

PD-AAI-446. 498-0251

③

(OPG)

498025101 ~~4401~~

MT

DEPARTMENT OF STATE
AGENCY FOR INTERNATIONAL DEVELOPMENT
WASHINGTON, D.C. 20523

file

CARE
Korea

SEP 30

Mr. Frank Goffio
Executive Director
Cooperative American Relief Everywhere (CARE)
660 First Avenue
New York, New York 10016

Subject: Grant No. AID/ASIA-G-1198
Allotment No. 72-11X1023
Appropriation No. 424-64-498-00-69-51

Dear Mr. Goffio:

Fursuant to the authority contained in the Foreign Assistance Act of 1961, as amended, the Agency for International Development (hereinafter referred to as "AID" or "Grantor") hereby grants to the Cooperative for American Relief Everywhere, 660 First Avenue, New York, New York, 10016 (hereinafter referred to as "CARE" or "Grantee") the sum of \$286,000 to provide support for a program in Korea as more fully described in the attachment to this Grant entitled "Program Description".

This Grant is effective and obligation is made as of the date of this letter and shall apply to commitments made by the Grantee in furtherance of program objectives during the period September 30, 1976 through September 29, 1978.

This Grant is made to CARE on condition that the funds will be administered in accordance with the terms and conditions as set forth in Attachment A entitled "Program Description," and Attachment B entitled "Standard Provisions," which have been agreed to by your organization.

Please sign the Statement of Assurance of Compliance, enclosed herein, and the original and seven copies of this letter to acknowledge your

acceptance of the conditions under which these funds have been granted. Please return the Statement of Assurance of Compliance and the original and six copies of this Grant to the Office of Contract Management.

Sincerely yours,



Franklin H. Moulton
Grant Officer
Regional Operations Division-ASIA/NE
Office of Contract Management

Attachments:

- A. Program Description
- B. Standard Provisions
- C. Payment Provisions
- D. Logical Framework Matrix

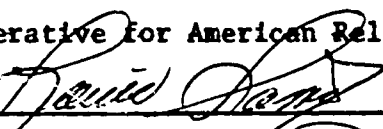
ACCEPTED:

Cooperative for American Relief Everywhere (CARE)

BY:

TITLE

DATE



Dep. Ty. Exec. Dir

SEP 30

ASSURANCE OF COMPLIANCE WITH THE AGENCY FOR
INTERNATIONAL DEVELOPMENT REGULATION UNDER TITLE VI
OF THE CIVIL RIGHTS ACT OF 1964

(hereinafter called the "Grantee")

(Name of Grantee)

HEREBY AGREES THAT it will comply with title VI of the Civil Rights Act of 1964 (P.L. 88-352) and all requirements imposed by or pursuant to the Regulation of the Agency for International Development (22 CFR Part 209, 30 FR 317) issued pursuant to that title, to the end that, in accordance with title VI of that Act and the Regulation, no person in the United States shall, on the grounds of race, color, religion, sex or national origin, be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under and program or activity for which the Grantee receives Federal financial assistance from the Agency; and HEREBY GIVE ASSURANCE THAT it will immediately take any measures necessary to effectuate this agreement.

If any real property or structure thereon is provided or improved with the aid of Federal financial assistance extended to the Grantee by the Agency, this assurance shall obligate the Grantee, or in the case of any transfer of such property, any transferee, for the period during which the real property or structure is used for a purpose for which the Federal financial assistance is extended or for another purpose involving the provision of similar services or benefits. If any personal property is so provided, this assurance shall obligate the Grantee for the period during which it retains ownership or possession of the property. In all other cases, this assurance shall obligate the Grantee for the period during which the Federal financial assistance is extended to it by the Agency.

THIS ASSURANCE is given in consideration of and for the purpose of obtaining any and all Federal grants, loans, contracts, property, discounts or other Federal financial assistance extended after the date hereof to the Grantee by the Agency, including installment payments after such date on account of applications for Federal financial assistance which were approved before such date. The Grantee recognizes and agrees that such Federal financial assistance will be extended in reliance on the representations and agreements made in this assurance, and that the United States shall have the right to seek judicial enforcement of this assurance. This assurance is binding on the Grantee, its successors, transferees, and assignees, and the person or persons whose signatures appear below are authorized to sign this assurance on behalf of the Grantee.

(Grantee)
BY (Signature) *[Handwritten Signature]*
TYPED NAME _____

TITLE *Deputy Exec. Dir.*
DATE 30

PROGRAM DESCRIPTION

A. Purpose

The purpose of this Grant is to provide partial support for a program to establish potable water systems which will serve as models for replication by the ROKG and others involved in rural development.

B. Specific Objective

The specific objective of this Grant is to establish a minimum of six potable water systems in villages with lower income populations of 5,000 to 10,000 people. This shall entail the conducting of sewage and feasibility studies of the villages to determine the availability of water and its quality in terms of sanitation, completion of plans for the construction of the systems, selection of Contractors for the construction and the purchase of equipment and materials.

C. Implementation

1. Construction of Potable Water Systems

The Grantee in conjunction with County and Provincial Government personnel will select a minimum of three sites a year in towns with populations between five and ten thousand inhabitants. These towns will be surveyed to determine that a year round water supply exists and that it is feasible to develop the water source.

A sanitary survey of each site including bacteriological and chemical tests will be conducted and the turbidity, color and threshold odor of the water will be ascertained. If all of the foregoing are found to be satisfactory

the Grantee will then request the Government appoint a licensed engineer to prepare a complete set of plans and blueprints indicating the materials and equipment necessary to construct the water system.

A minimum of three bids will be solicited and the lowest bidder will be chosen to construct the system after consultation with the County Government. All sub-contracting will be approved by the Grantee and the Government Officer.

All construction and equipment installed shall be examined by the Grantee representative and the Government appointed engineer to insure that it meets the standards as specified in the approved plans. When completed the system will be examined by the Grantee and the Government appointed engineer prior to acceptance from the Contractor. When the system has been inspected and approved as functioning properly, it will be officially transferred to the County Government whose responsibility it will be to insure proper maintenance and operation. All expenses in the operation and maintenance of the system shall be the obligation of the Government.

2. Sanitary Education

After completion of the water system CARE will provide the services of a health educator whose duties will be to enlighten the beneficiaries as to the value of the potable water and proper household and environmental sanitation. It is expected that one meeting per month will be held for one year. In addition the health educator will make home visits to demonstrate proper water sanitation. The health educator will go into the schools and discuss household and environmental sanitation with the children.

D. Budget

The funds provided herein shall be used to finance the following:

	<u>First Year</u>	<u>Second Year</u>
Materials and Equipment		
AID	\$ 90,500	\$108,600
CARE	294,700	353,600
Surveys and Labor		
AID	\$ 9,000	\$ 10,500
CARE	25,500	30,600
Education P and O		
AID	\$ 6,400	\$ 7,800
CARE	60,000	72,000
Professional Services		
AID	\$ 17,300	\$ 21,000
CARE	Ø	Ø
Land		
AID	\$ Ø	\$ Ø
CARE	1,500	1,800
Equipment		
AID	\$ Ø	\$ Ø
CARE	9,000	10,800
TOTAL		
AID	\$123,200	\$147,900
Plus CARE 5.5% Hqs Overhead	<u>6,800</u>	<u>8,100</u>
	\$130,000	\$156,000
CARE	\$390,700	\$468,800

The Grantee may not exceed the total amount of the budget. Adjustments among the line items are unrestricted.

E. Reports

The Grantee will provide the following reports in duplicate to USAID Korea:

1. A trimester project progress report which will detail the progress to date and Grand funds spent.
2. At the completion of each water system a project completion report will be prepared and a statement will be submitted from the County Government, Engineer and CARE that all materials contracted for, have been delivered and that the system functions as per the provisions of the original survey.
3. A fiscal report shall be submitted in accordance with Attachment C "Payment Provisions".

SPECIFIC SUPPORT GRANT

STANDARD PROVISIONS

a. Allowable Costs and Payment (Oct. 1974)

The Grantee shall be reimbursed for costs incurred in carrying out the purposes of this Grant which are determined by the Grant Officer to be allowable in accordance with the terms of this Grant and Subpart 15.2 of the Federal Procurement Regulations (FPR) (Principles and Procedures for use in Cost Reimbursement Type Supply and Research Contracts with Commercial Organizations)* in effect on the date of this Grant. Payment of allowable costs shall be in accordance with Attachment C of this Grant.

b. Accounting, Records, and Audit (Oct. 1974)

The Grantee shall maintain books, records, documents, and other evidence in accordance with the Grantee's usual accounting procedures to sufficiently substantiate charges to the grant. The Grantee shall preserve and make available such records for examination and audit by AID and the Comptroller General of the United States, or their authorized representatives (1) until the expiration of three years from the date of termination of the program and (2) for such longer period, if any, as is required to complete an audit and to resolve all questions concerning expenditures unless written approval has been obtained from the AID Grant Officer to dispose of the records. AID follows generally accepted auditing practices in determining that there is proper accounting and use of grant funds. The Grantee agrees to include the requirements of this clause in any subordinate agreement hereunder.

c. Refunds (Oct. 1974)

(1) If use of the Grant funds results in accrual of interest to the Grantee or to any other person to whom Grantee makes such funds available in carrying out the purposes of this Grant, Grantee shall refund to AID an amount equivalent to the amount of interest accrued.

(2) Funds obligated hereunder but not disbursed to the Grantee at the time the grant expires or is terminated, shall revert to AID, except for funds encumbered by the Grantee by a legally binding transaction applicable to this Grant. Any funds disbursed to but not expended by the Grantee at the time of expiration or termination of the Grant shall be refunded to AID.

(3) If, at any time during the life of the Grant, it is determined by AID that funds provided under the Grant have been expended for purposes not in accordance with the terms of the Grant, the Grantee shall refund such amounts to AID.

d. Equal Opportunity Employment (Oct. 1974)

With regard to the employment of persons in the U.S. under this Grant, Grantee agrees to take all reasonable steps to ensure equality of opportunity in its employment practices without regard to race, religion, sex, color or national origin of such persons and that, in accordance with Title VI of the Civil Rights Act of 1964, when work funded by this Grant is performed in the U.S. no person shall, on the grounds of race, religion, sex, color or national origin, be excluded from participation, be denied benefits, or be subjected to discrimination. In addition, the Grantee agrees to comply in accordance with its written assurance of compliance, with the provisions of Part 209 of Chapter II, Title 22 of the Code of Federal Regulations, entitled "Non-Discrimination in Federally Assisted Programs of the Agency for International Development - Effectuation of Title VI of the Civil Rights Act of 1964."

e. Termination (Oct. 1974)

This Grant may be terminated at any time, in whole or in part, by the Grant Officer upon written notice to the Grantee, whenever for any reason he shall determine that such termination is in the best interest of the Government. Upon receipt of and in accordance with such notice, the Grantee shall forthwith take immediate action to minimize all expenditures and obligations financed by this Grant, and shall cancel such unliquidated obligations whenever possible. Except as provided below, no further reimbursement shall be made after the effective date of termination, and the Grantee shall within thirty (30) calendar days after the effective date of such termination repay to the Grantor all unexpended portions of funds theretofore paid by the Grantor to the Grantee which are not otherwise obligated by a legally binding transaction applicable to this Grant. Should the funds paid by the Grantor to the Grantee prior to the effective date of this termination of this Grant, be insufficient to cover the Grantee's obligations pursuant to the aforementioned legally binding transaction, the Grantee may submit to the Grantor within (90) calendar days after the effective date of such termination a written claim covering such obligations, and, subject to the limitations contained in the Grant, the Grant Officer shall determine the amount or amounts to be paid by the Grantor to the Grantee under such claim.

f. Officials Not to Benefit (Oct. 1974)

No member of or delegate to Congress or resident commissioner shall be admitted to any share or part of this Grant or to any benefit that may arise therefrom; but this provision shall not be construed to extend to this Grant if made with a corporation for its general benefit.

g. Covenant Against Contingent Fee (Oct. 1974)

The Grantee warrants that no person or selling agency has been employed or retained to solicit or secure this Grant upon an agreement or understanding for a commission, percentage, brokerage, or contingent fee except bona fide employees or bona fide established commercial or selling agencies maintained by the Grantee for the purpose of securing business. For breach or violation of this warranty, AID shall have the right to cancel this Grant without liability or, in its discretion, to deduct from the Grant amount, or otherwise recover, the full amount of each commission, percentage, brokerage, or contingent fee.

h. Nonliability (Oct. 1974)

AID does not assume liability with respect to any claims for damages arising out of work supported by its grants.

i. Amendment (Oct. 1974)

The Grant Agreement may be amended by formal modifications to the basic grant document or by means of an exchange of letters between the AID Grant Officer and an appropriate official of the Grantee.

j. Grant Agreement (Oct. 1974)

The letter to the Grantee signed by the Grant Officer, the Program Description and the Standard Provisions which have been reviewed and agreed to by the Grantee, constitute the Grant Agreement.

k. Notices (Oct. 1974)

Any notice given by any of the parties hereunder, shall be sufficient only if in writing and delivered in person or sent by telegraph, cable, registered or regular mail as follows:

To: Grant Officer
Regional Operations Division-Asia
Office of Contract Management
Agency for International Development
Washington D.C. 20523

To Grantee - At Grantee's address shown in this Grant, or to such other address as either party shall designate by notice given as herein required. Notices hereunder, shall be effective when delivered in accordance with this clause or on the effective date of the notice, whichever is later.

1. Travel and Transportation (Oct. 1974)

(1) The Grant Officer hereby approves international travel hereunder provided that the Grantee shall obtain written concurrence from the cognizant technical office in AID prior to sending any individual outside the United States to perform work under the Grant. For this purpose the Grantee shall advise the Project Manager at least thirty (30) days in advance of any travel to be undertaken outside the United States. After concurrence is received the Grantee shall provide the cognizant USAID Mission or U.S. Embassy advance notification with a copy to the project officer of the arrival date and flight identification of Grant financed travellers.

(2) Travel to certain countries shall, at AID's option, be funded from U.S.-owned local currency. When AID intends to exercise this option, it will so notify the Grantee after receipt of advice of intent to travel required above. AID will issue a Government Transportation Request (GTR) which the Grantee may exchange for tickets, or AID will issue the tickets directly. Use of such U.S.-owned currencies will constitute a dollar charge to this grant.

(3) The Grantee agrees to travel by the most direct and expeditious route, and to use less than first class transportation unless such use will result in unreasonable delay or increased costs.

(a) All international air travel under this Grant shall be made on United States flag carriers. Exceptions to this rule will be allowed in the following situations provided that the Grantee certifies to the facts in the voucher or other documents retained as part of his Grant records to support his claim for reimbursement and for post audit:

1. Where a flight by a United States carrier is scheduled but does not have accommodations available when reservations are sought;

2. Where the departure time, routing, or other features of a United States carrier would interfere with or prevent the satisfactory performance of official business;

3. Where a scheduled flight by a United States carrier is delayed because of weather, mechanical, or other conditions to such an extent that use of a non-United States carrier is in the Government's interest;

(b) All international air shipments under this grant shall be made on United States flag carriers unless shipment would, in the judgment of the Grantee, be delayed an unreasonable time awaiting a United States carrier either at point of origin or transshipment, provided that the Grantee certifies to the facts in the vouchers or other documents retained as part of the Grant records to support his claim for reimbursement and for post audit.

(4) Travel allowances shall be reimbursed in accordance with the Federal Travel Regulations (FTR).

m. Conversion of United States Dollars to Local Currency (Oct. 1974)

In countries designated "excess" or "near-excess" currency countries, Grantee agrees to convert all United States dollars to local currency through the United States Disbursing Officer, (USDO), American Embassy, unless the Disbursing Officer directs the Grantee otherwise or certifies that no local currency is available.

n. Ineligible Countries (Oct. 1974)

Unless otherwise approved by the Grant Officer, no grant funds will be expended in countries ineligible for assistance under the Foreign Assistance Act of 1961, as amended, or under acts appropriating funds for foreign assistance.

Negotiated Overhead Rates (Oct. 1974)

Pursuant to the provisions of this clause set forth below an overhead rate shall be established for each of the Grantee's accounting periods during the term of this Grant. Pending establishment of a final rate for the initial period beginning October 1, 1976 and ending September 30, 1977, provisional payments on account of allowable indirect costs shall be made at the rate or rates of 5.5%, applied to direct costs.

(1) The Grantee, as soon as possible but not later than ninety (90) days after the close of each of his accounting periods during the term of this Grant, shall submit to the Grant Officer with copies to the Overhead and Special Cost Branch, Support Division, Office of Contract Management, AID, Washington, D.C. and to the Office of the Auditor General, AID, Washington, D.C., a proposed final rate or rates for the period, together with supporting cost data. Negotiation of final overhead rates by the Grantee and the Grant Officer shall be undertaken as promptly as practicable after receipt of the Grantee's proposal.

(2) Allowability of costs and acceptability of cost allocation methods shall be determined in accordance with Subpart 1-15.2 (Principles and Procedures for Use in Cost-Reimbursement Type Supply and Research Contracts with Commercial Organizations) of the Federal Procurement Regulations* as in effect on the date of this Grant.

(3) The results of each negotiation shall be set forth in a written overhead rate agreement executed by both parties. Such agreement shall specify (1) the agreed final rates, (2) the bases to which the rates apply, and (3) the periods for which the rates apply. The overhead rate agreement shall not change any monetary ceiling, Grant obligation, or specific cost allowance or disallowance provided for in this Grant.

(4) Pending establishment of final overhead rates for any period, the Grantee shall be reimbursed either at negotiated provisional rates as provided above or at billing rates acceptable to the Grant Officer, subject to appropriate adjustment when the final rates for that period are established. To prevent substantial over or under payment, the provisional or billing rates may, at the request of either party, be revised by mutual agreement, either retroactively or prospectively. Any such revision of negotiated provisional rates provided in this clause shall be set forth in a modification to this Grant.

p. Procurement of Equipment, Vehicles, Supplies, Materials, and Services (Oct. 1974)

(1) Except as may be specifically approved or directed in advance by the Grant Officer, or as provided in paragraphs (2), (3), and (4) below, all equipment, vehicles, materials, supplies and services the costs of which are to be attributed to this Grant and which will be financed with United States dollars shall be purchased in and shipped from the United States. In addition, for any U.S. purchase transaction in excess of \$2,500 the Grantee shall notify the seller that the item(s) must be of U.S. source and production and comply with the componentry limitations and other requirements applicable to suppliers under AID Regulation 1, and that the supplier must execute and furnish Form AID-282 "Suppliers Certificate and Agreement with the Agency for International Development".

(2) Exceptions to the foregoing are as follows :

(3) Printed or audio visual teaching materials may, to the extent necessary, be purchased outside the United States when:

(a) Effective use of the materials depend on their being in the local language, and

(b) Other funds including U.S. owned and controlled local currencies are not readily available for procurement of such material.

The order of preference as to countries in which such purchases outside the United States are made shall be:

1. The AID receiving country
2. Countries falling within AID Geographic Code 901
3. Other Countries falling within AID Geographic Code 899

AID Geographic Codes are defined in AIDPR 7-6.5201.1.

(4) Procurements in the country in which an activity is being undertaken and which are less than \$2,500 and are for materials (regularly available and normally sold on the local market) which are to be utilized or consumed during the period of this Grant, are exempt from the conditions of Paragraph 1 above, provided that the origin of such materials and supplies and the component parts thereof is a free world country as described in AID Geographic Code 935.

(5) All international air shipments made by the Grantee, to be financed hereunder, shall be made on U.S. flag carriers unless shipment would, in the judgement of the Grantee, be delayed an unreasonable time awaiting a U.S. carrier, either at the point of origin or transshipment, provided that the Grantee certifies to the facts in the documents retained as part of his Grant records for post audit by AID. All international ocean shipment made by the Grantee, to be financed hereunder, shall be made on U.S. flag vessels. Where U.S. flag vessels are not available, or their use would result in a significant delay, the Grantee may obtain a release from this requirement from the Transportation Support Division, Office of Commodity Management, AID, Washington, D.C. 20523, as appropriate, giving the basis for the request.

(6) The Grantee shall obtain competition to the maximum extent possible for any procurement to be financed hereunder. Procurement by the Grantee without consideration of more than one source must be approved by the Grant Officer. In no event will any procurement be on a cost-plus-a-percentage of cost basis. The Grantee shall take all reasonable steps necessary to insure that subgrantees procuring in accordance with Paragraph (2) obtain competition to the maximum extent possible. In addition to the foregoing, for purchases made in the United States the cost of which are to be attributed to this Grant, the Grantee shall comply with the following requirements:

To permit AID, in accordance with the Small Business Provisions of the Mutual Security Act, to give United States Small Business firms an opportunity to participate in supplying commodities and services procured under this Grant, the Grantee, shall, to the maximum extent possible, provide the following information to the Office of Small Business, AID, Washington, D.C. 20523 at least 45 days prior to placing any order or contract in excess of Five Thousand (\$5,000) Dollars, except where a shorter time is requested of, and granted by the Office of Small Business:

- (a) Brief general description and quantity of commodities or services;
- (b) Closing date for receiving quotations or bids;
- (c) Address where invitations or specifications may be obtained.

(7) Funds provided under this Grant shall not be used to procure any commodity or commodity-related services furnished by any supplier whose name appears on the List of Ineligible Suppliers under AID Regulation 8, "Suppliers of Commodities and Commodity-Related Services Ineligible for AID Financing", Grantee agrees to review said list prior to undertaking any procurement the cost of which is to be attributed to this Grant. AID will provide Grantee with this list.

q Regulations Governing Employees Outside the United States (Oct. 1974)

(1) The Grantee's employees, when employed in work overseas, shall maintain private status and may not rely on local U.S. Government Offices or facilities for support while so engaged.

(2) The sale of personal property or automobiles by Grantee employees and their dependents in the foreign country to which they are assigned shall be subject to the same limitations and prohibitions which apply to direct-hire AID personnel employed by the mission, except as this may conflict with host government regulations.

(3) Other than work to be performed under this Grant for which an employee or consultant is assigned by the Grantee, no regular or short term employee or consultant of the Grantee shall engage, directly or indirectly, either in his own name or in the name or through an agency of another person, in any business, profession or occupation in the foreign countries to which he is assigned.

(4) The Grantee's employees, while in a foreign country, are expected to show respect for its conventions, customs, and institutions, to abide by its applicable laws and regulations, and not to interfere in its internal political affairs.

(5) On the written request of the Grant Officer or of a cognizant Mission Director, the Grantee will terminate the assignment of any individual to any work under the Grant and, as requested, will use its best efforts to cause the return to the United States of the individual from overseas or his departure from a foreign country or a particular foreign locale.

(6) Allowances for employees assigned overseas shall be reimbursed in accordance with the Federal Travel Regulations (FTR).

r Subordinate Agreements (Oct. 1974)

The placement of subordinate agreements (e.g., leases, options, etc.), grants, or contracts with other organizations, firms or institutions and the provisions of such subordinate agreements are subject to prior written consent of the Grant Officer if they will be funded hereunder. In no event shall any such subordinate agreement, grant, or contract be on a cost-plus-a-percentage-of-cost basis. Subordinate contractors (including suppliers) shall be selected on a competitive basis to the maximum practicable extent consistent with the obligations and requirements of this Grant.

s Title to and Use of Property (Grantee) (Oct. 1974)

Title to all property financed under this Grant shall vest in the Grantee, subject to the following conditions:

(1) The Grantee shall not, under any Government contract or subcontract thereunder, or any Government grant, charge for any depreciation, amortization, or use of any property title to which remains in the Grantee under this clause.

(2) The Grantee agrees to use and maintain the property for the purpose of the grant.

(3) With respect to items having an acquisition cost of \$2,500 or more, title to which vests in the Grantee, the Grantee agrees:

(a) to report such items to the Grant Officer from time to time as they are acquired and to maintain a control system which will permit their ready identification and location.

(b) to retain title to all property acquired under the grant until such time as the grant period of performance is completed or the grant has been terminated.

t Prohibition on Abortion Related Activities (Oct. 1974)

No funds made available under this Grant will be used to support the following activities: 1) procurement or distribution of equipment intended to be used for the purpose of inducing abortions as a method of family planning; 2) special fees or incentives to women to coerce or motivate them to have abortions; 3) payments to persons to perform abortions or to solicit persons to undergo abortions; 4) information, education, training, or education programs that seek to promote abortion as a method of family planning.

u Salaries (Oct. 1974)

All salaries, wages, fees, and stipends reimbursed under this Grant shall be in accordance with the Grantee's policy and practice as reviewed and approved by the Grant Officer. In the absence of an approved policy the Grantee shall follow the regulations contained in Section 7-15.205-6 of the Agency for International Development Procurement Regulations.

Publications (Oct. 1974)

- (1) If it is the Grantee's intention to identify AID's contribution to any publication resulting from this Grant, the Grantee shall consult with AID on the nature of the acknowledgement prior to publication.
- (2) The Grantee shall provide the Project Manager with one copy of all published works developed under the Grant. The Grantee shall provide the Project Manager with lists of other written work produced under the Grant.
- (3) In the event grant funds are used to underwrite the cost of publishing, in lieu of the publisher assuming this cost as is the normal practice, any profits or royalties up to the amount of such cost shall be credited to the Grant.
- (4) The Grantee is permitted to secure copyright to any publication produced or composed under the Grant. Provided, the Grantee agrees to and does hereby grant to the Government a royalty-free, non-exclusive and irrevocable license throughout the world to use, duplicate, disclose, or dispose of such publications in any manner and for any purpose and to permit others to do so.

Attachment C

PAYMENT PROVISION

Advance Payment

a. Subject to the provisions hereinafter set forth, AID shall make an initial advance payment to the Grantee in an amount not to exceed \$50,000,

b. Request for Advance Payment. To request the initial advance payment, the Grantee will submit Voucher Form SF-1034 and 1034(a) to the Office of Financial Management (SER/FM), AID.

c. Special Bank Account. Until all advance payments made hereunder are liquidated, the initial advance and all other payments under the grant shall be deposited by the Grantee in a Special Bank Account. An agreement shall be executed to effect this Special Bank Account in conformance with Section 1-30.414-1 or the Federal Procurement Regulations entitled "Form of Agreement for Special Bank Account." A separate account number shall be assigned by the Grantee for operations of this grant, and all receipts and disbursements shall be recorded thereunder by the Grantee's Fiscal Department in such manner that it will be possible to ascertain the balance in the advance payment account at any time. The records thereof shall be preserved and be subject to inspection and audit in accordance with Standard Provision No. 10. None of the funds in the Special Bank Account shall be mingled with other funds of the Grantee prior to their withdrawal from the Special Bank Account as hereinafter provided.

d. Use of the Funds. The funds in the Special Bank Account or Accounts may be withdrawn by the Grantee solely for the purpose of making payments for items of allowable cost as provided in this Grant, or to reimburse the Grantee for such items of allowable cost or for such other purposes as the Grant Officer may approve in writing.

e. Replenishment and Liquidation. Following the initial advance payment, AID will reimburse the Grantee not more often than monthly in amounts equal to reported expenditures in order to replenish the advance funds on an imprest basis. Such replenishment shall be made upon submission to SER/FM of Voucher Form SF 1034 and 1034(a), each voucher identified by the appropriate AID Grant Number, properly executed, in the amount of dollar expenditures made during the period covered, which voucher form shall include a fiscal report in a form substantially as set forth in the budget of the grant.

The fiscal report shall include a certification signed by an authorized representative of the Grantee as follows:

"The undersigned hereby certifies: (1) that payment of the sum claimed under the voucher is proper and due under the terms of the grant; (2) that the information is correct and such detailed supporting information as AID may require will be furnished by the Grantee promptly upon request.

BY _____

TITLE _____ DATE _____

Replenishment will be made until such time as total reimbursements plus the initial advance payment equal the total amount of this grant. Thereafter, vouchers for expenditures, which shall be marked "NO PAY" by the Grantee before submission, will not be reimbursed, but will be applied to liquidate the remaining outstanding advance. In the event that the total amount of such subsequent vouchers is insufficient to completely liquidate the outstanding advance, the Grantee shall, pursuant to Standard Provision No. 12, make repayment to AID of all unexpended portions of the advance dollar funds which are not obligated under this Grant at the time of submission of the Final Voucher.

LOGICAL FRAME MATRIX

Attachment D
Grant No. AID/ASIA-G-1198
Korea

Narrative Summary	Objectively Verifiable Indicators	Means of Verification	Important Assumptions
Program or Sector Goal: The broader objective to which this project contributes:	Measures of Goal Achievements		Assumptions for achieving goal targets:
Reduce the Incidence of water born diseases in selected Korean villages	Expansion of Rural water systems incorporated within the third five year economic development plan 1972-1976 (GRDK Publication 1971)	Surveys to determine reduction in incidence of water born disease.	Improvement of water systems continues to be part of the fourth five year plan. Improvement of health is related to the supply of Potable water.
Project Purpose:	Conditions indicating purpose has been achieved		Assumptions for achieving purposes:
Install potable water systems in rural villages in Korea.	Number of homes with potable water. Potability of water verified. number of home visits by public health worker.	Inspection of Dialy log book at pumping station. Water meter readings	Technology exists for construction of systems.
Outputs:	Magnitude of Outputs:		Assumptions for achieving outputs:
<p>Nine water systems constructed over a three year period</p> <p>Sanitation Education program</p> <p>Formation of Potable water committee(s)</p> <p>Quantifiable health data obtained</p>	<p>Nine systems completed in three years. Cubic meters of water processed through system.</p> <p>Production and distribution of printed material.</p> <p>Number of water committee and Sanitation Education meetings.</p> <p>Interview beneficiaries concerning health.</p>	<p>Completed systems inspected and approved. Questionnaire administered to water subscribers to determine kap.</p> <p>Activities of water committee</p> <p>Evaluative surveys</p>	<p>Three systems a year can be constructed.</p> <p>Education level of subscribers adequate to absorb sanitation education information.</p> <p>Leadership available for water committee to function properly.</p> <p>Health Data Can be successfully collected, verified, and analyzed.</p>
Inputs:	Implementation Target (Type and Quantity)		Assumption for providing inputs:
<p>USAID</p> <p>Material and Equipment \$ 198,600</p> <p>Surveys 19,500</p> <p>Education P & O 14,200</p> <p>Professional Services 38,300</p> <p>CARE Headquarters 14,900</p> <p>Operation Costs</p> <p style="padding-left: 40px;">Sub-Total \$ 286,000</p> <p>CARE Generated</p> <p>Material and Equipment \$ 648,300</p> <p>P & O 132,000</p> <p>Labor & Survey 56,100</p> <p>Land 3,300</p> <p>Equipment Rental 19,800</p> <p style="padding-left: 40px;">Sub-Total \$ 859,500</p> <p style="text-align: right; padding-right: 20px;">TOTAL \$1,145,500</p>	<p>Approximate cost per system \$221,100.</p> <p>Cost of six systems \$1,145,500.</p> <p>Targeted Bens. 45-50,000.</p> <p>Equipment: PVC Pipes, Filtration units Holding tanks, Electric Pumps, Pipe Fittings, Cement, Iron Bars, etc.</p>	<p>CARE supervision of construction.</p> <p>Reports and Evaluation of systems completed as per USAID and CARE Guidelines.</p>	<p>Adequate resources available from all parties involved.</p>