, policy or procedure should have as its objective or intent one or more of the following” reducing an aspect of social, economic, or political inequality between women and men, girls and boys; ensuring that women and men, girls and boys, have equal opportunities to benefit from and contribute to social, political, economic, and cultural development; to realize their human rights; or to have access to/control over resources necessary to survive and thrive; or preventing gender-related discrimination or compensating for past gender-related discrimination or historical disadvantage. A law, policy or procedure may be designed to promote or strengthen gender equality at regional, national, sub-national, or community levels, and affect either formal or informal groups or institutions. Illustrative examples for this indicator include but are not limited to:

- Laws-USG assistance for civil society to draft and advocate for passage of a law eliminating a barrier to effective women’s participation.
- Policies-USG support for adoption of a comprehensive policy on sexual harassment by a local police force
- Procedures-USG assistance for host government agency implementation of procedures for gender-sensitive survey design and data collection.

Indicator narratives should include the name of the law, policy or procedure and should specify whether it was developed or implemented at the regional, national, sub-national, or community level. Items counted may include regulations, constitutional amendments or components, provisions to peace agreements, or other provisions designed to carry the force of law, official mandate, or authority.

A law, policy, or procedure may be counted only once in each stage of development or implementation; the same law, policy or procedure may not be reported across multiple reporting periods unless it has advanced to the next stage (law/policy/procedure drafted adopted; law/policy/procedure for which implementation has begun).

**Unit of Measure:** Number (count) of relevant laws, policies, or procedures developed or implemented with USG assistance during the reporting period.

**Disaggregated by:** Law/Policy/Procedure and stage of implementation

**Baseline Value:** 0.

**PLAN FOR DATA ACQUISITION**

**Data Collection Method:** Record keeping by Task Leads

**Method of Data Acquisition by the Project:** Reported to Core Team by Task Leads

**Data Source(s):** Task Leads; documented by copies of laws, policies, or procedures

**Frequency/Timing of Data Acquisition:** Quarterly

**Responsible Individual(s) at the Project:** Task Lead

**DATA QUALITY ISSUES**

**Date of Initial Data Quality Assessment:** June 2014

**Date of Future Data Quality Assessments:** TBD

**Procedures for Future Data Quality Assessments:** The project will employ a system of continuous adaptive management and therefore will audit data on a systematic basis before input into the project’s MIS. For each data point report to USAID, supporting documentation will be identified and reviewed using the process outlined in Appendix A as a guide. The Task Lead will ensure that each data point is supported with documentation and that data are assessed against data integrity standards as outlined in the ADS 203.3.5.

**PLAN FOR DATA ANALYSIS, REVIEW, & REPORTING**

**Data Analysis:** Actual numbers will be compared against targets to ensure timely progress toward project goals.

**Presentation of Data:** Quantitative.

**Review of Data:** The Core Team liaison will review data on a rolling basis as data comes in by the partners (Task Leads) and technical staff, and quarterly by the COP before submission on the Quarterly Report. Full audit of all data described above.

**Reporting of Data:** Quarterly Reports.

| OTHER NOTES                                      |        |        |       |
|--|--------|--------|-------|
| <b>Notes on Baselines/Targets:</b>               |        |        |       |
| <b>Other Notes:</b>                              |        |        |       |
| PERFORMANCE INDICATOR VALUES                     |        |        |       |
| Year   | Target | Actual | Notes |
| Year 1   | 0      |        |       |
| Year 2   | TBD    |        |       |
| Year 3   | TBD    |        |       |
| Year 4   | TBD    |        |       |
| Year 5   | TBD    |        |       |
| LOP  | TBD    |        |       |
| <b>THIS SHEET LAST UPDATED ON:</b> June 19, 2013 |        |        |       |

| Performance Indicator Reference Sheet   |  |
|---|--|
| INDICATOR TITLE: GNDR-3 Proportion of females who report increased self-efficacy at the conclusion of USG supported training/programming  |  |
| DESCRIPTION   |  |
| <p><b>Precise Definition(s):</b> Self-efficacy is a widely used and frequently assessed psychological concept first developed by Albert Bandura in 1977. Fundamentally, feelings of self-efficacy refer to people's beliefs in their capacity to produce actions that are necessary for achieving desired outcomes/attainments. As a concept, it is similar to having a sense of personal agency. Self-efficacy has been shown to have a crucial impact on goal-setting, perseverance in the face of difficulties, and action-oriented behaviors. Feelings of self-efficacy can be assessed in specific contexts or as a more general, cross-situational belief that one has the capacity to mobilize the motivation, cognitive resources, and courses of action needed to exercise general control over events in one's life. For the purposes of this indicator, only trainings of at least a full day duration or longer should be counted. Trainings or programs in any sector that have women's empowerment as a goal (even if not the only goal) should utilize this indicator. This would include programs/training in the following areas among others: leadership skills, youth development, civil society advocacy skills, conflict resolution or mediation skills, entrepreneurship, development of women's business associations or other forms of networking, etc.</p> <p><b>Unit of Measure:</b> The unit of measure will be a proportion, expressed in the format of X/Y, where X is the number of women whose scores have improved over time and Y is the total number of women who participated in the relevant training/programming.</p> <p><b>Disaggregated by:</b> By age: 10-29; and 30 and over; Numerator, Denominator</p> <p><b>Justification &amp; Management Utility:</b> This indicator will be used to gauge the effectiveness of efforts to empower women through USAID programming across a wide variety of sectors. Trainings that do not result in improved feelings of self-efficacy may need to be adjusted.</p> <p><b>Baseline Value:</b> 0.</p> |  |
| PLAN FOR DATA ACQUISITION   |  |

**Data Collection Method:** Data for this indicator will be collected by survey, once at the start of relevant USG-funded training/programming and a second time at the end of the training/programming. The survey may be read to program beneficiaries who are illiterate.

The measure that will be used is the Generalized Self-Efficacy or GSE (Judge, Locke, Durham, & Kluger, 1998\*), which includes the following items:

- I am strong enough to overcome life's struggles.
- At root, I am a weak person. (r)
- I can handle the situations that life brings.
- I usually feel that I am an unsuccessful person. (r)
- I often feel that there is nothing that I can do well. (r)
- I feel competent to deal effectively with the real world.
- I often feel like a failure. (r)
- I usually feel I can handle the typical problems that come up in life.

Respondents will be asked to indicate the extent of their agreement with each item, using the following scale:

- 2 = Strongly Disagree
- 1 = Disagree
- 0 = Neither Agree nor Disagree
- +1 = Agree
- +2 = Strongly Agree

Items with an "r" are to be reverse-scored. In other words, those items followed by an "r" that have a score of -2 should be recoded as a score of +2, -1 should be recoded as +1, +1 as -1 and +2 as -2. For example, for item 2 ("At root, I am a weak person"), a response of 'strongly agree' would be re-coded as "- 2" and a response of 'strongly disagree' would be re-coded as "+2." Responses on each item should be added to yield a score between -16 and +16. A higher score indicates more positive feelings of self-efficacy. The proportion of participants whose score increased across time should be reported as a fraction with the number of trained participants overall provided as the denominator.

**Responsible Individual(s) at the Project:** Third Party Evaluator

#### **DATA QUALITY ISSUES**

**Date of Initial Data Quality Assessment:** June 2014

**Known Data Limitations and Significance (if any):** This scale has been widely used in the psychology literature and has been shown to have good validity and reliability. Both the concept of general self-efficacy and scales designed to measure it (including the GSE) have been validated across scores of countries including Turkey, China, Japan, Iran, South Africa, Chile, Korea, Australia, and many others.

**Date of Future Data Quality Assessments:** TBD

**Procedures for Future Data Quality Assessments:** The project will employ a system of continuous adaptive management and therefore will audit data on a systematic basis before input into the project's MIS. For each data point report to USAID, supporting documentation will be identified and reviewed using the process outlined in Appendix A as a guide. The Task Lead will ensure that each data point is supported with documentation and that data are assessed against data integrity standards as outlined in the ADS 203.3.5.

#### **PLAN FOR DATA ANALYSIS, REVIEW, & REPORTING**

**Data Analysis:** Baseline data from the start of the training/programming will be compared to data from a second survey at the end of the training/programming.

**Presentation of Data:** Quantitative.

**Review of Data:** The Core Team liaison will review data on a rolling basis as data comes in by the partners (Task Leads) and technical staff, and quarterly by the COP before submission on the Quarterly Report. Full audit of all data described above.

|  |        |        |       |
|--|--------|--------|-------|
| <b>Reporting of Data:</b> Quarterly Reports.     |        |        |       |
| <b>OTHER NOTES</b>                               |        |        |       |
| <b>Notes on Baselines/Targets:</b>               |        |        |       |
| <b>Other Notes:</b>                              |        |        |       |
| <b>PERFORMANCE INDICATOR VALUES</b>              |        |        |       |
| Year   | Target | Actual | Notes |
| 0  | TBD    |        |       |
| Year 2   | TBD    |        |       |
| Year 3   | TBD    |        |       |
| Year 4   | TBD    |        |       |
| Year 5   | TBD    |        |       |
| LOP  | TBD    |        |       |
| <b>THIS SHEET LAST UPDATED ON:</b> June 19, 2013 |        |        |       |

| <b>Performance Indicator Reference Sheet</b>   |
|--|
| INDICATOR TITLE: Number of people attending USG-assisted facilitated events that are geared toward strengthening understanding and awareness of property rights and resource governance-related issues   |
| <b>DESCRIPTION</b>   |
| <p><b>Precise Definition(s):</b> A knowledge management (KM) series will be conducted among the core team and task team leads at regular intervals during implementation of the task order. These meetings will be conducted in concert with similar USAID task orders that are being implemented with a climate change focus and are Washington D.C.- based. Meetings can include the Forest, Carbon Markets, and Communities Project, and the African and Latin American Resilience to Climate Change Project – both task orders under the Prosperity, Livelihoods, and Conserving Ecosystems IQC. This indicator will count the number of people attending these meetings.</p> <p><b>Unit of Measure: Number (people)</b><br/> <b>Disaggregated by: Gender</b></p> <p><b>Baseline Value: 0.</b></p> |
| <b>PLAN FOR DATA ACQUISITION</b>   |
| <p><b>Data Collection Method:</b> Meeting sign-in sheets, Agendas, and/or Pre and Post Evaluation forms<br/> <b>Responsible Individual(s) at the Project:</b> Program Manager</p>  |
| <b>DATA QUALITY ISSUES</b>   |
| <p><b>Date of Initial Data Quality Assessment:</b> June 2014</p> <p><b>Date of Future Data Quality Assessments:</b> TBD</p>  |
| <b>PLAN FOR DATA ANALYSIS, REVIEW, &amp; REPORTING</b>   |

**Data Analysis:** Baseline data from the start of the training/programming will be compared to data from a second survey at the end of the training/programming.

**Presentation of Data:** Quantitative.

**Review of Data:** The Core Team liaison will review data on a rolling basis as data comes in by the partners (Task Leads) and technical staff, and quarterly by the COP before submission on the Quarterly Report. Full audit of all data described above.

**Reporting of Data:** Quarterly Reports.

**OTHER NOTES**

**Notes on Baselines/Targets:**

**Other Notes:**

**PERFORMANCE INDICATOR VALUES**

| Year   | Target | Actual | Notes |
|--------|--------|--------|-------|
| 0      | TBD    |        |       |
| Year 2 | TBD    |        |       |
| Year 3 | TBD    |        |       |
| Year 4 | TBD    |        |       |
| Year 5 | TBD    |        |       |
| LOP    | TBD    |        |       |

**THIS SHEET LAST UPDATED ON:** July 22, 2013

**Performance Indicator Reference Sheet**

**INDICATOR TITLE:** Number of USG-assisted facilitated events that are geared toward strengthening understanding and awareness of property rights and resource governance-related issues

**DESCRIPTION**

**Precise Definition(s):** A knowledge management (KM) series will be conducted among the core team and task team leads at regular intervals during implementation of the task order. These meetings will be conducted in concert with similar USAID task orders that are being implemented with a climate change focus and are Washington D.C.- based. Meetings can include the Forest, Carbon Markets, and Communities Project, and the African and Latin American Resilience to Climate Change Project – both task orders under the Prosperity, Livelihoods, and Conserving Ecosystems IQC. This indicator will count the number of people attending these meetings.

**Unit of Measure:** Number (events)

**Disaggregated by:** N/A

**Baseline Value:** 0.

**PLAN FOR DATA ACQUISITION**

**Data Collection Method:** Meeting sign-in sheets, Agendas, and/or Pre and Post Evaluation forms

**Responsible Individual(s) at the Project:** Program Manager

**DATA QUALITY ISSUES**

**Date of Initial Data Quality Assessment:** June 2014

**Date of Future Data Quality Assessments:** TBD

**PLAN FOR DATA ANALYSIS, REVIEW, & REPORTING**

**Data Analysis:** Baseline data from the start of the training/programming will be compared to data from a second survey at the end of the training/programming.

**Presentation of Data:** Quantitative.

**Review of Data:** The Core Team liaison will review data on a rolling basis as data comes in by the partners (Task Leads) and technical staff, and quarterly by the COP before submission on the Quarterly Report. Full audit of all data described above.

**Reporting of Data:** Quarterly Reports.

**OTHER NOTES**

**Notes on Baselines/Targets:**

**Other Notes:**

**PERFORMANCE INDICATOR VALUES**

| Year   | Target | Actual | Notes |
|--------|--------|--------|-------|
| 0      | 4      |        |       |
| Year 2 | TBD    |        |       |
| Year 3 | TBD    |        |       |
| Year 4 | TBD    |        |       |
| Year 5 | TBD    |        |       |
| LOP    | TBD    |        |       |

**THIS SHEET LAST UPDATED ON:** July 22, 2013

# APPENDIX A: DATA QUALITY WORKSHEET (FROM USAID PMP TOOLKIT)

| <b>USAID</b>   |   |
|--|---|
| <b>Data Quality Assessment Form</b>  |   |
| Objective:   |   |
| Area:  |   |
| Element:   |   |
| Performance Indicator:   |   |
| Is this a Standard or Custom Indicator? If standard make sure the title matches the title from the FAF | <input type="checkbox"/> Standard<br><input type="checkbox"/> Custom  |
| Data Source(s):  | <input type="checkbox"/> Implementing partner reports<br><input type="checkbox"/> Monitoring by TA<br><input type="checkbox"/> Other<br>(Be Specific)   |
| TGCC Control over Data:  | <input type="checkbox"/> High (TGCC is source and/or funds data collection)<br><input type="checkbox"/> Medium (Implementing partner is data source)<br><input type="checkbox"/> Low (Data are from a secondary source) |
| Partner or Contractor Who Provided the Data (if applicable)  |   |
| Year or Period for Which the Data Are Being Reported   |   |
| Data Assessment methodology  | Describe in detail and attach to the checklist**  |
| Date(s) of Assessment:   |   |
| Assessment Team Members:   |   |
| <i>For Office Use Only</i>   |   |
| DCOP/M&E Specialist approval   |   |

X \_\_\_\_\_

| CATEGORY  | YES             | NO | COMMENTS |
|---|-----------------|----|----------|
| <b>VALIDITY</b>   |                 |    |          |
| Is there a direct relationship between the program activity and what is being measured? If not explain connection the result. |                 |    |          |
| Can the result be plausibly attributed to USG assistance?   |                 |    |          |
| Are the people collecting data qualified and properly supervised?   |                 |    |          |
| Are steps taken to correct known data errors?   |                 |    |          |
| Were known data collection problems appropriately assessed?   |                 |    |          |
| Are steps being taken to limit transcription error?   |                 |    |          |
| Are data quality problems clearly described in final reports?   |                 |    |          |
| <b>RELIABILITY</b>  |                 |    |          |
| Is a consistent data collection process used from year to year, location to location, data source to data source?             |                 |    |          |
| Are there procedures in place for periodic review of data collection, maintenance, and documented in writing?                 |                 |    |          |
| Are data quality problems clearly described in final reports?   |                 |    |          |
| <b>TIMELINESS</b>   |                 |    |          |
| Is a regularized schedule of data collection in place to meet program management needs?                                       |                 |    |          |
| Is data properly stored and readily available?  |                 |    |          |
| <b>PRECISION</b>  |                 |    |          |
| Is there a method for detecting duplicate data?   |                 |    |          |
| Is there a method for detecting missing data?   |                 |    |          |
| <b>INTEGRITY</b>  |                 |    |          |
| Are there proper safeguards in place to prevent unauthorized changes to the data?   |                 |    |          |
| Is there a need for an independent review of results reported?  |                 |    |          |
| <b>IF NO RELEVANT DATA WERE AVAILABLE</b>   | <b>COMMENTS</b> |    |          |
| If no recent relevant data are available for this indicator, why not?   |                 |    |          |
| What concrete actions are now being undertaken to collect and report these data as soon as possible?                          |                 |    |          |
| When will data be reported?   |                 |    |          |
| <b>SUMMARY</b>  | <b>COMMENTS</b> |    |          |
| Based on the assessment relative to the five standards, what is the overall conclusion regarding the quality of the data?     |                 |    |          |

|                                       |  |
|---------------------------------------|--|
| Significance of limitations (if any): |  |
| Actions needed to address limitations |  |

**Recommendations for Conducting Data Quality Assessments (DQA)**

1. Individual (s) conducting the DQA should describe in detail the methodology that will be used to conduct the DQA. This is required for each indicator. This information should be approved before the DQA is conducted.
2. DQ assessor should make sure that they understand the precise definition of the indicator. Please address any issues of ambiguity before the DQA is conducted.
3. DQ assessor should have a copy of the methodology for data collection in hand before assessing the indicator. This information should be in the PMP file for each indicator. Each performance indicator should have a written description of how the data being assessed is collected.
4. Each implementing partner should have a copy of the method of data collection in their files and documented evidence that they are collecting the data according to the methodology.
5. Assessor should record the names and titles of all individuals involved in the assessment.
6. Does TGCC have documented evidence that they have verified the data that has been reported to USAID? TGCC must be able to provide USAID with documents (process/person conducting the verification/field visit dates/persons met/activities visited, etc.) which demonstrate that they have verified the data that was reported to USAID. Note: Verification of data by the program should be an ongoing process.
7. The DQA assessor should be able to review the implementing partner files/records against the methodology for data collection laid out in the PMP. Any data quality concerns should be documented.
8. The assessor should verify the partner data at the field level using the PMP methodology. Any data quality concerns should be documented.
9. Storage of data is critical to this process. The assessor should document any and all weakness in the files/record keeping associated with the performance indicator being reviewed.
10. The DQA should include a summary of all weaknesses found; the significance of the weaknesses and recommendations for addressing the findings. A plan of action for addressing the weaknesses should be made as well as a follow-up date for reassessment.

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